

Request for Proposals

Information Systems

Design, Supply, and Installation

(Single Stage)

Specific Procurement Notice – Request for Proposals without Prequalification

Request for Proposal Information Systems (Design, Supply and Installation) (Without Prequalification)

Purchaser:	The State Revenue Committee of the Republic of Armenia and the Office of the Prime Minister of the Republic of Armenia
Project:	Fourth Public Sector Modernization Project (PSMP4)
Contract title:	Procurement of the Stamp Duty Electronic Management System
Country:	Republic of Armenia
Loan No.:	9338-AM
RFP No:	PSMP4-GO-RFP-2.1.8
Issued on:	June 20, 2025

1. The Republic of Armenia has received financing from the World Bank toward the cost of the Fourth Public Sector Modernization Project (PSMP4) and intends to apply part of the proceeds toward payments under the contract for the Procurement of the Stamp Duty Electronic Management System.
2. The Office of the Prime Minister of the Republic of Armenia now invites sealed Proposals from eligible Proposers for supply/development, installation, customization, testing and technical support the New Custom Software Solution (CSW): the Stamp Duty Electronic Management System. The development of the Stamp Duty Electronic Management System aims to fully automate the process of calculating, recording, refunding, and transferring stamp duties to the Foundation. The objectives of this system are to Enhance the efficiency and accuracy of stamp duty calculations, accounting, and refund processes, and simplify procedures for all involved parties, to improve transparency and regulate the Stamp Duty Electronic Management System by establishing clear rules for calculating, paying, and accounting for stamp duties, as well as ensuring access to information on stamp duty liabilities, payments, and refunds, and simplify the stamp duty refund procedure by the Foundation, which in turn, will streamline compensation to the families of servicemen killed or injured during the defense of the Republic of Armenia.
3. The procurement process will be conducted through international competitive procurement using Request for Proposals (RFP) as specified in the World Bank's "Procurement Regulations for IPF Borrowers" dated November 2020 ("Procurement Regulations"), and is open to all eligible Proposers as defined in the Procurement Regulations.

4. Proposals will be evaluated in accordance with the evaluation process set out in the bidding documents. The following weightings shall apply for Rated Criteria: Proposal technical factors: 40% and for Proposal cost: 60 %.
5. Interested eligible Proposers may obtain further information from the Office of the Prime-Minister of RA and inspect the request for proposals document during office hours 09.00 to 18.00 of Yerevan time at the address given below.
6. The request for proposals document (the hard and/or the soft version) in English may be obtained by interested eligible Proposers upon the submission of a written application to the address below. In addition to the hard copies the document will be sent by e-mail upon request. The document may be downloaded (on a free of charge basis) also from www.gnumner.am website (https://gnumner.minfin.am/hy/page/bac_mrcuyti_haytararutyun_ev_hraver/).

Moreover, the Office of the Prime Minister of RA shall not be liable for the fault or incomplete downloading from the website, or further in case of missing the Addenda to the request for proposals document. In any case, if there is a discrepancy between a hard copy and electronic one, the hard copy will prevail.
7. Proposals must be delivered to the address below on or before **July 28, 2025, 16:00 (local time)**. Electronic procurement **will not** be permitted. Late Proposals will be rejected. The outer Proposal envelopes marked “ORIGINAL PROPOSAL”, and the inner envelopes marked “TECHNICAL PART” will be publicly opened in the presence of the Proposers’ designated representatives and anyone who chooses to attend, at the address below on **July 28, 2025, 16:00 (local time)**. All envelopes marked “FINANCIAL PART” shall remain unopened and will be held in safe custody of the Purchaser until the second public Proposals opening.
8. All Proposals must be accompanied by a “*Proposal Security*”. The amount of Proposal Security required is EURO 10000 or an equivalent amount in freely convertible currency or an equivalent amount in AMD.
9. Attention is drawn to the Procurement Regulations requiring the Borrower to disclose information on the successful Proposer’s beneficial ownership, as part of the Contract Award Notice, using the Beneficial Ownership Disclosure Form as included in the request for proposal document.
10. The address (es) referred to above is (are):

Office of the Prime Minister of RA

Address: Government House 1, Republic Square (1-st Floor, Room 115, 117)

Attn: Mr. Aharon Mkrtchyan, PSMP4 Project Manager

E-mail: info@psmp.am

City: Yerevan

ZIP Code: 0010

Country: Republic of Armenia

Telephone: (+374 10) 515931

Request for Proposals Information Systems

**Design, Supply and Installation
(Two-Envelope Procurement Process)**

(Without Prequalification)

Procurement of: The Stamp Duty Electronic Management System

Purchaser:	The State Revenue Committee of the Republic of Armenia and the Office of the Prime Minister of the Republic of Armenia
Project:	Fourth Public Sector Modernization Project (PSMP4)
Contract title:	Procurement of the Stamp Duty Electronic Management System
Country:	Republic of Armenia
Loan No.:	9338-AM
RFP No:	PSMP4-GO-RFP-2.1.8
Issued on:	June 20, 2025

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PART 1 – REQUEST FOR PROPOSALS PROCEDURES

SECTION I - INSTRUCTIONS TO PROPOSERS (ITP)

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Section I - Instructions to Proposers

A. GENERAL

- 1. Scope of Proposal**
- 1.1. The Purchaser, as indicated in the PDS, or its duly authorized Purchasing Agent if so specified in the PDS (interchangeably referred to as “the Purchaser” issues this request for proposals document for the supply and installation of the Information System as specified in Section VII, Purchaser’s Requirements. The name, identification and number of lots (contracts) of this RFP are specified in the PDS.
- 1.2. Unless otherwise stated, throughout this request for proposals document definitions and interpretations shall be as prescribed in the Section VIII, General Conditions of Contract.

Throughout this request for proposals document:

- (a) the term “in writing” means communicated in written form (e.g. by mail, e-mail, fax, including if specified in the PDS, distributed or received through the electronic-procurement system used by the Purchaser) with proof of receipt;
- (b) if the context so requires, “singular” means “plural” and vice versa; and
- (c) “Day” means calendar day, unless otherwise specified as “Business Day”. A Business Day is any day that is an official working day of the Borrower. It excludes the Borrower’s official public holidays.
- (d) “ES” means environmental and social (including Sexual Exploitation and Abuse (SEA), and Sexual Harassment (SH));
- (e) “Sexual Exploitation and Abuse” “(SEA)” means the following:

Sexual Exploitation is defined as any actual or attempted abuse of position of vulnerability, differential power or trust, for sexual purposes, including, but not limited to, profiting monetarily, socially or politically from the sexual exploitation of another;

Sexual Abuse is defined as the actual or threatened physical intrusion of a sexual nature, whether by force or under unequal or coercive conditions.
- (f) “Sexual Harassment” “(SH)” is defined as unwelcome sexual advances, requests for sexual favors, and other verbal

or physical conduct of a sexual nature by the Supplier's Personnel with other Supplier's Personnel or Purchaser's Personnel.

(g) "Supplier's Personnel" is as defined in GCC Clause 1.1; and

(h) "Purchaser's Personnel" is as defined in GCC Clause 1.1.

A non-exhaustive list of (i) behaviors which constitute SEA and (ii) behaviors which constitute SH is attached to the Code of Conduct form in Section IV

2. Source of Funds

- 2.1. The Borrower or Recipient (hereinafter called "Borrower") indicated in the PDS has applied for or received financing (hereinafter called "funds") from the International Bank for Reconstruction and Development or the International Development Association (hereinafter called "the Bank") in an amount specified in the PDS toward the project named in the PDS. The Borrower intends to apply a portion of the funds to eligible payments under the contract(s) for which this request for proposals document is issued.
- 2.2. Payments by the Bank will be made only at the request of the Borrower and upon approval by the Bank in accordance with the terms and conditions of the Loan (or other financing) Agreement between the Borrower and the Bank (hereinafter called the Loan Agreement), and will be subject in all respects to the terms and conditions of that Loan (or other financing) Agreement. The Loan (or other financing) Agreement prohibits a withdrawal from the loan account for the purpose of any payment to persons or entities, or for any import of equipment, materials or any other goods, if such payment or import is prohibited by a decision of the United Nations Security Council taken under Chapter VII of the Charter of the United Nations. No party other than the Borrower shall derive any rights from the Loan (or other financing) Agreement or have any claim to the funds.

3. Fraud and Corruption

- 3.1. The Bank requires compliance with the Bank's Anti-Corruption Guidelines and its prevailing sanctions policies and procedures as set forth in the WBG's Sanctions Framework, as set forth in Section VI.
- 3.2. In further pursuance of this policy, Proposers shall permit and shall cause their agents (where declared or not), subcontractors, subconsultants, service providers, suppliers, and personnel, to permit the Bank to inspect all accounts, records and other documents relating to any initial selection process,

prequalification process, bid submission, proposal submission and contract performance (in the case of award), and to have them audited by auditors appointed by the Bank.

4. Eligible Proposers

- 4.1. A Proposer may be a firm that is a private entity, a state-owned enterprise or institution subject to ITP 4.6, or any combination of such entities in the form of a joint venture (JV) under an existing agreement or with the intent to enter into such an agreement supported by a letter of intent. In the case of a joint venture, all members shall be jointly and severally liable for the execution of the Contract in accordance with the Contract terms. The JV shall nominate a Representative who shall have the authority to conduct all business for and on behalf of any and all the members of the JV during the procurement process and, in the event the JV is awarded the Contract, during contract execution. Unless specified in the PDS, there is no limit on the number of members in a JV.
- 4.2. A Proposer shall not have a conflict of interest. Any Proposer found to have a conflict of interest shall be disqualified. A Proposer may be considered to have a conflict of interest for the purpose of this procurement process, if the Proposer:
- (a) directly or indirectly controls, is controlled by or is under common control with another Proposer; or
 - (b) receives or has received any direct or indirect subsidy from another Proposer; or
 - (c) has the same legal representative as another Proposer; or
 - (d) has a relationship with another Proposer, directly or through common third parties, that puts it in a position to influence the Proposal of another Proposer, or influence the decisions of the Purchaser regarding this procurement process; or
 - (e) any of its affiliates participates as a consultant in the preparation of the design or technical specifications of the Information System that are the subject of the Proposal; or
 - (f) or any of its affiliates has been hired (or is proposed to be hired) by the Purchaser or Borrower as Contract Manager for the Contract implementation; or
 - (g) would be providing goods, works, or non-consulting services resulting from or directly related to consulting services for the preparation or implementation of the

project specified in the PDS ITP 2.1 that it provided or were provided by any affiliate that directly or indirectly controls, is controlled by, or is under common control with that firm; or

- (h) has a close business or family relationship with a professional staff of the Borrower (or of the project implementing agency, or of a recipient of a part of the loan) who: (i) are directly or indirectly involved in the preparation of the request for proposals document or specifications of the Contract, and/or the Proposal evaluation process of such Contract; or (ii) would be involved in the implementation or supervision of such Contract unless the conflict stemming from such relationship has been resolved in a manner acceptable to the Bank throughout the procurement process and execution of the Contract.

4.3. A firm that is a Proposer (either individually or as a JV member) shall not participate as a Proposer or as JV member in more than one Proposal except for permitted alternative Proposals. Such participation shall result in the disqualification of all Proposals in which the firm is involved. However, this does not limit the participation of a Proposer as subcontractor in another Proposal or of a firm as a subcontractor in more than one Proposal.

4.4. A Proposer may have the nationality of any country, subject to the restrictions pursuant to ITP 4.8. A Proposer shall be deemed to have the nationality of a country if the Proposer is constituted, incorporated or registered in and operates in conformity with the provisions of the laws of that country, as evidenced by its articles of incorporation (or equivalent documents of constitution or association) and its registration documents, as the case may be. This criterion also shall apply to the determination of the nationality of proposed sub-contractors or sub-consultants for any part of the Contract including related Services.

- 4.5. A Proposer that has been sanctioned by the Bank, pursuant to the Bank's Anti-Corruption Guidelines, and in accordance with its prevailing sanctions policies and procedures as set forth in the WBG's Sanctions Framework as described in Section VI paragraph 2.2 d., shall be ineligible to be initially selected for, prequalified for, bid for, propose for, or be awarded a Bank-financed contract or benefit from a Bank-financed contract, financially or otherwise, during such period of time as the Bank shall have determined. The list of debarred firms and individuals is available at the electronic address specified in the PDS.
- 4.6. Proposers that are state-owned enterprises or institutions in the Purchaser's Country may be eligible to compete and be awarded a Contract(s) only if they can establish, in a manner acceptable to the Bank, that they (i) are legally and financially autonomous (ii) operate under commercial law, and (iii) are not under supervision of the Purchaser.
- 4.7. A Proposer shall not be under suspension from bidding or submitting proposals by the Purchaser as the result of the operation of a Bid-Securing Declaration or Proposal-Securing Declaration.
- 4.8. Firms and individuals may be ineligible if so indicated in Section V and (a) as a matter of law or official regulations, the Borrower's country prohibits commercial relations with that country, provided that the Bank is satisfied that such exclusion does not preclude effective competition for the supply of goods or the contracting of works or services required; or (b) by an act of compliance with a decision of the United Nations Security Council taken under Chapter VII of the Charter of the United Nations, the Borrower's country prohibits any import of goods or contracting of works or services from that country, or any payments to any country, person, or entity in that country.
- 4.9. This request for proposal process is open for all eligible Proposers, unless otherwise specified in ITP 15.2.
- 4.10. A Proposer shall provide such documentary evidence of eligibility satisfactory to the Purchaser, as the Purchaser shall reasonably request.
- 4.11. A firm that is under a sanction of debarment by the Borrower from being awarded a contract is eligible to participate in this procurement, unless the Bank, at the Borrower's request, is satisfied that the debarment; (a) relates to fraud or corruption,

and (b) followed a judicial or administrative proceeding that afforded the firm adequate due process.

5. Eligible Goods and Services

- 5.1. The Information Systems to be supplied under the Contract and financed by the Bank may have their origin in any country in accordance with Section V, Eligible Countries.
- 5.2. For the purposes of this request for proposals document, the term “Information System” means all:
 - (a) the required information technologies, including all information processing and communications-related hardware, software, supplies, and consumable items that the Supplier is required to design, supply and install under the Contract, plus all associated documentation, and all other materials and goods to be designed, supplied, installed, integrated, and made operational; and
 - (b) the related software development, transportation, insurance, installation, customization, integration, commissioning, training, technical support, maintenance, repair, and other services necessary for proper operation of the Information System to be provided by the selected Proposer and as specified in the Contract.
- 5.3. For purposes of ITP 5.1 above, “origin” means the place where the goods and services making the Information System are produced in or supplied from. An Information System is deemed to be produced in a certain country when, in the territory of that country, through software development, manufacturing, or substantial and major assembly or integration of components, a commercially recognized product results that is substantially different in basic characteristics or in purpose or utility from its components.

B. CONTENTS OF THE REQUEST FOR PROPOSALS DOCUMENT

6. Sections of the Request for Proposals Document

- 6.1. The request for proposals document consists of Parts 1, 2, and 3, which include all the sections indicated below, and should be read in conjunction with any Addenda issued in accordance with ITP 8:

PART 1 - Request for Proposals Procedures

Section I - Instructions to Proposers (ITP)

Section II - Proposal Data Sheet (PDS)

Section III - Evaluation and Qualification Criteria

Section IV - Proposal Forms

Section V - Eligible Countries

Section VI - Fraud and Corruption

PART 2 - Purchaser's Requirements

Section VII - Requirements of the IS, including:

- Technical Requirements
- Implementation Schedule
- System Inventory Tables
- Background and Informational Materials

PART 3 - Contract

Section VIII - General Conditions of Contract

Section IX -Special Conditions of Contract

Section X - Contract Forms

- 6.2. The Specific Procurement Notice – Request for Proposals (RFP) issued by the Purchaser is not part of this request for proposals document.
- 6.3. Unless obtained directly from the Purchaser, the Purchaser is not responsible for the completeness of the document, responses to requests for clarification, the Minutes of the pre-Proposal meeting (if any), or Addenda to the request for proposals document in accordance with ITP 8. In case of any contradiction, documents obtained directly from the Purchaser shall prevail.
- 6.4. The Proposer is expected to examine all instructions, forms, terms, and specifications in the request for proposals document and to furnish with its Proposal all information or documentation as is required by the request for proposals document.

7. Clarification of Request for Proposals Document, Site Visit, Pre-Proposal Meeting

- 7.1. A Proposer requiring any clarification of the request for proposals document shall contact the Purchaser in writing at the Purchaser's address specified in the PDS or raise its enquiries during the pre-Proposal meeting if provided for in accordance with ITP 7.4. The Purchaser will respond in writing to any request for clarification, provided that such request is received prior to the deadline for submission of Proposals within a period specified in the PDS. The Purchaser's shall forward copies of its response to all Proposers who have acquired the request for proposals document in accordance with ITP 6.3, including a description of the inquiry but without identifying its source. If so specified in the PDS, the Purchaser shall also promptly publish its response at the web page identified in the PDS. Should the Purchaser deem it necessary to amend the request for proposals document as a result of a request for clarification, it shall do so following the procedure under ITP 8 and ITP 23.2.
- 7.2. The Proposer may wish to visit and examine the site where the Information System is to be installed and its surroundings and obtain for itself on its own responsibility all information that may be necessary for preparing the Proposal and entering into a contract. The costs of visiting the site shall be at the Proposer's own expense.
- 7.3. The Proposer and any of its personnel or agents will be granted permission by the Purchaser to enter upon its premises and lands for the purpose of such visit, but only upon the express condition that the Proposer, its personnel, and agents will release and indemnify the Purchaser and its personnel and agents from and against all liability in respect thereof, and will be responsible for death or personal injury, loss of or damage to property, and any other loss, damage, costs, and expenses incurred as a result of the inspection.
- 7.4. The Proposer's designated representative is invited to attend a pre-Proposal meeting and/or a site visit, if provided for in the PDS. The purpose of the meeting will be to clarify issues and to answer questions on any matter that may be raised at that stage.
- 7.5. The Proposer is requested, as far as possible, to submit any questions in writing, to reach the Purchaser not later than one week before the meeting.
- 7.6. Minutes of the pre-Proposal meeting, including the text of the questions raised without identifying the source, and the responses given, together with any responses prepared after the meeting, will be transmitted promptly to all Proposers who have acquired the request for proposals document in accordance with ITP 6.3. Any

modification to the request for proposals document that may become necessary as a result of the pre-Proposal meeting shall be made by the Purchaser exclusively through the issue of an Addendum pursuant to ITP 8 and not through the minutes of the pre-Proposal meeting.

7.7. Nonattendance at the pre-Proposal meeting will not be a cause for disqualification of a Proposer.

8. Amendment of Request for Proposals Document

8.1. At any time prior to the deadline for submission of Proposals, the Purchaser may amend the request for proposals document by issuing addenda.

8.2. Any addendum issued shall be part of the request for proposals document and shall be communicated in writing to all who have obtained the request for proposals document from the Purchaser in accordance with ITP 6.3. The Purchaser shall also promptly publish the addendum on the Purchaser's web page in accordance with ITP 7.1.

8.3. To give prospective Proposers reasonable time in which to take an addendum into account in preparing their Proposals, the Purchaser may, at its discretion, extend the deadline for the submission of Proposals, pursuant to ITP 23.2.

C. PREPARATION OF PROPOSALS

9. Cost of Proposals

9.1. The Proposer shall bear all costs associated with the preparation and submission of its Proposal, and the Purchaser shall not be responsible or liable for those costs, regardless of the conduct or outcome of the Request for Proposals process.

10. Language of Proposal

10.1. The Proposal, as well as all correspondence and documents relating to the Proposal exchanged by the Proposer and the Purchaser, shall be written in the language specified in the PDS. Supporting documents and printed literature that are part of the Proposal may be in another language provided they are accompanied by an accurate translation of the relevant passages in the language specified in the PDS, in which case, for purposes of interpretation of the Proposal, such translation shall govern.

11. Documents Comprising the Proposal

11.1. The Proposal shall comprise two Parts, namely the Technical Part and the Financial Part. These two Parts shall be submitted simultaneously in two separate sealed envelopes (two-envelope procurement process). One envelope shall contain only information relating to the Technical Part and the other, only information relating to the Financial Part. These two envelopes

shall be enclosed in a separate sealed outer envelope marked “Original Proposal”.

11.2. The Technical Part shall contain the following:

- (a) **Letter of Proposal**-Technical Part, prepared in accordance with ITP 12;
- (b) **Proposal Security or Proposal-Securing Declaration** in accordance with ITP 20;
- (c) **Alternative Proposal- Technical Part:** if permissible, in accordance with ITP 13, the Technical Part of any Alternative Proposal;
- (d) **Authorization:** written confirmation authorizing the signatory of the Proposal to commit the Proposer, in accordance with ITP 21.3;
- (e) **Eligibility of Information System:** documentary evidence established in accordance with ITP 14.1 that the Information System offered by the Proposer in its Proposal or in any alternative Proposal, if permitted, are eligible;
- (f) **Proposer’s Eligibility and qualifications:** documentary evidence in accordance with ITP 15 establishing the Proposer’s eligibility and qualifications to perform the contract if its Proposal is accepted;
- (g) **Conformity:** documentary evidence established in accordance with ITP 16 that the Information System offered by the Proposer conform to the **request for proposals** document;
- (h) **Subcontractors:** list of subcontractors, in accordance with ITP 16.4;
- (i) **Intellectual Property:** a list of: Intellectual Property as defined in GCC Clause 15;
 - (i) all Software included in the Proposal, assigning each item to one of the software categories defined in GCC Clause 1.1 (c):
 - a. System, General Purpose, and Application Software;
or
 - b. Standard and Custom Software;
 - (ii) all Custom Materials, as defined in GCC Clause 1.1 (c), included in the Proposal;

All Materials not identified as Custom Materials shall be deemed Standard Materials, as defined in GCC Clause 1.1 (c);

Re-assignments among the Software and Materials categories, if necessary, will be made during the implementation of the Contract according to GCC Clause 39 (Changes to the Information System); and

- (j) any other document required **in the PDS**.

11.3. The Financial Part shall contain the following:

- (a) Letter of Proposal – Financial Part: prepared in accordance with ITP 12 and ITP 17;
- (b) Schedule: Price Schedules completed in accordance with ITP 12 and ITP 17;
- (c) Alternative Proposal - Financial Part: if permissible in accordance with ITP 13, the Financial Part of any Alternative Proposal; and
- (d) any other document required in the PDS.

11.4. The Technical Part shall not include any information related to the Proposal price. Where material financial information related to the Proposal price is contained in the Technical Part the Proposal shall be declared non-responsive.

11.5. In addition to the requirements under ITP 11.2, Proposals submitted by a JV shall include in the Technical Part a copy of the Joint Venture Agreement entered into by all members indicating at least the parts of the Information System to be executed by the respective members. Alternatively, a letter of intent to execute a Joint Venture Agreement in the event of a successful Proposal shall be signed by all members and submitted with the Proposal, together with a copy of the proposed Agreement indicating at least the parts of the Information System to be executed by the respective members.

11.6. The Proposer shall furnish in the Letter of Proposal - Financial Part information on commissions and gratuities, if any, paid or to be paid to agents or any other party relating to this procurement process.

12. Letters of Proposal and Price Schedules

12.1. The Letter of Proposal - Technical Part, Letter of Proposal-Financial Part and Price Schedules shall be prepared using the relevant forms furnished in Section IV, Proposal Forms. The forms must be completed without any alterations to the text, and no

substitutes shall be accepted except as provided under ITP 21.3. All blank spaces shall be filled in with the information requested.

13. Alternative Proposals

- 13.1. The PDS indicates whether alternative Proposals are allowed. If they are allowed, the PDS will also indicate whether they are permitted in accordance with ITP 13.3, or invited in accordance with ITP 13.2 and/or ITP 13.4.
- 13.2. When alternatives to the Time Schedule are explicitly invited, a statement to that effect will be included in the PDS, and the method of evaluating different time schedules will be described in Section III, Evaluation and Qualification Criteria.
- 13.3. Except as provided under ITP 13.4 below, Proposers wishing to offer technical alternatives to the Purchaser's requirements as described in the request for proposals document must also provide: (i) a price at which they are prepared to offer an Information System meeting the Purchaser's requirements; and (ii) all information necessary for a complete evaluation of the alternatives by the Purchaser, including drawings, design calculations, technical specifications, breakdown of prices, and proposed installation methodology and other relevant details. Only the technical alternatives, if any, of the Proposer with the Most Advantageous Proposal conforming to the basic technical requirements shall be considered by the Purchaser.
- 13.4. When Proposers are invited in the PDS to submit alternative technical solutions for specified parts of the system, such parts shall be described in Section VII, Purchaser's Requirements. Technical alternatives that comply with the performance and technical criteria specified for the Information System shall be considered by the Purchaser on their own merits, pursuant to ITP 32.

14. Documents Establishing the Eligibility of the Information System

- 14.1. To establish the eligibility of the Information System in accordance with ITP 5, Proposers shall complete the country of origin declarations in the Price Schedule Forms, included in Section IV, Proposal Forms.

15. Documents Establishing the Eligibility and Qualifications of the Proposer

- 15.1. To establish its eligibility and qualifications to perform the Contract in accordance with Section III, Evaluation and Qualification Criteria, the Proposer shall provide the information requested in the corresponding information sheets included in Section IV, Proposal Forms.
- 15.2. In the event that prequalification of potential Proposers has been undertaken as stated in the PDS, only Proposals from prequalified

Proposers shall be considered for award of Contract. These qualified Proposers should submit with their Proposals any information updating their original prequalification applications or, alternatively, confirm in their Proposals that the originally submitted prequalification information remains essentially correct as of the date of Proposal submission.

- 15.3. Any change in the structure or formation of a Proposer after being prequalified and invited to submit Proposals, if applicable, (including, in the case of a JV, any change in the structure or formation of any member and also including any change in any specialized subcontractor whose qualifications were considered to prequalify the Applicant) shall be subject to the written approval of the Purchaser prior to the deadline for submission of Proposals. Such approval shall be denied if (i) a Proposer proposes to associate with a disqualified Proposer or in case of a disqualified joint venture, any of its members; (ii) as a consequence of the change, the Proposer no longer substantially meets the qualification criteria; or (iii) in the opinion of the Purchaser, the change may result in a substantial reduction in competition. Any such change should be submitted to the Purchaser not later than fourteen (14) days after the date of the notice for RFP sent to the prequalified Proposers.

**16. Documents
Establishing
Conformity of
the
Information
System**

- 16.1. Pursuant to ITP 11.2 (g), the Proposer shall furnish, as part of its Proposal, documents establishing the conformity to the request for proposals documents of the Information System that the Proposer proposes to design, supply and install under the Contract.
- 16.2. The documentary evidence of conformity of the Information System to the request for proposals documents including:
- (a) Preliminary Project Plan describing, among other things, the methods by which the Proposer will carry out its overall management and coordination responsibilities if awarded the Contract, and the human and other resources the Proposer proposes to use. The Preliminary Project Plan must also address any other topics specified in the PDS. In addition, the Preliminary Project Plan should state the Proposer's assessment of what it expects the Purchaser and any other party involved in the implementation of the Information System to provide during implementation and how the Proposer proposes to coordinate the activities of all involved parties;
 - (b) written confirmation that the Proposer accepts responsibility for the successful integration and inter-operability of all

- components of the Information System as required by the request for proposals documents;
- (c) an item-by-item commentary on the Purchaser's Technical Requirements, demonstrating the substantial responsiveness of the Information System offered to those requirements. In demonstrating responsiveness, the Proposer should use the Technical Responsiveness Checklist (or Checklist Format) in the Sample Proposal Forms (Section IV). The commentary shall include explicit cross-references to the relevant pages in the supporting materials included in the Proposal. Whenever a discrepancy arises between the item-by-item commentary and any catalogs, technical specifications, or other preprinted materials submitted with the Proposal, the item-by-item commentary shall prevail;
 - (d) support material (e.g., product literature, white papers, narrative descriptions of technologies and/or technical approaches), as required and appropriate; and
 - (e) any separate and enforceable contract(s) for Recurrent Cost items which the PDS ITP 17.2 requires Proposers to propose.
- 16.3. References to brand names or model numbers or national or proprietary standards designated by the Purchaser in the request for proposals documents are intended to be descriptive and not restrictive. Except as specified in the PDS for specific items or standards, the Proposer may substitute alternative brand/model names or standards in its Proposal, provided that it demonstrates to the Purchaser's satisfaction that the use of the substitute(s) will result in the Information System being able to perform substantially equivalent to or better than that specified in the Technical Requirements.
- 16.4. For major items of the Information System as listed by the Purchaser in Section III, Evaluation and Qualification Criteria, which the Proposer intends to purchase or subcontract, the Proposer shall give details of the name and nationality of the proposed subcontractors, including manufacturers, for each of those items. In addition, the Proposer shall include in its Proposal information establishing compliance with the requirements specified by the Purchaser for these items. Quoted rates and prices will be deemed to apply to whichever subcontractor is appointed, and no adjustment of the rates and prices will be permitted.
- 16.5. The Proposer shall be responsible for ensuring that any subcontractor proposed complies with the requirements of ITP 4, and that any goods or services to be provided by the subcontractor comply with the requirements of ITP 5 and ITP 16.1.

- 17. Proposal Prices**
- 17.1. All Goods and Services identified in the Supply and Installation Cost Sub-Tables in System Inventory Tables in Section VII, and all other Goods and Services proposed by the Proposer to fulfill the requirements of the Information System, must be priced separately and summarized in the corresponding cost tables in the Sample Proposal Forms (Section IV), in accordance with the instructions provided in the tables and in the manner specified below.
- 17.2. Unless otherwise specified in the PDS, the Proposer must also propose Recurrent Cost Items specified in the Technical Requirements, Recurrent Cost Sub-Table of the System Inventory Tables in Section VII (if any). These must be priced separately and summarized in the corresponding cost tables in the Sample Proposal Forms (Section IV), in accordance with the instructions provided in the tables and in the manner specified below:
- (a) if specified **in the PDS**, the Proposer must also propose separate enforceable contracts for the Recurrent Cost Items not included in the main Contract;
 - (b) prices for Recurrent Costs are all-inclusive of the costs of necessary Goods such as spare parts, software license renewals, labor, etc., needed for the continued and proper operation of the Information System and, if appropriate, of the Proposer's own allowance for price increases;
 - (c) prices for Recurrent Costs beyond the scope of warranty services to be incurred during the Warranty Period, defined in GCC Clause 29.4 and prices for Recurrent Costs to be incurred during the Post-Warranty Period, defined in SCC Clause 1.1. (e) (xiii), shall be quoted as Service prices on the Recurrent Cost Sub-Table in detail, and on the Recurrent Cost Summary Table in currency totals.
- 17.3. Unit prices must be quoted at a level of detail appropriate for calculation of any partial deliveries or partial payments under the contract, in accordance with the Implementation Schedule in Section VII), and with GCC and SCC Clause 12 – Terms of Payment. Proposers may be required to provide a breakdown of any composite or lump-sum items included in the Cost Tables
- 17.4. The price of items that the Proposer has left blank in the cost tables provided in the Sample Proposal Forms (Section IV) shall be assumed to be included in the price of other items. Items omitted altogether from the cost tables shall be assumed to be omitted from the Proposal and, provided that the Proposal is substantially

responsive, an adjustment to the Proposal price will be made during Proposal evaluation in accordance with ITP 34.1.

- 17.5. The prices for Goods components of the Information System are to be expressed and shall be defined and governed in accordance with the rules prescribed in the edition of Incoterms specified in the PDS, as follows:

- (a) Goods supplied from outside the Purchaser's country:

Unless otherwise specified **in the PDS**, the prices shall be quoted on a CIP (named place of destination) basis, exclusive of all taxes, stamps, duties, levies, and fees imposed in the Purchaser's country. The named place of destination and special instructions for the contract of carriage are as specified in the SCC for GCC 1.1 (e) (iii). In quoting the price, the Proposer shall be free to use transportation through carriers registered in any eligible countries. Similarly, the Proposer may obtain insurance services from any eligible source country;

- (b) Locally supplied Goods:

Unit prices of Goods offered from within the Purchaser's Country, shall be quoted on an EXW (ex factory, ex works, ex warehouse or off-the-shelf, as applicable) basis, including all customs duties, levies, fees, sales and other taxes incurred until delivery of the Goods, but excluding all VAT or sales and other taxes and duties/fees incurred for the Goods at the time of invoicing or sales transaction, if the Contract is awarded;

- (c) Inland transportation.

- 17.6. Unless otherwise stated in the PDS, inland transportation, insurance and related local costs incidental to the delivery of the Goods to the designated Project Sites must be quoted separately as a Service item in accordance with ITP 17.5, whether the Goods are to be supplied locally or from outside the Purchaser's country, except when these costs are already included in the price of the Goods, as is, e.g., the case, when ITP 17.5 (a) specifies CIP, and the named places of destination are the Project Sites.

- 17.7. The price of Services shall be separated into their local and foreign currency components and where appropriate, broken down into unit prices. Prices must include all taxes, duties, levies and fees whatsoever, except only VAT or other indirect taxes, or stamp duties, that may be assessed and/or apply in the Purchaser's country on/to the price of the Services invoiced to the Purchaser, if the Contract is awarded.

- 17.8. Unless otherwise specified in the PDS, the prices must include all costs incidental to the performance of the Services, as incurred by the Supplier, such as travel, subsistence, office support, communications, translation, printing of materials, etc. Costs incidental to the delivery of the Services but incurred by the Purchaser or its staff, or by third parties, must be included in the price only to the extent such obligations are made explicit in these request for proposals documents (as, e.g., a requirement for the Proposer to include the travel and subsistence costs of trainees).
- 17.9. Unless otherwise specified in the PDS, prices quoted by the Proposer shall be fixed during the Proposer's performance of the Contract and not subject to increases on any account. Proposals submitted that are subject to price adjustment will be rejected.
- 18. Currencies of Proposal and Payment**
- 18.1. The currency(ies) of the Proposal and currencies of payment shall be the same. The Proposer shall quote in the currency of the Purchaser's Country the portion of the Proposal price that corresponds to expenditures incurred in the currency of the Purchaser's Country, unless otherwise specified in the PDS.
- 18.2. The Proposer may express the Proposal price in any currency. If the Proposer wishes to be paid in a combination of amounts in different currencies, it may quote its price accordingly but shall use no more than three foreign currencies in addition to the currency of the Purchaser's Country.
- 19. Period of Validity of Proposals**
- 19.1. Proposals shall remain valid until the date specified in the PDS or any extended date if amended by the Purchaser in accordance with ITP 8. A Proposal that is not valid until the date specified in the PDS, or any extended date if amended by the Purchaser in accordance with ITP 8, shall be rejected by the Purchaser as nonresponsive.
- 19.2. In exceptional circumstances, prior to the date of expiry of the Proposal validity, the Purchaser may request Proposers to extend the date of validity until a specified date. The request and the responses shall be made in writing. If a Proposal Security is requested in accordance with ITP 20, it shall also be extended for twenty-eight days (28) beyond the deadline of the extended validity period. A Proposer may refuse the request without forfeiting its Proposal Security. A Proposer granting the request shall not be required or permitted to modify its Proposal, except as provided in ITP 19.3.
- 19.3. If the award is delayed by a period exceeding fifty-six (56) days beyond the expiry of the initial Proposal validity specified in

accordance with ITP 19.1, the Contract price shall be determined as follows:

- (a) in case of fixed price contracts, the contract price shall be the Proposal price adjusted by a factor or factors specified **in the PDS**;
- (b) in the case of an adjustable price contracts, no adjustments shall be made;
- (c) in any case, Proposal evaluation shall be based on the Proposal Price without taking into consideration the applicable correction from those indicated above.

20. Proposal Security

- 20.1. The Proposer shall furnish as part of the Technical Part of its Proposal, either a Proposal-Securing Declaration or a Proposal Security as specified in the PDS, in original form and, in the case of a Proposal Security, in the amount and currency specified in the PDS.
- 20.2. A Proposal-Securing Declaration shall use the form included in Section IV, Proposal Forms.
- 20.3. If a Proposal Security is specified pursuant to ITP 20.1, the Proposal security shall be a demand guarantee in any of the following forms at the Proposer's option:
 - (a) an unconditional guarantee issued by a non-bank financial institution (such as an insurance, bonding or surety company);
 - (b) an irrevocable letter of credit;
 - (c) a cashier's or certified check; or
 - (d) another security indicated **in the PDS**,

from a reputable source from an eligible country. If an unconditional guarantee is issued by a non-bank financial institution located outside the Purchaser's Country the issuing non-bank financial institution shall have a correspondent financial institution located in the Purchaser's Country to make it enforceable unless the Purchaser has agreed in writing, prior to Proposal submission, that a correspondent financial institution is not required. In the case of a bank guarantee, the Proposal Security shall be submitted either using the Proposal Security Form included in Section IV, Proposal Forms or in another substantially similar format approved by the Purchaser prior to Proposal submission. In either case, the form must include the complete name of the Proposer. The Proposal Security shall be valid for twenty-eight (28) days beyond the original date of expiry of the Proposal validity, or beyond any extended date if requested under ITP 19.2.

- 20.4. If a Proposal Security or a Proposal-Securing Declaration is specified pursuant to ITP 20.1, any Proposal not accompanied by a substantially responsive Proposal Security or Proposal-Securing Declaration shall be rejected by the Purchaser as non-responsive.
- 20.5. If a Proposal Security is specified pursuant to ITP 20.1, the Proposal Security of unsuccessful Proposers shall be returned as promptly as possible upon the successful Proposer's furnishing of the Performance Security pursuant to ITP 48.
- 20.6. The Proposal Security of the successful Proposer shall be returned as promptly as possible once the successful Proposer has signed the Contract and furnished the required Performance Security.
- 20.7. The Proposal Security may be forfeited:
 - (a) if a Proposer withdraws its Proposal prior to the expiry date of Proposal validity specified by the Proposer on the Letter of Proposal or any extended date provided by the Proposer; or
 - (b) if the successful Proposer fails to:
 - (i) sign the Contract in accordance with ITP 47; or
 - (ii) furnish a performance security in accordance with ITP 48.

20.8. The Proposal Security or the Proposal-Securing Declaration of a JV shall be in the name of the JV that submits the Proposal. If the JV has not been legally constituted into a legally enforceable JV at the time of submission of Proposals, the Proposal Security or the Proposal-Securing Declaration shall be in the names of all future members as named in the letter of intent referred to in ITP 4.1 and ITP 11.5.

20.9. If a Proposal Security is not required in the PDS, and;

- (a) if a Proposer withdraws its Proposal prior to the expiry date of the Proposal validity specified by the Proposer on the Letter of Proposal, or any extended date provided by the Proposer; or
- (b) if the successful Proposer fails to: sign the Contract in accordance with ITP 47; or furnish a Performance Security in accordance with ITP 48;

the Purchaser may, if provided for **in the PDS**, declare the Proposer disqualified to be awarded a contract by the Purchaser for a period of time as stated **in the PDS**.

21. Format and Signing of Proposal

21.1. The Proposer shall prepare one original and copies/sets of the documents comprising the Proposal as described in ITP 11 and Proposer22.

21.2. Proposers shall mark as “CONFIDENTIAL” information in their Proposals which is confidential to their business. This may include proprietary information, trade secrets, or commercial or financially sensitive information.

21.3. The original and all copies of the Proposal shall be typed or written in indelible ink and shall be signed by a person duly authorized to sign on behalf of the Proposer. This authorization shall consist of a written confirmation as specified in the PDS and shall be attached to the Proposal. The name and position held by each person signing the authorization must be typed or printed below the signature. All pages of the Proposal where entries or amendments have been made shall be signed or initialed by the person signing the Proposal.

- 21.4. In case the Proposer is a JV, the Proposal shall be signed by an authorized representative of the JV on behalf of the JV, and so as to be legally binding on all the members as evidenced by a power of attorney signed by their legally authorized representatives.
- 21.5. Any interlineations, erasures, or overwriting shall be valid only if they are signed or initialed by the person signing the Proposal.

D. SUBMISSION OF PROPOSALS

22. Submission, Sealing and Marking of Proposals

- 22.1. The Proposer shall deliver the Proposal in two separate, sealed envelopes (the Technical Part and the Financial Part). These two envelopes shall be enclosed in a separate sealed outer envelope marked “Original PROPOSAL”. In addition, the Proposer shall submit copies of the Proposal in the number specified in the PDS. Copies of the Technical Part shall be placed in a separate sealed envelope marked “COPIES: TECHNICAL PART”. Copies of the Financial Part shall be placed in a separate sealed envelope marked “COPIES: FINANCIAL PART”. The Proposer shall place both of these envelopes in a separate, sealed outer envelope marked “PROPOSAL COPIES”. In the event of any discrepancy between the original and the copies, the original shall prevail.
- 22.2. If alternative Proposals are permitted in accordance with ITP 14, the alternative Proposals shall be submitted as follows: the original of the alternative Proposal Technical Part shall be placed in a sealed envelope marked “ALTERNATIVE PROPOSAL – TECHNICAL PART” and the Financial Part shall be placed in a sealed envelope marked “ALTERNATIVE PROPOSAL – FINANCIAL PART” and these two separate sealed envelopes then enclosed within a sealed outer envelope marked “ALTERNATIVE PROPOSAL – ORIGINAL”, the copies of the alternative Proposal will be placed in separate sealed envelopes marked “ALTERNATIVE PROPOSAL – COPIES OF TECHNICAL PART”, and “ALTERNATIVE PROPOSAL – COPIES OF FINANCIAL PART” and enclosed in a separate sealed outer envelope marked “ALTERNATIVE PROPOSAL - COPIES.
- 22.3. The envelopes marked “ORIGINAL PROPOSAL” and “PROPOSAL COPIES” (and, if appropriate, a third envelope marked “ALTERNATIVE PROPOSAL”) shall be enclosed in a separate sealed outer envelope for submission to the Purchaser.
- 22.4. The inner and outer envelopes shall:
 - (a) bear the name and address of the Proposer;
 - (b) be addressed to the Purchaser in accordance with ITP 23.1;

- (c) bear the specific identification of this request for proposals process indicated in accordance with ITP 1.1; and
 - (d) bear a warning not to open before the time and date for Proposal opening.
- 22.5. If all envelopes are not sealed and marked as required, the Purchaser will assume no responsibility for the misplacement or premature opening of the Proposal.
- 23. Deadline for Submission of Proposals**
 - 23.1. Proposals must be received by the Purchaser at the address and no later than the date and time indicated in the PDS. When so specified in the PDS, Proposers shall have the option of submitting their Proposals electronically. Proposers submitting Proposals electronically shall follow the electronic Proposal submission procedures specified in the PDS.
 - 23.2. The Purchaser may, at its discretion, extend this deadline for submission of Proposals by amending the request for proposals documents in accordance with ITP 8, in which case all rights and obligations of the Purchaser and Proposers will thereafter be subject to the deadline as extended.
- 24. Late Proposals**
 - 24.1. The Purchaser shall not consider any Proposal that arrives after the deadline for submission of Proposals, in accordance with ITP 23. Any Proposal received by the Purchaser after the deadline for submission of Proposals shall be declared late, rejected, and returned unopened to the Proposer.
- 25. Withdrawal, Substitution, and Modification of Proposals**
 - 25.1. A Proposer may withdraw, substitute, or modify its Proposal after it has been submitted by sending a written notice, duly signed by an authorized representative, and shall include a copy of the authorization in accordance with ITP 21.3, (except that withdrawal notices do not require copies). The corresponding substitution or modification of the Proposal must accompany the respective written notice. All notices must be:
 - (a) prepared and submitted in accordance with ITP 21 and ITP 22 (except that withdrawals notices do not require copies), and in addition, the respective envelopes shall be clearly marked “WITHDRAWAL,” “SUBSTITUTION,” “MODIFICATION;” and
 - (b) received by the Purchaser prior to the deadline prescribed for submission of Proposals, in accordance with ITP 23.

- 25.2. Proposals requested to be withdrawn in accordance with ITP 25.1 shall be returned unopened to the Proposers.

No Proposal may be withdrawn, substituted, or modified in the interval between the deadline for submission of Proposals and the date of expiry of the Proposal validity specified by the Proposer on the Letter of Proposal or any extended date thereof.

E. PUBLIC OPENING OF TECHNICAL PARTS OF PROPOSALS

26. Public Opening of Technical Parts of Proposals

- 26.1. Except as in the cases specified in ITP 24 and ITP 25.2, the Purchaser shall conduct the Proposal opening in public, in the presence of Proposers' designated representatives and anyone who chooses to attend, and at the address, date and time specified in the PDS. Any specific electronic Proposal opening procedures required if electronic submission of proposals is permitted in accordance with ITP 23.1, shall be as specified in the PDS.
- 26.2. First, envelopes marked "Withdrawal" shall be opened and read out and the envelope with the corresponding Proposal shall not be opened but returned to the Proposer. No Proposal withdrawal shall be permitted unless the corresponding withdrawal notice contains a valid authorization to request the withdrawal and is read out at Proposal opening.
- 26.3. Next, envelopes marked "Substitution" shall be opened and read out and exchanged with the corresponding Proposal being substituted, and the substituted Proposal shall not be opened, but returned to the Proposer. No Proposal substitution shall be permitted unless the corresponding substitution notice contains a valid authorization to request the substitution and is read out at Proposal opening.
- 26.4. Envelopes marked "Modification" shall be opened and read out with the corresponding Proposal. No Proposal modification shall be permitted unless the corresponding modification notice contains a valid authorization to request the modification and is read out at Proposal opening. Only Proposals that are opened and read out at Proposal opening shall be considered further.
- 26.5. Next, all other envelopes marked "Technical Part" shall be opened one at a time. All envelopes marked "Second Envelope: Financial Part" shall remain sealed and kept by the Purchaser in safe custody until they are opened at a later public opening, following the evaluation of the Technical Part parts of the Proposals. On opening the envelopes marked "Technical Part" the Purchaser shall read out: the name of the Proposer, the presence or the absence of a Proposal Security, or Proposal-Securing Declaration,

if required, and whether there is a modification; and Alternative Proposal - Technical Part; and any other details as the Purchaser may consider appropriate.

- 26.6. Only Technical Parts of Proposals and Alternative Proposal - Technical Parts that are read out at Proposal opening shall be considered further for evaluation. The Letter of Proposal-Technical Part and the separate sealed envelope marked “Second Envelope: Financial Part” are to be initialed by representatives of the Purchaser attending Proposal opening in the manner specified in the PDS.
- 26.7. The Purchaser shall neither discuss the merits of any Proposal nor reject any Proposal (except for late Proposals, in accordance with ITP 24.1).
- 26.8. The Purchaser shall prepare a record of the Proposal opening that shall include, as a minimum:
 - (a) the name of the Proposer and whether there is a withdrawal, substitution, or modification;
 - (b) any alternative Proposals; and
 - (c) the presence or absence of a Proposal Security or a Proposal-Securing Declaration.
- 26.9. The Proposers’ representatives who are present shall be requested to sign the record. The omission of a Proposer’s signature on the record shall not invalidate the contents and effect of the record. A copy of the record shall be distributed to all Proposers.

F. EVALUATION OF PROPOSALS- GENERAL PROVISIONS

- 27. Confidentiality**
 - 27.1. Information relating to the evaluation of the Technical Part shall not be disclosed to Proposers or any other persons not officially concerned with the procurement process until the notification of evaluation of the Technical Part in accordance with ITP 33. Information relating to the evaluation of Financial Part, the evaluation of combined Technical Part and Financial Part, and recommendation of contract award shall not be disclosed to Proposers or any other persons not officially concerned with the RFP process until the Notification of Intention to Award the Contract is transmitted to Proposers in accordance with ITP 42ProposerProposer.
 - 27.2. Any effort by a Proposer to influence the Purchaser in the evaluation of the Proposals or Contract award decisions may result in the rejection of its Proposal.

- 27.3. Notwithstanding ITP 27.2, from the time of Proposal opening to the time of Contract award, if any Proposer wishes to contact the Purchaser on any matter related to the procurement process, it should do so in writing.

28. Clarification of Proposals

- 28.1. To assist in the examination, evaluation, and comparison of the Proposals, and qualification of the Proposers, the Purchaser may, at its discretion, ask any Proposer for a clarification of its Proposal. Any clarification submitted by a Proposer that is not in response to a request by the Purchaser shall not be considered. The Purchaser's request for clarification and the response shall be in writing. No change in the prices or substance of the Proposal shall be sought, offered, or permitted, except to confirm the correction of arithmetic errors discovered by the Purchaser in the evaluation of the Proposals, in accordance with ITP 35.
- 28.2. If a Proposer does not provide clarifications of its Proposal by the date and time set in the Purchaser's request for clarification, its Proposal may be rejected.

29. Deviations, Reservations, and Omissions

- 29.1. During the evaluation of Proposals, the following definitions apply:
- (a) "Deviation" is a departure from the requirements specified in the request for proposals document;
 - (b) "Reservation" is the setting of limiting conditions or withholding from complete acceptance of the requirements specified in the request for proposals document; and
 - (c) "Omission" is the failure to submit part or all of the information or documentation required in the request for proposals document.
- 29.2. Provided that a Proposal is substantially responsive, the Purchaser may waive any nonmaterial nonconformities in the Proposal.
- Provided that a Proposal is substantially responsive, the Purchaser may request that the Proposer submit the necessary information or documentation, within a reasonable period of time, to rectify nonmaterial nonconformities in the Proposal related to documentation requirements. Requesting information or documentation on such nonconformities shall not be related to any aspect of the price of the Proposal. Failure of the Proposer to comply with the request may result in the rejection of its Proposal.

G. EVALUATION OF TECHNICAL PART OF PROPOSALS

30. Determination of Responsiveness

- 30.1. The Purchaser's determination of the Technical Part's responsiveness shall be based on the contents of the Proposal, as specified in ITP 11.
- 30.2. Preliminary examination of the Technical Part shall be carried out to identify proposals that are incomplete, invalid or substantially nonresponsive to the requirements of the request for proposals documents. A substantially responsive Proposal is one that materially confirms to the requirements of the request for proposals document without material deviation, reservation, or omission. A material deviation, reservation, or omission is one that,
- (a) if accepted, would:
 - (i) affect in any substantial way the scope, quality, or performance of the Works specified in the Contract; or
 - (ii) limit in any substantial way, inconsistent with the request for proposals document, the Purchaser's rights or the Proposer's obligations under the proposed Contract; or
 - (iii) limit in any substantial way, inconsistent with the request for proposals document, the Purchaser's rights or the Proposer's obligations under the proposed Contract; or
 - (b) if rectified, would unfairly affect the competitive position of other Proposers presenting substantially responsive Proposals.
- 30.3. If the Technical Part is not substantially responsive to the requirements of the request for proposals document, it shall be rejected by the Purchaser and may not subsequently be made responsive by correction of the material deviation, reservation, or omission.

31. Eligibility and Qualifications of the Proposer

- 31.1. The Purchaser shall determine to its satisfaction whether the Proposers that have been assessed to have submitted substantially responsive Proposals are eligible, and either continue to meet (if prequalification applies) or meet (if prequalification has not been carried out), the qualifying criteria specified in Section III, Evaluation and Qualification Criteria.
- 31.2. The determination shall be based upon an examination of the documentary evidence of the Proposer's eligibility and

qualifications submitted by the Proposer, pursuant to ITP 15. The determination shall not take into consideration the qualifications of other firms such as the Proposer's subsidiaries, parent entities, affiliates, subcontractors (other than Specialized Subcontractors if permitted in the request for proposals document), or any other firm.

- 31.3. Prior to Contract award, the Purchaser will verify that the successful Proposer (including each member of a JV) is not disqualified by the Bank due to noncompliance with contractual SEA/SH prevention and response obligations. The Purchaser will conduct the same verification for each subcontractor proposed by the successful Proposer. If any proposed subcontractor does not meet the requirement, the Purchaser will require the Proposer to propose a replacement subcontractor.
- 31.4. Only substantially responsive Proposals submitted by eligible and qualified Proposers shall proceed to the detailed technical evaluation specified in ITP 32.
- 31.5. The Purchaser's evaluation of Technical Part will be carried out as specified in Section III, Evaluation and Qualification Criteria.

**32. Detailed
Evaluation of
Technical Part**

- 32.1. The scores and weightings to be given to Rated Criteria (including technical and non-price factors and sub factors) are specified in the PDS.

H. NOTIFICATION OF EVALUATION OF TECHNICAL PARTS AND PUBLIC OPENING OF FINANCIAL PARTS

**33. Notification of
Evaluation of
Technical Parts
and Public
Opening of
Financial Parts**

- 33.1. Following the completion of the evaluation of the Technical Parts of the Proposals, the Purchaser shall notify in writing those Proposers whose Proposals were considered substantially non-responsive to the request for proposals document or failed to meet the eligibility and qualification requirements, advising them of the following information:
 - (a) the grounds on which their Technical Part of Proposal failed to meet the requirements of the request for proposals document;
 - (b) their envelopes marked "SECOND ENVELOPE: FINANCIAL PART" will be returned to them unopened after the completion of the selection process and the signing of the Contract; and

- (c) Option 1: when BAFO or negotiations is not to be applied notify them of the date, time and location of the public opening of the envelopes marked ‘Financial Part’, or;

Option 2: when BAFO or negotiations apply as specified in the PDS, notify them that: (i) the envelopes marked ‘Financial Part’ will not be opened in public, but in the presence of a probity auditor appointed by the Purchaser, and that (ii) the announcement of the names of the Proposers whose Financial Parts will be opened and the total Proposal prices will be deferred to the time that the Notification of Intention to Award the contract is issued.

- 33.2. The Purchaser shall, simultaneously, notify in writing those Proposers whose Technical Part have been evaluated as substantially responsive to the request for proposals document and met the eligibility and qualification requirements, advising them of the following information:
- 33.3. their Proposal has been evaluated as substantially responsive to the request for proposals document and met the eligibility and qualification requirements;
- 33.4. Option 1: when BAFO or negotiations is not to be applied notify them of the date, time and location of the public opening of the envelopes marked ‘Financial Part’, or;

Option 2: when BAFO or negotiations apply as specified in the PDS, notify them that: (i) the envelopes marked ‘Financial Part’ will not be opened in public, but in the presence of a probity auditor appointed by the Purchaser, and that (ii) the announcement of the names of the Proposers whose Financial Parts will be opened and the total Proposal prices will be deferred to the time that the Notification of Intention to Award the contract is issued.

- 33.5. When BAFO or negotiations do not apply as specified in the PDS, the Financial Part of the Proposal shall be opened publicly in the presence of Proposers’ designated representatives and anyone who chooses to attend.
- 33.6. The opening date shall be not less than ten (10) Business Days from the date of notification of the results of the technical evaluation, specified in ITP 33.1 and 33.2. However, if the Purchaser receives a complaint on the results of the technical evaluation within the ten (10) Business Days, the opening date shall be subject to ITP 50.1.

- 33.7. At this public opening, the Financial Parts will be opened by the Purchaser in the presence of Proposers, or their designated representatives and anyone else who chooses to attend. Proposers who met the eligibility and qualification requirements and whose Proposals were evaluated as substantially responsive will have their envelopes marked “SECOND ENVELOPE: FINANCIAL PART” opened at the second public opening. Each of these envelopes marked “SECOND ENVELOPE: FINANCIAL PART” shall be inspected to confirm that they have remained sealed and unopened. These envelopes shall then be opened by the Purchaser. The Purchaser shall read out the names of each Proposer, the technical score and the total Proposal prices, per lot (contract) if applicable, including any discounts and Alternative Proposal - Financial Part, and any other details as the Purchaser may consider appropriate.
- 33.8. Only envelopes of Financial Part of Proposals, Financial Parts of Alternative Proposals and discounts that are opened and read out at Proposal opening shall be considered further for evaluation. The Letter of Proposal – Financial Part and the Price Schedules are to be initialed by a representative of the Purchaser attending the Proposal opening in the manner specified in the PDS.
- 33.9. The Purchaser shall neither discuss the merits of any Proposal nor reject any envelopes marked “SECOND ENVELOPE: FINANCIAL PART” at this public opening.
- 33.10. The Purchaser shall prepare a record of the Financial Part of the Proposal opening that shall include, as a minimum: (a) the name of the Proposer whose Financial Part was opened; (b) the Proposal price, per lot (contract) if applicable, including any discounts; and (c) if applicable, any Alternative Proposal – Financial Part.
- 33.11. The Proposers whose envelopes marked “SECOND ENVELOPE: FINANCIAL PART” have been opened or their representatives who are present shall be requested to sign the record. The omission of a Proposer’s signature on the record shall not invalidate the contents and effect of the record. A copy of the record shall be distributed to all Proposers.
- 33.12. When, as specified in the PDS, BAFO or negotiations apply the Financial Parts will not be opened in public and will be opened in the presence of a probity auditor appointed by the Purchaser.
- 33.13. At the opening each of the envelopes marked “Financial Part” shall be inspected to confirm that they have remained sealed and unopened. These envelopes shall then be opened by the Purchaser. The Purchaser shall record the names of each Proposer, and the total Proposal prices and any other details as the Purchaser may consider appropriate. The Letter of Proposal - Financial Part and

the Price Schedules are to be initialed by a representative of the Purchaser attending the opening and by the probity auditor.

33.14. The Purchaser shall prepare a record of the opening of the Financial Part envelopes that shall include, as a minimum:

- (a) the name of the Proposers whose Financial Part was opened;
- (b) the Proposal prices including any discounts. and
- (c) The Probity Auditor's report of the opening of the Financial Part.

33.15. The probity auditor shall sign the record. The contents of the envelopes marked 'Financial Part' and the record of the opening shall be kept in safe custody by the Purchaser and not disclosed to anyone until the time of the transmission of the Notification of Intention to Award the contract.

I. EVALUATION OF FINANCIAL PART OF PROPOSALS

34. Adjustments for Non-material Nonconformities

34.1. Provided that a Proposal is substantially responsive, the Purchaser shall rectify quantifiable nonmaterial nonconformities related to the Proposal Price. To this effect, the Proposal Price shall be adjusted, for comparison purposes only, to reflect the price of a missing or non-conforming item or component by adding the average price of the item or component quoted by substantially responsive Proposers. If the price of the item or component cannot be derived from the price of other substantially responsive Proposers, the Purchaser shall use its best estimate.

35. Correction of Arithmetic Errors

35.1. In evaluating the Financial Part of each Proposal, the Purchaser shall correct arithmetical errors on the following basis:

- (a) where there are errors between the total of the amounts given under the column for the price breakdown and the amount given under the Total Price, the former shall prevail and the latter will be corrected accordingly;
- (b) where there are errors between the total of the amounts of Schedule Nos. 1 to 5 and the amount given in Schedule No. 6 (Grand Summary), the former shall prevail and the latter will be corrected accordingly; and
- (c) if there is a discrepancy between words and figures, the amount in words shall prevail, unless the amount expressed in words is related to an arithmetic error, in which case the amount in figures shall prevail subject to (a) and (b) above.

**36. Evaluation of
Proposals
Financial Part**

- 35.2. Proposers shall be requested to accept correction of arithmetical errors. Failure to accept the correction in accordance with ITP 35.1, shall result in the rejection of the Proposal.
- 36.1. To evaluate the Financial Part, the Purchaser shall consider the following:
- (a) the Proposal price, excluding provisional sums and the provision, if any, for contingencies in the Price Schedules;
 - (b) price adjustment for correction of arithmetic errors in accordance with ITP 35.1;
 - (c) price adjustment due to discounts offered in accordance with ITP 26;
 - (d) price adjustment due to quantifiable nonmaterial nonconformities in accordance with ITP 34.1;
 - (e) converting the amount resulting from applying (a) to (c) above, if relevant, to a single currency in accordance with ITP 36.2; and
 - (f) the evaluation factors indicated in the PDS and detailed in Section III, Evaluation and Qualification Criteria.
- 36.2. For evaluation and comparison purposes, the currency(ies) of the **Proposal** shall be converted into a single currency **as specified in the PDS**.
- 36.3. No margin of domestic preference shall apply.
- 36.4. If price adjustment is allowed in accordance with ITP 17.7, the estimated effect of the price adjustment provisions of the Conditions of Contract, applied over the period of execution of the Contract, shall not be taken into account in Proposal evaluation.
- 36.5. If this request for proposals document allows Proposers to quote separate prices for different lots (contracts), each lot will be evaluated separately to determine the Most Advantageous Proposal using the methodology specified in Section III, Evaluation and Qualification Criteria. Discounts that are conditional on the award of more than one lot or slice shall not be considered for Proposal evaluation.
- 36.6. The Purchaser will evaluate and compare the Proposals. The evaluation will be performed assuming either that:

- (a) the Contract will be awarded to the Most Advantageous Proposal for the entire Information System; or
- (b) if specified **in the PDS**, Contracts will be awarded to the Proposers for each individual Subsystem, lot, or slice defined in the Technical Requirements whose Proposals result in the Most Advantageous Proposal/Proposals for the entire System.

In the latter case, discounts that are conditional on the award of more than one Subsystem, lot, or slice may be offered in Proposals. Such discounts will be considered in the evaluation of Proposals as specified **in the PDS**.

37. Abnormally Low Proposals

- 37.1. An Abnormally Low Proposal is one where the Proposal price in combination with other constituent elements of the Proposal appears unreasonably low to the extent that the Proposal price raises material concerns as to the capability of the Proposer to perform the Contract for the offered Proposal Price.
- 37.2. In the event of identification of a potentially Abnormally Low Proposal, the Purchaser shall seek written clarifications from the Proposer, including detailed price analyses of its Proposal price in relation to the subject matter of the contract, scope, proposed methodology, schedule, allocation of risks and responsibilities and any other requirements of the request for proposals document.
- 37.3. After evaluation of the price analyses, in the event that the Purchaser determines that the Proposer has failed to demonstrate its capability to perform the Contract for the offered Proposal Price, the Purchaser shall reject the Proposal.

38. Unbalanced or Front Loaded Proposals

- 38.1. If the Proposal that is evaluated as the lowest evaluated cost is, in the Purchaser's opinion, seriously unbalanced or front loaded the Purchaser may require the Proposer to provide written clarifications. Clarifications may include detailed price analyses to demonstrate the consistency of the Proposal prices with the scope of information systems, installations, proposed methodology, schedule and any other requirements of the request for proposals document.
- 38.2. After the evaluation of the information and detailed price analyses presented by the Proposer, the Purchaser may:
 - (a) accept the Proposal; or

- (b) if appropriate, require that the total amount of the Performance Security be increased, at the expense of the Proposer, to a level not exceeding twenty percent (20%) of the Contract Price; or
- (c) reject the Proposal.

J. EVALUATION OF COMBINED TECHNICAL AND FINANCIAL PARTS, MOST ADVANTAGEOUS PROPOSAL AND NOTIFICATION OF INTENTION TO AWARD

39. Evaluation of combined Technical and Financial Parts, Most Advantageous Proposal

- 39.1. The Purchaser's evaluation of responsive Proposals will take into account technical factors, in addition to cost factors in accordance with Section III Evaluation and Qualification Criteria. The weight to be assigned for the Technical factors and cost is specified in the PDS. The Purchaser will rank the Proposals based on the evaluated Proposal score (B).
- 39.2. Best and Final Offer (BAFO): After completion of the combined technical and financial evaluation of proposals, If specified in the PDS, the Purchaser may invite those Proposers to submit their BAFOs. The procedure for submitting BAFOs will be specified in the PDS. BAFO is a final opportunity for Proposers to improve their Proposals without changing the specified business function and performance requirements in accordance with the invitation to Submit Second Stage Combined Technical and Financial Proposals, Proposers are not obliged to submit a BAFO. Where BAFO is used there will be no negotiation after BAFO.
- 39.3. BAFO will apply a two envelope procurement process. The submission of BAFOs, opening of the Technical Parts and Financial Parts and the evaluation of Proposals will follow the procedures described for the Technical, Financial and Combined evaluation above, as appropriate.
- 39.4. The Purchaser shall determine the Most Advantageous Proposal. The Most Advantageous Proposal is the Proposal of the Proposer that meets the Qualification Criteria and whose Proposal has been determined to be substantially responsive to the request for proposals document and is the Proposal with the highest combined technical and financial score.
- 39.5. If specified in the PDS, the Purchaser may conduct negotiations following the evaluation of the proposals and before the final

contract award. The procedure of the negotiations will be specified in the PDS.

- 39.6. Negotiations shall be held in the presence of probity auditor appointed by the Purchaser.
- 39.7. Negotiations may address any aspect of the contract so long as they do not materially change the specified business function and performance requirements.
- 39.8. The Purchaser may negotiate first with the Proposer that has the Most Advantageous Proposal. If the negotiations are unsuccessful the Purchaser may negotiate with the Proposer that has the next best Most Advantageous Proposal, and so on down the list until a successful negotiated outcome is achieved.
- 39.9. Unless otherwise specified in the PDS, the Purchaser will NOT carry out tests prior to Contract award, to determine that the performance or functionality of the Information System offered meets those stated in the Technical Requirements. However, if so specified in the PDS the Purchaser may carry out such tests as detailed in the PDS.
- 39.10. Proposer.
- 39.11. Prior to Contract award, the Purchaser may carry out visits or interviews with the Proposer's clients referenced in its Proposal and site inspections.
- 39.12. The capabilities of the manufacturers and subcontractors proposed by the Proposer that is determined to have offered the Most Advantageous Proposal for identified major items of supply or services will also be evaluated for acceptability in accordance with Section III, Evaluation and Qualification Criteria. Their participation should be confirmed with a letter of intent between the parties, as needed. Should a manufacturer or subcontractor be determined to be unacceptable, the Proposal will not be rejected, but the Proposer will be required to substitute an acceptable manufacturer or subcontractor without any change to the Proposal price. Prior to signing the Contract, the corresponding Appendix to the Contract Agreement shall be completed, listing the approved manufacturers or subcontractors for each item concerned.

**40. Purchaser's
Right to Accept
Any Proposal,
and to Reject
Any or All
Proposals**

- 40.1. The Purchaser reserves the right to accept or reject any Proposal, and to annul the procurement process and reject all Proposals at any time prior to contract award, without thereby incurring any liability to Proposers. In case of annulment, all Proposals submitted and specifically, Proposal securities, shall be promptly returned to the Proposers.

- 41. Standstill Period** 41.1. The Contract shall not be awarded earlier than the expiry of the Standstill Period. The Standstill Period shall be ten (10) Business Days unless extended in accordance with ITP 46. The Standstill Period commences the day after the date the Purchaser has transmitted to each Proposer the Notification of Intention to Award the Contract. Where only one Proposal is submitted, or if this contract is in response to an emergency situation recognized by the Bank, the Standstill Period shall not apply.
- 42. Notification of Intention to Award** 42.1. The Purchaser shall send to each Proposer the Notification of Intention to Award the Contract to the successful Proposer. The Notification of Intention to Award shall contain, at a minimum, the following information:
- (a) the name and address of the Proposer submitting the successful Proposal;
 - (b) the Contract price of the successful Proposal;
 - (c) the total combined score of the successful Proposal;
 - (d) the names of all Proposers who submitted Proposals, and their Proposal prices as readout and as evaluated prices and technical scores;
 - (e) a statement of the reason(s) the Proposal (of the unsuccessful Proposer to whom the notification is addressed) was unsuccessful;
 - (f) the expiry date of the Standstill Period; and
 - (g) instructions on how to request a debriefing or submit a complaint during the standstill period;

K. AWARD OF CONTRACT

- 43. Award Criteria** 43.1. Subject to ITP 40, the Purchaser shall award the Contract to the successful Proposer. This is the Proposer whose Proposal has been determined to be the Most Advantageous Proposal..
Proposer.
- 44. Purchaser's Right to Vary Quantities at Time of Award** 44.1. The Purchaser reserves the right at the time of Contract award to increase or decrease, by the percentage(s) for items as indicated in the PDS.

45. Notification of Award

- 45.1. Prior to the date of expiry of the Proposal validity and upon expiry of the Standstill Period, specified in ITP 41.1 or any extension thereof, and, upon satisfactorily addressing any complaint that has been filed within the Standstill Period, the Purchaser shall notify the successful Proposer, in writing, that its Proposal has been accepted. The notification letter (hereinafter and in the Contract Forms called the “Letter of Acceptance”) shall specify the sum that the Purchaser will pay the Supplier in consideration of the execution of the Contract (hereinafter and in the Conditions of Contract and Contract Forms called “the Contract Price”).
- 45.2. Within ten (10) Business days after the date of transmission of the Letter of Acceptance, the Purchaser shall publish the Contract Award Notice which shall contain, at a minimum, the following information:
- (a) name and address of the Purchaser;
 - (b) name and reference number of the contract being awarded, and the selection method used;
 - (c) names of all Proposers that submitted Proposals, and their Proposal prices as read out at Proposal opening, and as evaluated;
 - (d) name of Proposers whose Proposals were rejected and the reasons for their rejection;
 - (e) the name of the successful Proposer, the final total contract price, the contract duration and a summary of its scope; and
 - (f) successful Proposer’s Beneficial Ownership Disclosure Form.
- 45.3. The Contract Award Notice shall be published on the Purchaser’s website with free access if available, or in at least one newspaper of national circulation in the Purchaser’s Country, or in the official gazette.
- 45.4. Until a formal contract is prepared and executed, the Notification of Award shall constitute a binding Contract.

46. Debriefing by the Purchaser

- 46.1. On receipt of the Purchaser’s Notification of Intention to Award referred to in ITP 42, an unsuccessful Proposer has three (3) Business Days to make a written request to the Purchaser for a debriefing. The Purchaser shall provide a debriefing to all unsuccessful Proposers whose request is received within this deadline.

- 46.2. Where a request for debriefing is received within the deadline, the Purchaser shall provide a debriefing within five (5) Business Days, unless the Purchaser decides, for justifiable reasons, to provide the debriefing outside this timeframe. In that case, the standstill period shall automatically be extended until five (5) Business Days after such debriefing is provided. If more than one debriefing is so delayed, the standstill period shall not end earlier than five (5) Business Days after the last debriefing takes place. The Purchaser shall promptly inform, by the quickest means available, all Proposers of the extended standstill period.
- 46.3. Where a request for debriefing is received by the Purchaser later than the three (3) Business Day deadline, the Purchaser should provide the debriefing as soon as practicable, and normally no later than fifteen (15) Business Days from the date of publication of Public Notice of Award of contract. Requests for debriefing received outside the three (3)-day deadline shall not lead to extension of the standstill period.
- 46.4. Debriefings of unsuccessful Proposers may be done in writing or verbally. The Proposer shall bear their own costs of attending such a debriefing meeting.

47. Signing of Contract

- 47.1. The Purchaser shall send to the successful Proposer the Letter of Acceptance including the Contract Agreement, and a request to submit the Beneficial Ownership Disclosure Form providing additional information on its beneficial ownership. The Beneficial Ownership Disclosure Form shall be submitted within eight (8) Business Days of receiving this request.
- 47.2. The successful Proposer shall sign, date and return to the Purchaser, the Contract Agreement within twenty-eight (28) days of its receipt.
- 47.3. Notwithstanding ITP 47.2 above, in case signing of the Contract Agreement is prevented by any export restrictions attributable to the Purchaser, to the country of the Purchaser, or to the use of the Information System to be supplied, where such export restrictions arise from trade regulations from a country supplying those Information System, the Proposer shall not be bound by its Proposal, always provided, however, that the Proposer can demonstrate to the satisfaction of the Purchaser and of the Bank that signing of the Contract Agreement has not been prevented by any lack of diligence on the part of the Proposer in completing any formalities, including applying for permits, authorizations and licenses

necessary for the export of the Information System under the terms of the Contract.

48. Performance Security

- 48.1. Within twenty-eight (28) days of the receipt of the Letter of Acceptance from the Purchaser, the successful Proposer shall furnish the performance security in accordance with the General Conditions, subject to ITP 38.2 (b), using for that purpose the Performance Security Form included in Section X, Contract Forms, or another form acceptable to the Purchaser. If the Performance Security furnished by the successful Proposer is in the form of a bond, it shall be issued by a bonding or insurance company that has been determined by the successful Proposer to be acceptable to the Purchaser. A foreign institution providing a Performance Security shall have a correspondent financial institution located in the Purchaser's Country.
- 48.2. Failure of the successful Proposer to submit the above-mentioned Performance Security or sign the Contract shall constitute sufficient grounds for the annulment of the award and forfeiture of the Proposal Security. In that event the Purchaser may award the Contract to the Proposer offering the next Most Advantageous Proposal.

49. Adjudicator

- 49.1. Unless the PDS states otherwise, the Purchaser proposes that the person named in the PDS be appointed as Adjudicator under the Contract to assume the role of informal Contract dispute mediator, as described in GCC Clause 43.1. In this case, a résumé of the named person is attached to the PDS. The proposed hourly fee for the Adjudicator is specified in the PDS. The expenses that would be considered reimbursable to the Adjudicator are also specified in the PDS. If a Proposer does not accept the Adjudicator proposed by the Purchaser, it should state its non-acceptance in its Proposal Form and make a counterproposal of an Adjudicator and an hourly fee, attaching a résumé of the alternative. If the successful Proposer and the Adjudicator nominated in the PDS happen to be from the same country, and this is not the country of the Purchaser too, the Purchaser reserves the right to cancel the Adjudicator nominated in the PDS and propose a new one. If by the day the Contract is signed, the Purchaser and the successful Proposer have not agreed on the appointment of the Adjudicator, the Adjudicator shall be appointed, at the request of either party, by the Appointing Authority specified in the SCC clause relating to GCC Clause 43.1.4, or if no Appointing Authority

is specified there, the Contract will be implemented without an Adjudicator.

**50. Procurement
Related
Complaint**

- 50.1. The procedures for making a Procurement-related Complaint are as specified in the PDS.

SECTION II - PROPOSAL DATA SHEET (PDS)

The following specific data for the Information System to be procured shall complement, supplement, or amend the provisions in the Instructions to Proposers (ITP). Whenever there is a conflict, the provisions in the PDS shall prevail over those in ITP.

ITP Reference	A. General
ITP 1.1	<p>The reference number of the Request for Proposals is: PSMP4-GO-RFP-2.1.8</p> <p>The Purchaser is: The State Revenue Committee of the Republic of Armenia and the Office of the Prime Minister of the Republic of Armenia</p> <p>The name of the RFP is: Procurement of the Stamp Duty Electronic Management System</p> <p>The Purchaser <i>shall not</i> accept Proposal for multiple lots under this request for proposals document.</p> <p>The lots are: Not applicable</p>
ITP 1.3 (a)	<p>Electronic Procurement shall not be applicable to this procurement.</p> <p>The Purchaser shall use the following electronic-procurement system to manage this procurement process: not applicable.</p> <p>The electronic-procurement system shall be used to manage the following aspects of the Procurement process: not applicable.</p>
ITP 2.1	<p>The Borrower is: Republic of Armenia</p> <p>Loan or Financing Agreement amount: EUR 26.5 million</p> <p>The name of the Project is: Fourth Public Sector Modernization Project (PSMP4)</p>
ITP 4.1	Maximum number of members in the JV shall be: 3
ITP 4.5	<p>A list of debarred firms and individuals is available on the Bank's external website: http://www.worldbank.org/debarr.</p>
B. Request for proposals Document	
ITP 7.1	<p>For <u>Clarification of Proposal purposes</u> only, the Purchaser's address is:</p> <p>Office of the Prime Minister of RA Address: Government House 1, Republic Square Floor/ Room number: 1-st Floor, Room 115, 117 Attention: Mr. Aharon Mkrtchyan, PSMP4 Project Manager</p>

	<p>E-mail: info@psmp.am City: Yerevan ZIP Code: 0010 Country: Republic of Armenia Telephone: (+374 10) 515931</p> <p>Requests for clarification should be received by the Purchaser no later than: July 14, 2025</p>
ITP 7.1	Web page: www.gnumner.am website
ITP 7.4	<p>An online Pre-Proposal meeting shall take place at the following date, time: Date: July 7, 2025. Time: 12:00 local time</p> <p>A Pre-Proposal meeting will be conducted online, and attendance is optional. If you wish to attend the online meeting, please request the meeting link by contacting info@psmp.am.</p> <p>As per ITP 7.5, the Proposer is requested, as far as possible, to submit written questions to the Purchaser within one week prior to the meeting.</p> <p>A site visit conducted by the Purchaser shall not be organized.</p>
C. Preparation of Proposals	
ITP 10.1	<p>The language of the Proposal is: English</p> <p>All correspondence exchange shall be in English language.</p> <p>Language for translation of supporting documents and printed literature is English.</p>
ITP 11.2 (j)	<p>The Proposer shall submit with Technical Part of its Proposal the following additional documents:</p> <p>1. Code of Conduct for Supplier's Personnel (ES)</p> <p>The Proposer shall submit its Code of Conduct that will apply to the Supplier's Personnel (as defined in GCC Clause 1.1) employed in the execution of the Contract at the Project Site/s to ensure compliance with the Supplier's Environmental and/or Social obligations under the Contract, as applicable. The Proposer shall use for this purpose the Code of Conduct form provided in Section IV. No substantial modifications shall be made to this form, except that the Proposer may introduce additional requirements, including as necessary to take into account specific Contract issues/risks.</p>

ITP 11.3(d)	The Proposer shall submit the following additional documents in the Financial Part of its Proposal: Not applicable
ITP 13.1	<i>Alternative Proposals are not permitted.</i> Proposals offering Commercial-of-the-shelf (COTS) and Software-as-a-Services (SAAS) solutions will be rejected as non-responsive.
ITP 13.2	Alternatives to the Time Schedule <i>are not</i> permitted.
ITP 13.4	Alternative technical solutions shall be permitted for the following parts of the Information System: none
ITP 15.2	Prequalification has not been undertaken.
ITP 16.2 (a)	In addition to the topics described in ITP Clause 16.2 (a), the Preliminary Project Plan must address the following topics: <ul style="list-style-type: none"> (i) Project Organization and Management Sub-Plan, including management authorities, responsibilities, and contacts, as well as task, time and resource-bound schedules (in GANTT format); (ii) Implementation Sub-Plan; (iii) Delivery and Installation Sub-Plan; (iv) Warranty Defect Repair Service Sub-Plan (optional for Preliminary Project Plan); (v) Architectural design of the Proposed solution; (VI) System Integration Sub-Plan.
ITP 16.3	In the interest of effective integration, cost-effective technical support, and reduced re-training and staffing costs, Proposers shall offer the following items: Not applicable
ITP 17.2	The Proposer <i>must not</i> propose Recurrent Cost Items
ITP 17.2	The Proposer <i>must not</i> propose for contracts for Recurrent Cost Items not included in the main Contract.
ITP 17.5	The Incoterms edition is: Incoterms® 2020.
ITP 17.5 (a)	Named place of destination is: CIP Armenian border.
ITP 17.6	Named place of final destination (or Project site) is: The State Revenue Committee of the Republic of Armenia (Armenia, 0069, Yerevan Aharonyan St., 12/3 Building)
ITP 17.8	There are no modifications to ITP 17.8

ITP 17.9	The prices quoted by the Proposer shall not be subject to adjustment during the performance of the Contract.
ITP 18.1	<p>The Proposer is not required to quote in the currency of the Purchaser's Country the portion of the Proposal price that corresponds to expenditures incurred in that currency.</p> <p>Local Proposers are reminded to comply with the requirements of the Law "On Currency Regulation and Currency Control" dated November 24, 2004.</p>
ITP 19.1	The Proposal shall be valid until: November 25, 2025
ITP 19.3 (a)	<p>The Proposal price shall be adjusted by the following factor(s):</p> <p><i>The local currency portion of the Contract price shall be adjusted by a factor reflecting local inflation during the period of extension, and the foreign currency portion of the Contract price shall be adjusted by a factor reflecting the international inflation (in the country of the foreign currency) during the period of extension.</i></p>
ITP 20.1	<p>A <i>Proposal Security</i> shall be required.</p> <p>The Proposal Security shall be included exclusively in the envelope containing the TECHNICAL PART of the Proposal.</p> <p>A Proposal-Securing Declaration shall not be required.</p> <p>The amount and currency of the Proposal Security shall be EURO 10000 or an equivalent value in a freely convertible currency or the equivalent amount in AMD.</p> <p>The date for the exchange rate for the Proposal Security shall be: July 21, 2025</p>
ITP 20.3 (d)	Other types of acceptable securities: only an unconditional Bank Guarantee issued by a bank.
ITP 20.9	Not Applicable
ITP 21.3	The written confirmation of authorization to sign on behalf of the Proposer shall consist of: Either a document that certifies the signer's authorization or a power of attorney if such a document is not available.
D. Submission and Opening of Proposals	
ITP 22.1	In addition to the original of the Proposal (printed original (hard copy), the number of copies is: Four (4) soft copies on Flash Memory card/USB Flash Drive. In case of any discrepancy between the hard copy and electronic, the hard original copy will prevail.

	No financial information shall be included in the Technical Part of the Proposal nor in the Flash Memory card/USB Flash Drive.				
ITP 23.1	<p>For Proposal submission purposes_only, the Purchaser’s address is:</p> <p>Office of the Prime Minister of RA Address: Government House 1, Republic Square Floor/Room number: 1-st Floor, Room 115, 117 Attention: Mr. Aharon Mkrtchyan, PSMP4 Project Manager City: Yerevan ZIP Code: 0010 Country: Republic of Armenia Telephone: (+374 10) 515931</p> <p>The deadline for Proposal submission is:</p> <p>Date: July 28, 2025 Time: 16:00 (local time)</p>				
ITP 23.1	Proposers shall not have the option of submitting their Proposals electronically.				
E. Public Opening of Technical Parts of Proposals					
ITP 26.1	<p>The Proposal opening shall take place at:</p> <p>Office of the Prime Minister of RA Address: Government House 1, Republic Square Floor/Room number: 1-st Floor, Room 115, 117 Attention: Mr. Aharon Mkrtchyan, PSMP4 Project Manager City: Yerevan ZIP Code: 0010 Country: Republic of Armenia</p> <p>Date: July 28, 2025 Time: 16:00 (local time)</p>				
ITP 26.1	The electronic Proposal opening procedures shall be: not applicable.				
G. Evaluation of Technical Part of Proposals					
ITP 32.2	<p>The technical factors (sub-factors) and the corresponding weight out of 100% are:</p> <table><tr><td><u>1 Supplier Qualification & Experience</u></td></tr><tr><td>1.1 Experience in design, development and deployment of automated data collection, storage, and reporting platforms with comparable data volume and structure, scale, and security measures (up to 25)</td></tr><tr><td>1.2 Experience in assignment of similar scale and difficulty (up to 20)</td></tr><tr><td>1.3 Experience in similar (see point 1.1) web-based systems development (up to 15)</td></tr></table>	<u>1 Supplier Qualification & Experience</u>	1.1 Experience in design, development and deployment of automated data collection, storage, and reporting platforms with comparable data volume and structure, scale, and security measures (up to 25)	1.2 Experience in assignment of similar scale and difficulty (up to 20)	1.3 Experience in similar (see point 1.1) web-based systems development (up to 15)
<u>1 Supplier Qualification & Experience</u>					
1.1 Experience in design, development and deployment of automated data collection, storage, and reporting platforms with comparable data volume and structure, scale, and security measures (up to 25)					
1.2 Experience in assignment of similar scale and difficulty (up to 20)					
1.3 Experience in similar (see point 1.1) web-based systems development (up to 15)					

	<p>1.4 The adequacy and comprehensiveness of the Proposer's specification of security measures required to ensure the secure exchange of collected data between the Information System and all other authorized systems (up to 10)</p> <p>1.5 The Proposer's ability to define thorough and comprehensive requirements to ensure the business continuity of all subsystems within the Information System. (up to 10)</p> <p>1.6 Preliminary/Initial proposed suggestions for the implementation methods and data exchange structure. (up to 10)</p> <p>1.7 Experience in implementing similar systems in the RA public administration system, (up to 10)</p> <p>Subtotal being 100 points, is 60% of total technical score</p> <p><u>2. Qualification of Key Personnel</u></p> <p>2.1 Team Lead (up to 30)</p> <p>2.2 Lead Business Analyst (up to 25)</p> <p>2.3 Lead Programmer (up to 25)</p> <p>2.4 Lead Quality assurance specialist (up to 20)</p> <p>Subtotal being 100 points, is 40% of total technical score</p> <p>The technical proposal scoring methodology is specified in Section III- Evaluation and Qualification Criteria</p>
<p align="center">H. Notification of Evaluation of Technical Parts and Public Opening of Financial Parts</p>	
<p>ITP 33.8</p>	<p>The Letter of Proposal – Financial Part and the Price Schedules shall be initialed by the members of the Evaluation committee conducting Proposal opening.</p>
<p align="center">I. Evaluation of Financial Part of Proposals</p>	
<p>ITP 36.1(f)</p>	<p>The adjustments shall be determined using the following criteria, from amongst those set out in Section III, Evaluation and Qualification Criteria:</p> <p>(a) Deviation in Time for Completion: No.</p> <p>(b) Present value of Recurrent Costs: No.</p> <p>(c) Functional Guarantees of the Facilities: No.</p> <p>(d) Work, services, facilities, etc., to be provided by the Purchaser: No.</p>
<p>ITP 36.2</p>	<p>The currency(ies) of the Proposal shall be converted into a single currency as follows:</p> <p>The currency that shall be used for comparison purposes to convert the offered prices expressed in various currencies into a single currency is: Armenian Drams (AMD)</p> <p>The source of exchange rate shall be: Central Bank of the Republic of Armenia (www.cba.am).</p> <p>The date for the exchange rate shall be: July 28, 2025</p>
<p align="center">J. Evaluation of Combined Technical and Financial Parts and Most Advantageous Proposal</p>	

ITP 39.1	The weight to be given for cost is: 60%
ITP 39.2	BAFO does not apply.
ITP 39.5	Negotiation does not apply.
ITP 39.9	As additional qualification measures, the Information System (or components/parts of it) offered by the Proposer with the Most Advantageous Proposal may be subjected to the following tests and performance benchmarks prior to Contract award: none .
K. Award of Contract	
ITP 44	The maximum percentage by which quantities may be increased is: Not applicable The maximum percentage by which quantities may be decreased is: Not applicable
ITP 49	The proposed Adjudicator is: There will be no Adjudicator under this Contract.
ITP 50.1	<p>The procedures for making a Procurement-related Complaint are detailed in the “Procurement Regulations for IPF Borrowers (Annex III).” A Procurement-related Complaint may challenge any of the following:</p> <ol style="list-style-type: none"> 1. The terms of the request for proposals document; 2. The Purchaser’s decision to exclude a Proposer from the procurement process prior to the award of contract; and 3. The Purchaser’s decision to award the contract. <p>If a Proposer wishes to make a Procurement-related Complaint, the Proposer should submit its complaint following these procedures, in writing (by the quickest means available, that is either by email or fax), to:</p> <p style="padding-left: 40px;">For the attention: Mr. Aharon Mkrtchyan, Title/position: PSMP4 Project Manager Purchaser: Office of the Prime Minister of RA Email address: info@psmp.am</p>

SECTION III - EVALUATION AND QUALIFICATION CRITERIA (WITHOUT PREQUALIFICATION)

This Section contains all the criteria that the Purchaser shall use to evaluate Proposals and qualify Proposers. No other factors, methods or criteria shall be used. The Proposer shall provide all the information requested in the forms included in Section IV, Proposal Forms.

1. Qualification

1.1 Qualification Requirements

The Proposer's qualification shall be assessed in accordance with Annex1, the "Qualification Requirements table" included in this section (see pages 61-67).

1.2 Financial Resources

Using the relevant Form, FIN 1.3.3 in Section IV, Proposal Forms, the Proposer must demonstrate access to, or availability of, financial resources such as liquid assets, unencumbered real assets, lines of credit, and other financial means, other than any contractual advance payments to meet:

(i) the following cash-flow requirement: EURO 250,000

and

(ii) the overall cash flow requirements for this contract and its current supply and services' commitment.

1.3 Key Personnel

The Proposer must demonstrate that it will have suitably qualified key personnel. The Proposer shall complete the relevant Forms in Section IV, Proposal Forms.

No.	Position	Relevant Expected Experience
Key Personnel		
1.	Team Lead	1. At least 3 years professional experience in management of information technologies 2. At least 1 year of experience in management of automated data collection, storage, and reporting platforms with comparable data volume and structure, scale, and security measures. 3. Implementation of at least two successful projects in automated data collection, storage, and reporting platforms with comparable data volume and structure, scale, and security measures. 4. Graduated higher education in IT/Data science and relevant experience and excellent knowledge of English
2.	Lead Business Analyst	1. At least 3 years professional experience in management of information technologies 2. At least 1 year of experience in management of automated data collection, storage, and reporting platforms with comparable data volume and structure, scale, and security measures. 3. Implementation of at least two successful projects in automated data collection, storage, and reporting platforms area with comparable data volume and structure, scale, and security measures. 4. Knowledge of the Armenian tax system functionality 5. Graduated higher education in IT/Data science and relevant experience and excellent knowledge of English
3.	Lead Programmer	1. Minimum three 3 experience in managing application design, software development, maintenance and delivery with experience of using Application, Web and Database servers, 2. Strong experience in distributed and multi-tiered systems architecture design 3. Strong experience in development and implementation of highly complex technical solutions in a web environment 4. Knowledge of the Armenian tax system functionality, 5. Graduated higher education in programming and relevant experience and excellent knowledge of English.
4.	Lead Quality assurance specialist	1. At least 2 years' experience of Quality assurance specialist, where the development of use cases was a must 2. At least 2 years' experience in testing of similar nature projects

1.4 Subcontractors/vendors/manufacturers

For the purposes of establishing a Proposer's qualifications the experience and / or resources of any Subcontractor will not contribute to the Proposer's qualifications.

1.5 Manufacturer's authorization

For all powered (active) hardware and/or software components of the Information System which the Proposer does not itself produce, by submission of documentary evidence in its Proposal, the Proposer must establish to the Purchaser's satisfaction that it is not prohibited to supply those components in the Purchaser's country under the Contract(s) that may result from this procurement.

- (i) In the case of proprietary commercial software (i.e., excluding open source or "freeware" software) that the Proposer does not manufacture itself and for which the Proposer has or will establish an Original Equipment Manufacturer (OEM) relationship with the manufacture, the Proposer must provide Manufacture's Authorizations;
- (iii) In the case of proprietary commercial software (i.e., excluding open source or "freeware" software) that the Proposer does not manufacture itself and for which the Proposer does not or will not establish an OEM relationship with the manufacturer, the Proposer must document to the Purchaser's satisfaction that the Proposer is not

excluded from sourcing these items from the manufacturer's distribution channels and proposing offering these items for supply in the Borrower's Country.

- (iv) In the case of open source software, the Proposer must identify the software item as open source and provide copies of the relevant open source license(s).

The Proposer is responsible for ensuring that the manufacturer or producer complies with the requirements of ITP 4 and ITP 5 and meets the minimum criteria listed above for that item.

1.6 Local Representative

In the case of a Proposer not doing business within the Purchaser's country, the Proposer shall submit documentary evidence in its Proposal to establish to the Purchaser's satisfaction that it is or will be (if awarded the Contract) represented by an agent in that country who is equipped and able to carry out / manage the Proposer's maintenance, technical support, training, and warranty repair obligations specified in the Purchaser's Requirements (including any response time, problem-resolution norms or other aspects that may be specified in the Contract).

2. Technical Evaluation

2.1. Assessment of adequacy of Technical Proposal with Requirements in accordance with ITP 32.1

2.2. Technical Evaluation (ITP 32.2)

2.2.1 Technical Quality Evaluation Factors/Parameters

- (a) The technical features to be evaluated are generally defined below and specifically identified in the PDS¹:

The Proposal evaluation will take into account technical factors in addition to cost factors.

The weight of the Price ("X" multiplied by 100 in the Evaluated Proposal Score formula) = 60 percent

1 Supplier Qualification & Experience

- 1.1 Experience in design, development and deployment of automated data collection, storage, and reporting platforms with comparable data volume and structure, scale, and security measures (up to 25)
- 1.2 Experience in assignment of similar scale and difficulty (up to 20)
- 1.3 Experience in similar (see point 1.1) web based systems development (up to 15)
- 1.4 The adequacy and comprehensiveness of the Proposer's specification of security measures required to ensure the secure exchange of collected data between the Information System and all other authorized systems (up to 10)

¹ **Note:** In the case of a Joint Venture (JV), all members combined must collectively meet the mentioned requirements (i.e. Each individual member does not have to meet all the criteria on their own, but together, they must collectively satisfy all the requirements).

1.5 The Proposer's ability to define thorough and comprehensive requirements to ensure the business continuity of all subsystems within the Information System. (up to 10)

1.6 Preliminary/Initial proposed suggestions for the implementation methods and data exchange structure. (up to 10)

1.7 Experience in implementing similar systems in the RA public administration system (up to 10)

Subtotal being 100 points, is 60% of total technical score

2. Qualification of Key Personnel

2.1 Team Lead (up to 30)

2.2 Lead Business Analyst (up to 25)

2.3 Lead Programmer (up to 25)

2.4 Lead Quality assurance specialist (up to 20)

Subtotal being 100 points, is 40% of total technical score

No.	Category	Score from Table	Category Weight	Category Score	Category Total
1	Supplier Qualification & Experience	Table A	0.60	<i>Score from Table A</i>	<i>Score from Table A * 0.60</i>
2	Qualification of Key Personnel	Table B	0.40	<i>Score from Table B</i>	<i>Score from Table B * 0.40</i>
TOTAL					

1.1 Supplier Qualification & Experience Category

Within this Category, Proposers will be evaluated based on the following features:

Table A – Category 1: Supplier Qualification & Experience					
No	Sub Category	Scoring	Feature Scores Assigned	Feature Weight	Total
1.1	Experience in design, development and deployment of automated data collection, storage, and reporting platforms with comparable data volume and structure, scale, and security measures	1 contract = 1 2 contracts = 2 3 contracts = 3 ≥4 contracts = 4		6.25	Feature Scores Assigned X Feature Weight
1.2	Experience in assignment of similar scale and difficulty	<3 years = 0 3 years = 1 4 years = 2 5 years = 3 ≥6 years = 4		5	Feature Scores Assigned X Feature Weight
1.3	Experience in similar (see point 1.1) web based systems development	<2 years = 0 2 years = 1 3 years = 2 4 years = 3 ≥5 years = 4		3.75	Feature Scores Assigned X Feature Weight
1.4	The adequacy and comprehensiveness of the Proposer's specification of security measures required to ensure the	Insufficiently defined security measures for the secure exchange		2.5	Feature Scores Assigned X Feature Weight

	secure exchange of collected data between the Information System and all other authorized systems.	of data between authorized systems=0 Properly defined security measures for the secure exchange of data between authorized systems=4			
1.5	The Proposer's ability to define thorough and comprehensive requirements to ensure the business continuity of all subsystems within the Information System.	Insufficiently defined requirements for ensuring business continuity of all subsystems =0 Properly defined requirements for ensuring business continuity of all subsystems=4		2.5	Feature Scores Assigned X Feature Weight
1.6	Preliminary/Initial proposed suggestions for the implementation methods and data exchange structure.	Inadequate or incomplete suggestions on implementation methods and data exchange structure =0 Well-developed and comprehensive proposed suggestions on implementation methods and data exchange structure =4		2.5	Feature Scores Assigned X Feature Weight
1.7	Experience in implementing similar systems in the RA public administration system	Absence of Experience in implementing similar systems in the RA public administration system=0 Experience in implementing similar systems in the RA public administration system=4		2.5	Feature Scores Assigned X Feature Weight
	TOTAL				

1.2 Qualification of Key Personnel

Within this Category, Key Personnel proposed Proposers will be evaluated based on the following features:

Table B– Category 2: Qualification of Key Personnel

No	Sub Category	Score from Table	Category 2 Score
2.1	Team Lead	B1 2.1	Total Score from Table B1 2.1
2.2	Lead Business Analyst	B1 2.2	Total Score from Table B1 2.2
2.3	Lead Programmer	B1 2.3	Total Score from Table B1 2.3
2.4	Lead Quality assurance specialist	B1 2.4	Total Score from Table B1 2.4
TOTAL			

Table B1 2.1		
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No	Sub Category	Scoring	Feature Scores Assigned	Feature Weight	Total
2.1	Team Lead				
2.1.1	At least 3 years professional experience in management of information technologies	3 years =1 4 years =2 5 years =3 ≥6 years =4		2.50	Feature Scores Assigned X Feature Weight
2.1.2	At least 1 year of experience in management of automated data collection, storage, and reporting platforms with comparable data volume and structure, scale, and security measures.	1 year =1 2 years =2 3 years =3 ≥4 years =4		2.00	Feature Scores Assigned X Feature Weight
2.1.3	Implementation of at least two successful projects in automated data collection, storage, and reporting platforms with comparable data volume and structure, scale, and security measures.	2 projects =1 3 projects =2 4 projects =3 ≥5 projects =4		2.00	Feature Scores Assigned X Feature Weight
2.1.4	Graduated higher education in IT/Data science and relevant experience and excellent knowledge of English	Graduated higher education in IT/Data science and 1 years of relevant experience and excellent knowledge of English =1 Graduated higher education in IT/Data science and 2 years of relevant experience and excellent knowledge of English =2 Graduated higher education in IT/Data science and 3 years of relevant experience and excellent knowledge of English =3 Doctorate Degree in IT/Data science and 5 years of relevant experience and excellent knowledge of English =4		1.00	Feature Scores Assigned X Feature Weight
TOTAL					

Table B1 2.2					
No	Sub Category	Score from Criteria	Feature Scores Assigned	Feature Weight	Total
2.2	Lead Business Analyst				
2.2.1	At least 3 years professional experience in management of information technologies	3 years =1 4 years =2 5 years =3 6 years =4		2.0	Feature Scores Assigned X Feature Weight
2.2.2	At least 1 year of experience in management of automated data collection, storage, and reporting platforms with comparable data volume and structure, scale, and security measures.	1 year =1 2 years =2 3 years =3 ≥4 years =4		1.25	Feature Scores Assigned X Feature Weight
2.2.3	Implementation of at least two successful projects in automated data collection, storage, and reporting platforms area with comparable data volume and structure, scale, and security measures.	2 projects =1 3 projects =2 4 projects =3 ≥5 projects =4		1.0	Feature Scores Assigned X Feature Weight

2.2.4	Knowledge of the Armenian tax system functionality	Absence of Knowledge of the Armenian tax system functionality = 0 Knowledge of the Armenian tax system functionality=4		1.0	Feature Scores Assigned X Feature Weight
2.2.5	Graduated higher education in IT/Data science and relevant experience and excellent knowledge of English	Graduated higher education in IT/Data science and 1 years of relevant experience and excellent knowledge of English = 1 Graduated higher education in IT/Data science and 2 years of relevant experience and excellent knowledge of English = 2 Graduated higher education in IT/Data science and 3 years of relevant experience and excellent knowledge of English = 3 Doctorate Degree in IT/Data science and 5 years of relevant experience and excellent knowledge of English = 4		1.0	Feature Scores Assigned X Feature Weight
TOTAL					

Table B1 2.3					
No	Sub Category	Score from Criteria	Feature Scores Assigned	Feature Weight	Total
Lead Programmer					
2.3.1	Minimum three 3 experience in managing application design, software development, maintenance and delivery with experience of using Application, Web and Database servers	3 years = 1 4 years = 2 5 years = 3 ≥6 years = 4		2.0	Feature Scores Assigned X Feature Weight
2.3.2	Strong experience in distributed and multi-tiered systems architecture design	<2 years = 0 2 years =1 3 years = 2 4 years = 3 ≥5 years = 4		1.5	Feature Scores Assigned X Feature Weight
2.3.3	Strong experience in development and implementation of highly complex technical solutions in a web environment	<2 years = 0 2 years =1 3 years = 2 4 years = 3 ≥5 years = 4		1.25	Feature Scores Assigned X Feature Weight
2.3.4	Knowledge of the Armenian tax system functionality	Absence of Knowledge of the Armenian tax system functionality = 0 Knowledge of the Armenian tax system functionality=4		1.0	Feature Scores Assigned X Feature Weight
2.3.5	Graduated higher education in programming and relevant experience and excellent knowledge of English	Doctorate Degree in data science, statistics and 6 years of relevant experience and excellent knowledge of English =4 Master's Degree in data science, statistics and 5 years of relevant experience and excellent knowledge of English =2 Bachelor's Degree in data science, statistics and 3 years of relevant experience and		0.50	Feature Scores Assigned X Feature Weight

		excellent knowledge of English =1			
TOTAL					

Table B1 2.4					
No	Sub Category	Score from Criteria	Feature Scores Assigned	Feature Weight	Total
Lead Quality assurance specialist					
2.4.1	At least 2 years' experience of Quality assurance specialist, where the development of use cases was a must	2 years = 1 3 years = 2 4 years = 3 ≥5 years = 4		2.50	Feature Scores Assigned X Feature Weight
2.4.2	At least 2 years' experience in testing of similar nature projects	2 years = 1 3 years = 2 4 years = 3 ≥5 years = 4		2.50	Feature Scores Assigned X Feature Weight

- (b) The total technical points assigned to each Proposal in the Evaluated Proposal Formula will be determined by adding and weighting the scores assigned by an evaluation committee to technical features of the Proposal in accordance with the PDS and the scoring methodology below:

Technical proposal scoring methodology

- (a) During the evaluation process, the evaluation committee will assign each feature a whole number score from 0 to 4, where 0 means that the feature is absent, and 1 to 4 either represent predefined values for desirable features amenable to an objective way of rating (as is the case for, e.g., extra memory, or extra mass storage capacity, etc., if these extras would be conducive for the utility of the system), or if the feature represents a desirable functionality (e.g., of a software package) or a quality improving the prospects for a successful implementation (such as the strengths of the proposed project staff, the methodology, the elaboration of the project plan, etc., in the proposal), the scoring will be 1 for the feature being present but showing deficiencies; 2 for meeting the requirements; 3 for marginally exceeding the requirements; and 4 for significantly exceeding the requirements.
- (b) The score for each feature (i) within a category (j) will be combined with the scores of features in the same category as a weighted sum to form the Category Technical Score using the following formula:

$$S_j \equiv \sum_{i=1}^k t_{ji} * w_{ji}$$

where:

t_{ji} = the technical score for feature “i” in category “j”

w_{ji} = the weight of feature “i” in category “j”

k = the number of scored features in category “j”

$$\text{and } \sum_{i=1}^k w_{ji} = 1$$

- (c) The Category Technical Scores will be combined in a weighted sum to form the total Technical Proposal Score using the following formula:

$$T \equiv \sum_{j=1}^n S_j * W_j$$

where:

S_j = the Category Technical Score of category “j”

W_j = the weight of category “j” as specified in the PDS

n = the number of categories

$$\text{and } \sum_{j=1}^n W_j = 1$$

In addition to the above Evaluation Factors/Parameters specified under sub-point (a) of 2.2 - Technical Evaluation, the evaluation will be conducted on a pass/fail basis for the following factors of the Proposals. A pass indicates that the proposal meets the specified criteria, while a fail indicates that it does not meet the criteria.

- (i) quality of the Proposer’s Preliminary Project Plan as evidenced by the thoroughness, reasonableness, and responsiveness of: (a) the task and resource schedules, both general and specific, and (b) the proposed arrangements for management and coordination, training, quality assurance, technical support, logistics, problem resolution, and transfer of knowledge, and other such activities as specified by the Purchaser or proposed by the Proposer based on the Proposer’s experience.

3. Technical alternatives

If invited in accordance with ITP 13.4, will be evaluated as follows: **none**

4. Financial Evaluation

The following factors and methods will apply:

(a) Time Schedule:

The number of weeks, from the effective date specified in Article 3 of the Contract Agreement, to achieve Operational Acceptance must be no more than: **14 weeks, consistent with the Implementation Schedule.**

A Proposal offering to achieve Operational Acceptance earlier than the maximum number of weeks **shall not** be given credit for proposal evaluation purposes.

(b) Recurrent Costs*none***(c) Specific additional criteria**

The relevant evaluation method, if any, shall be as follows:

*Not Applicable***5. Combined Evaluation**

The Purchaser will evaluate and compare the Proposals that have been determined to be substantially responsive.

An Evaluated Proposal Score (B) will be calculated for each responsive Proposal using the following formula, which permits a comprehensive assessment of the evaluated cost and the technical merits of each Proposal:

$$B \equiv \frac{C_{low}}{C} * X * 100 + \frac{T}{T_{high}} * (1 - X) * 100$$

where

C = Evaluated Proposal Price

C_{low} = the lowest of all Evaluated Proposal Prices among responsive Proposals

T = the total Technical Score awarded to the Proposal

T_{high} = the Technical Score achieved by the Proposal that was scored best among all responsive Proposals

X = weight for the Cost as specified in the PDS (60%)

The Proposal with the best evaluated Proposal Score (B) among responsive Proposals shall be the Most Advantageous Proposal provided the Proposer was prequalified and/or it was found to be qualified to perform the Contract

ANNEX 1 to Point 1.1, “Qualification Requirements”, under Section III**Qualification Requirements table**

Factor	1.1 ELIGIBILITY					
Sub-Factor	Criteria					Documentation Required
	Requirement	Proposer				
		Single Entity	Joint Venture (existing or intended)			
			All members combined	Each member	At least one member	
1.1.1 Nationality	Nationality in accordance with ITP 4.4.	Must meet requirement	Must meet requirement	Must meet requirement	N / A	Form ELI –1.1.1 and 1.1.2, with attachments
1.1.2 Conflict of Interest	No- conflicts of interests as described in ITP 4.2.	Must meet requirement	Must meet requirement	Must meet requirement	N / A	Letter of Proposal
1.1.3 Bank Ineligibility	Not having been declared ineligible by the Bank as described in ITP 4.5.	Must meet requirement	Must meet requirement	Must meet requirement	N / A	Letter of Proposal
1.1.4 State owned Entity of the Borrower country	Compliance with conditions of ITP 4.6	Must meet requirement	Must meet requirement	Must meet requirement	N / A	Form ELI –1.1.1 and 1.1.2, with attachments

Factor	1.1 ELIGIBILITY					
Sub-Factor	Criteria					Documentation Required
	Requirement	Proposer				
		Single Entity	Joint Venture (existing or intended)			
			All members combined	Each member	At least one member	
1.1.5 United Nations resolution or Borrower’s country law	Not having been excluded as a result of prohibition in the Borrower’s country laws or official regulations against commercial relations with the Proposer’s country, or by an act of compliance with UN Security Council resolution, both in accordance with ITP 4.8	Must meet requirement	Must meet requirement	Must meet requirement	N / A	Letter of Proposal

Factor	1.2 HISTORICAL CONTRACT NON-PERFORMANCE					
Sub-Factor	Criteria					Documentation Required
	Requirement	Proposer				
		Single Entity	Joint Venture (existing or intended)			
			All members combined	Each member	At least one member	
1.2.1 History of non-performing contracts	Non-performance of a contract ¹ did not occur as a result of Proposer’s default since 1 st January 2020.	Must meet requirement by itself or as member to past or existing JV	N / A	Must meet requirement ²	N / A	Form CON - 2
1.2.2 Suspension	Not under suspension based on execution of a Proposal Securing Declaration or Proposal Securing Declaration pursuant to ITP 4.7 and ITP 20.10	Must meet requirement	N / A	Must meet requirement	N / A	Letter of Proposal
1.2.3 Pending Litigation	Proposer’s financial position and prospective long term profitability still sound according to criteria established in 1.3.1 below and assuming that all pending litigation will be resolved against the Proposer.	Must meet requirement by itself or as member to past or existing JV	N / A	Must meet requirement	N / A	Form CON – 2

¹ Nonperformance, as decided by the Purchaser, shall include all contracts where (a) nonperformance was not challenged by the contractor, including through referral to the dispute resolution mechanism under the respective contract, and (b) contracts that were so challenged but fully settled against the contractor. Nonperformance shall not include contracts where Purchaser decision was overruled by the dispute resolution mechanism. Nonperformance must be based on all information on fully settled disputes or litigation, i.e. dispute or litigation that has been resolved in accordance with the dispute resolution mechanism under the respective contract and where all appeal instances available to the applicant have been exhausted.

² This requirement also applies to contracts executed by the Applicant/Proposer as JV member.

Factor	1.2 HISTORICAL CONTRACT NON-PERFORMANCE					
Sub-Factor	Criteria					Documentation Required
	Requirement	Proposer				
		Single Entity	Joint Venture (existing or intended)			
			All members combined	Each member	At least one member	
1.2.4 Litigation History	No consistent history of court/arbitral award decisions against the Proposer ³ since 1 st January 2020.	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Form CON – 2
1.2.5 Bank’s SEA and/or SH Disqualification ⁴	At the time of Contract Award, not subject to disqualification by the Bank for non-compliance with SEA/ SH obligations	Must meet requirement (including each subcontractor proposed by the Proposer)	N/A	Must meet requirement (including each subcontractor proposed by the Proposer)	N/A	Letter of Proposal, Form CON-3

³ The Proposer shall provide accurate information on the related Letter of Proposal about any litigation or arbitration resulting from contracts completed or ongoing under its execution over the last five years. A consistent history of awards against the Proposer or any member of a joint venture may result in failure of the Proposal.

Factor	1.3 FINANCIAL SITUATION					
Sub-Factor	Criteria					Documentation Required
	Requirement	Proposer				
		Single Entity	Joint Venture (existing or intended)			
			All members combined	Each member	At least one member	
1.3.1 Historical Financial Performance	Submission of audited balance sheets/ independent financial auditor’s reports or other financial statements/tax reports (e.g. profit/income calculation forms issued to State Revenue committee) acceptable to the Purchaser, for the last three (3) (i.e. 2022, 2023, and 2024) years.	Must meet requirement	N / A	Must meet requirement	N / A	Form FIN – 1.3.1 with attachments
1.3.2 Average Annual Turnover	Minimum average annual turnover of EURO 1,000,000, in any 2 out of the last 3 years (i.e. 2022, 2023, and 2024).	Must meet requirement	Must meet requirement	N/A	N/A	Form FIN –1.3.2
1.3.3 Financial Resources	The Proposer MUST demonstrate access to, or availability of, financial resources such as liquid assets, unencumbered real assets, lines of credit, and other financial means, other than any contractual advance payments to meet the following cash-flow requirement: EURO 250,000 To prove access to sufficient financial resources the Proposer is required to provide evidence. This could include, for instance, e.g. (i) confirmation of lines of credit or the availability of financial instruments issued by a Bank, or (ii) Bank statements or financial institution verification affirming the availability of liquid assets.	Must meet requirement	Must meet requirement	N/A	N/A	Form FIN –1.3.3

Factor	1.4 EXPERIENCE					
Sub-Factor	Criteria					Documentation Required
	Requirement	Proposer				
		Single Entity	Joint Venture (existing or intended)			
			All members combined	Each member	At least one member	
1.4.1 General Experience	Experience under Software Development contracts, in the role of prime supplier or management contractor or JV member, or subcontractor, totaling at least 3 years (whether continuous or cumulative) within the past 10 (ten) years (2014-2024). -	Must meet requirement	N / A	Must meet requirement	N / A	Form EXP-1.4.1
1.4.2 Specific Experience	The Proposer MUST have participated in at least 3 contracts within the past five years (2020-2024) as a prime supplier or management contractor or JV member, or subcontractor. Each contract must have been either successfully completed or substantially completed (as defined below) and must be of a similar nature to the proposed Information System in terms of complexity, methods, and technology. (In this context, substantially completed means that the main objectives or substantial portions of the services/works outlined in the	Must meet requirement	Must meet requirements	N / A	N / A	Form EXP 1.4.2

Factor	1.4 EXPERIENCE					
Sub-Factor	Criteria					Documentation Required
	Requirement	Proposer				
		Single Entity	Joint Venture (existing or intended)			
	All members combined		Each member	At least one member		
	<p>contract have been achieved or fulfilled. While minor details or tasks may still be outstanding, the overall scope of the contract has been accomplished to a significant extent.)</p> <p>The presented contract(s) must be supported by:</p> <p>(a) a brief project description,</p> <p>(b) a list of technologies used,</p> <p>(c) the scope and scale of the project, and</p> <p>(d) a reference from the client/purchaser to verify project details, such as a reference letter or equivalent documentation.</p> <p>The Proposer MUST provide a copy of the successfully or substantially completed similar contract(s) and demonstrate their completion status. This shall be documented by an Operational Acceptance Certificate or any equivalent documentation satisfactory to the Purchaser, issued by the purchaser(s).</p>					

SECTION IV - PROPOSAL FORMS

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Letter of Proposal- Technical Part

INSTRUCTIONS TO PROPOSERS: DELETE THIS BOX ONCE YOU HAVE COMPLETED THE DOCUMENT

The Proposer must prepare this Letter of Proposal on stationery with its letterhead clearly showing the Proposer's complete name and business address.

Note: All italicized text is to help Proposers in preparing this form.

Date of this Proposal submission: *[insert date (as day, month and year) of Proposal submission]*

RFP No.: *[insert number of RFP process]*

Alternative No.: *[insert identification No if this is a Proposal for an alternative, otherwise state "not applicable"]*

We, the undersigned, declare that:

To: *[insert complete name of Purchaser]*

- (a) **No reservations:** We have examined and have no reservations to the request for proposals document, including Addenda issued in accordance with Instructions to Proposers (ITP 8);
- (b) **Eligibility:** We meet the eligibility requirements and have no conflict of interest in accordance with ITP 4;
- (c) **Proposal-Securing Declaration:** We have not been suspended nor declared ineligible by the Purchaser based on execution of a Proposal-Securing Declaration or Proposal-Securing Declaration in the Purchaser's Country in accordance with ITP 4.7;
- (d) **Sexual Exploitation and Abuse (SEA) and/or Sexual Harassment (SH):** *[select the appropriate option from (i) to (v) below and delete the others. In case of JV members and/or subcontractors, indicate the status of disqualification by the Bank of each JV member and/or subcontractor].*

We, including any of our subcontractors:

- (i) [have not been subject to disqualification by the Bank for non-compliance with SEA/ SH obligations.]
- (ii) [are subject to disqualification by the Bank for non-compliance with SEA/ SH obligations.]
- (iii) [had been subject to disqualification by the Bank for non-compliance with SEA/ SH obligations, and were removed from the disqualification list. An arbitral award on the disqualification case has been made in our favor.]

- (e) **Conformity:** We offer to provide design, supply and installation services in conformity with the request for proposals document of the following: *[insert a brief description of the IS Design, Supply and Installation Services]*;
- (f) **Proposal Validity:** Our Proposal shall be valid until *[insert day, month and year in accordance with ITP 19.1]*, and it shall remain binding upon us and may be accepted at any time before the expiration of that period;
- (g) **Performance Security:** If our Proposal is accepted, we commit to obtain a Performance Security in accordance with the request for proposals document;
- (h) **One Proposal Per Proposer:** We are not submitting any other Proposal(s) as an individual Proposer, and we are not participating in any other Proposal(s) as a Joint Venture member, and meet the requirements of ITP 4.3, other than alternative Proposals submitted in accordance with ITP 13;
- (i) **Suspension and Debarment:** We, along with any of our subcontractors, suppliers, consultants, manufacturers, or service providers for any part of the contract, are not subject to, and not controlled by any entity or individual that is subject to, a temporary suspension or a debarment imposed by the World Bank Group or a debarment imposed by the World Bank Group in accordance with the Agreement for Mutual Enforcement of Debarment Decisions between the World Bank and other development banks. Further, we are not ineligible under the Purchaser's Country laws or official regulations or pursuant to a decision of the United Nations Security Council;
- (j) **State-owned enterprise or institution:** *[select the appropriate option and delete the other: We are not a state-owned enterprise or institution / We are a state-owned enterprise or institution but meet the requirements of ITP 4.6]*;
- (k) **Commissions, gratuities and fees:** We have paid, or will pay the following commissions, gratuities, or fees with respect to the procurement process or execution of the Contract: *[insert complete name of each Recipient, its full address, the reason for which each commission or gratuity was paid and the amount and currency of each such commission or gratuity]*

Name of Recipient	Address	Reason	Amount

[If none has been paid or is to be paid, indicate "none."]

- (l) **Binding Contract:** We understand that this Proposal, together with your written acceptance thereof included in your Letter of Acceptance, shall constitute a binding contract between us, until a formal contract is prepared and executed;

- (m) **Not Bound to Accept:** We understand that you are not bound to accept the lowest evaluated cost Proposal, the Most Advantageous Proposal or any other Proposal that you may receive; and
- (n) **Fraud and Corruption:** We hereby certify that we have taken steps to ensure that no person acting for us or on our behalf engages in any type of Fraud and Corruption.

Name of the Proposer: **[insert complete name of the Proposer]*

Name of the person duly authorized to sign the Proposal on behalf of the Proposer: ***[insert complete name of person duly authorized to sign the Proposal]*

Title of the person signing the Proposal: *[insert complete title of the person signing the Proposal]*

Signature of the person named above: *[insert signature of person whose name and capacity are shown above]*

Date signed *[insert number]* day of *[insert month]*, *[insert year]*

*: In the case of the Proposal submitted by joint venture specify the name of the Joint Venture as Proposer

**: Person signing the Proposal shall have the power of attorney given by the Proposer to be attached with the Proposal

FORM ELI 1.1.1- PROPOSER INFORMATION FORM

[Note: The Proposer shall fill in this Form in accordance with the instructions indicated below. No alterations to its format shall be permitted and no substitutions shall be accepted.]

Date: *[insert date (as day, month and year) of Proposal submission]*

RFP No.: *[insert number of Request for Proposals process]*

Alternative No.: *[insert identification No if this is a Proposal for an alternative]
otherwise state “not applicable”*

Page _____ of _____ pages

1. Proposer's Name <i>[insert Proposer's legal name]</i>
2. In case of JV, legal name of each member : <i>[insert legal name of each member in JV]</i>
3. Proposer's actual or intended country of registration: <i>[insert actual or intended country of registration]</i>
4. Proposer's year of registration: <i>[insert Proposer's year of registration]</i>
5. Proposer's Address in country of registration: <i>[insert Proposer's legal address in country of registration]</i>
6. Proposer's Authorized Representative Information Name: <i>[insert Authorized Representative's name]</i> Address: <i>[insert Authorized Representative's Address]</i> Telephone/Fax numbers: <i>[insert Authorized Representative's telephone/fax numbers]</i> Email Address: <i>[insert Authorized Representative's email address]</i>
7. Attached are copies of original documents of <i>[check the box(es) of the attached original documents]</i> <input type="checkbox"/> Articles of Incorporation (or equivalent documents of constitution or association), and/or documents of registration of the legal entity named above, in accordance with ITP 4.4. <input type="checkbox"/> In case of JV, letter of intent to form JV or JV agreement, in accordance with ITP 4.1. <input type="checkbox"/> In case of state-owned enterprise or institution, in accordance with ITP 4.6 documents establishing: <ul style="list-style-type: none"> • Legal and financial autonomy

- Operation under commercial law
 - Establishing that the Proposer is not under the supervision of the Purchaser
8. Included are the organizational chart, a list of Board of Directors, and the beneficial ownership. *[The successful Proposer shall provide additional information on beneficial ownership, using the Beneficial Ownership Disclosure Form.]*

FORM ELI 1.1.2- PROPOSER’S JV MEMBERS INFORMATION FORM

[The Proposer shall fill in this Form in accordance with the instructions indicated below. The following table shall be filled in for the Proposer and for each member of a Joint Venture].

Date: *[insert date (as day, month and year) of Proposal submission]*

RFP No.: *[insert number of Request for Proposals process]*

Alternative No.: *[insert identification No if this is a Proposal for an alternative, otherwise state “not applicable”]*

Page _____ of _____ pages

1. Proposer’s Name: <i>[insert Proposer’s legal name]</i>
2. Proposer’s JV Member’s name: <i>[insert JV’s Member legal name]</i>
3. Proposer’s JV Member’s country of registration: <i>[insert JV’s Member country of registration]</i>
4. Proposer’s JV Member’s year of registration: <i>[insert JV’s Member year of registration]</i>
5. Proposer’s JV Member’s legal address in country of registration: <i>[insert JV’s Member legal address in country of registration]</i>
6. Proposer’s JV Member’s authorized representative information Name: <i>[insert name of JV’s Member authorized representative]</i> Address: <i>[insert address of JV’s Member authorized representative]</i> Telephone/Fax numbers: <i>[insert telephone/fax numbers of JV’s Member authorized representative]</i> Email Address: <i>[insert email address of JV’s Member authorized representative]</i>

7. Attached are copies of original documents of *[check the box(es) of the attached original documents]*
- ☐ Articles of Incorporation (or equivalent documents of constitution or association), and/or registration documents of the legal entity named above, in accordance with ITP 4.4.
 - ☐ In case of a state-owned enterprise or institution, documents establishing legal and financial autonomy, operation in accordance with commercial law, and they are not under the supervision of the Purchaser in accordance with ITP 4.6.
8. Included are the organizational chart, a list of Board of Directors, and the beneficial ownership. *[The successful Proposer shall provide additional information on beneficial ownership for each JV member using the Beneficial Ownership Disclosure Form.]*

FORM CON – 2- HISTORICAL CONTRACT NON-PERFORMANCE, PENDING LITIGATION AND LITIGATION HISTORY

In case a prequalification process was conducted this form should be used only if the information submitted at the time of prequalification requires updating

Proposer's Legal Name: *[insert **Proposer's Legal Name**]*

Date: *[insert **date**]*

JV member Legal Name: *[insert **JV Member Legal Name**]*

RFP No.: *[insert **RFP number**]*

Page _____ of _____ pages

Non-Performed Contracts in accordance with Section III, Evaluation and Qualification Criteria			
<input type="checkbox"/> Contract non-performance did not occur since 1 st January 2020 specified in Section III, Evaluation and Qualification Criteria, Sub-Factor 1.2.1. <input type="checkbox"/> Contract(s) not performed since 1 st January 2020 specified in Section III, Evaluation and Qualification Criteria, requirement 1.2.1			
Year	Non-performed portion of contract	Contract Identification	Total Contract Amount (current value, currency, exchange rate and EURO equivalent)
<i>[insert year]</i>	<i>[insert amount and percentage]</i>	Contract Identification: <i>[indicate complete contract name/ number, and any other identification]</i> Name of Employer: <i>[insert full name]</i> Address of Employer: <i>[insert street/city/country]</i> Reason(s) for nonperformance: <i>[indicate main reason(s)]</i>	<i>[insert amount]</i>
Pending Litigation, in accordance with Section III, Evaluation and Qualification Criteria			
<input type="checkbox"/> No pending litigation in accordance with Sub-Factor 1.2.3. <input type="checkbox"/> Pending litigation in accordance with Sub-Factor 1.2.3 as indicated below.			

Year of dispute	Amount in dispute (currency)	Contract Identification	Total Contract Amount (currency), EURO Equivalent (exchange rate)
<i>[specify year]</i>	<i>[specify amount and currency]</i>	Contract Identification: <i>[insert Contract ID]</i> Name of Employer: <i>[insert Name of Employer]</i> Address of Employer: <i>[insert Address of Employer]</i> Matter in dispute: <i>[describe Matter of dispute]</i> Party who initiated the dispute: <i>[specify Initiator of dispute]</i> Status of dispute: <i>[specify Status of dispute]</i>	<i>[specify total contract amount and currency, EURO equivalent and exchange rate]</i>
<i>[specify year]</i>	<i>[specify amount and currency]</i>	Contract Identification: <i>[insert Contract ID]</i> Name of Employer: <i>[insert Name of Employer]</i> Address of Employer: <i>[insert Address of Employer]</i> Matter in dispute: <i>[describe Matter of dispute]</i> Party who initiated the dispute: <i>[specify Initiator of dispute]</i> Status of dispute: <i>[specify Status of dispute]</i>	<i>[specify total contract amount and currency, EURO equivalent and exchange rate]</i>
Litigation History in accordance with Section III, Evaluation and Qualification Criteria			
<input type="checkbox"/> No Litigation History in accordance with Sub-Factor 1.2.4. <input type="checkbox"/> Litigation History in accordance with Sub-Factor 1.2.4 as indicated below.			
Year of award	Outcome as percentage of Net Worth	Contract Identification	Total Contract Amount (currency), EURO Equivalent (exchange rate)
<i>[specify year]</i>	<i>[specify percentage of net worth]</i>	Contract Identification: <i>[insert Contract ID]</i> Name of Employer: <i>[insert Name of Employer]</i> Address of Employer: <i>[insert Address of Employer]</i>	<i>[specify total contract amount and currency, EURO equivalent and exchange rate]</i>

FORM CON – 3

SEXUAL EXPLOITATION AND ABUSE (SEA) AND/OR SEXUAL HARASSMENT PERFORMANCE DECLARATION

[The following table shall be filled in by the Proposer, each member of a Joint Venture and each subcontractor proposed by the Proposer]

Proposer's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member's or Subcontractor's Name: *[insert full name]*

RFP No. and title: *[insert RFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

SEA and/or SH Declaration in accordance with Section III, Qualification Criteria, and Requirements
<p>We:</p> <p><input type="checkbox"/> (a) have not been subject to disqualification by the Bank for non-compliance with SEA/ SH obligations</p> <p><input type="checkbox"/> (b) are subject to disqualification by the Bank for non-compliance with SEA/ SH obligations</p> <p><input type="checkbox"/> (c) had been subject to disqualification by the Bank for non-compliance with SEA/ SH obligations, and were removed from the disqualification list. An arbitral award on the disqualification case has been made in our favor.</p>
<p><i>[If (c) above is applicable, attach evidence of an arbitral award reversing the findings on the issues underlying the disqualification.]</i></p>

FORM EXP 1.4.1- GENERAL EXPERIENCE

Proposer's Legal Name: *[insert Proposer's Legal Name]*

Date: *[insert Date]*

JV Member Legal Name: *[insert JV Member Legal Name]*

RFP No.: *[insert RFP number]*

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Starting Month / Year	Ending Month / Year	Contract Identification	Role of Proposer
<i>[insert month / year]</i>	<i>[insert month / year]</i>	Contract name: <i>[insert Name of Contract]</i> Brief Description of the Information System performed by the Proposer: <i>[describe Information System]</i> Name of Purchaser: <i>[insert Name of Purchaser]</i> Address: <i>[insert Address of Purchaser]</i>	<i>[describe role of Proposer under the contract]</i> [insert "Prime Contractor" or "JV Member" or "Sub-contractor" or "Management Contractor"]
<i>[insert month / year]</i>	<i>[insert month / year]</i>	Contract name: <i>[insert Name of Contract]</i> Brief Description of the Information System performed by the Proposer: <i>[describe Information System]</i> Name of Purchaser: <i>[insert Name of Purchaser]</i> Address: <i>[insert Address of Purchaser]</i>	<i>[describe role of Proposer under the contract]</i> [insert "Prime Contractor" or "JV Member" or "Sub-contractor" or "Management Contractor"]

Starting Month / Year	Ending Month / Year	Contract Identification	Role of Proposer
<i>[insert month / year]</i>	<i>[insert month / year]</i>	Contract name: <i>[insert Name of Contract]</i> Brief Description of the Information System performed by the Proposer: <i>[describe Information System]</i> Name of Purchaser: <i>[insert Name of Purchaser]</i> Address: <i>[insert Address of Purchaser]</i>	<i>[describe role of Proposer under the contract]</i> [insert "Prime Contractor" or "JV Member" or "Sub-contractor" or "Management Contractor"]
<i>[insert month / year]</i>	<i>[insert month / year]</i>	Contract name: <i>[insert Name of Contract]</i> Brief Description of the Information System performed by the Proposer: <i>[describe Information System]</i> Name of Purchaser: <i>[insert Name of Purchaser]</i> Address: <i>[insert Address of Purchaser]</i>	<i>[describe role of Proposer under the contract]</i> [insert "Prime Contractor" or "JV Member" or "Sub-contractor" or "Management Contractor"]

FORM EXP 1.4.2- SPECIFIC EXPERIENCE

Proposer's Legal Name: *[insert Proposer's Legal Name]*

Date: *[insert Date]*

JV Member Legal Name: *[insert JV Member Legal Name]*

RFP No.: *[insert RFP number]*

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Similar Contract Number: ____ of ____ required.	Information		
Contract Identification	<i>[insert Contract ID]</i>		
Award date	<i>[insert Date of Award]</i>		
Completion date	<i>[insert Date of Completion]</i>		
Role in Contract	<input type="checkbox"/> Prime Supplier	<input type="checkbox"/> Management Contractor	<input type="checkbox"/> Subcontractor
Total contract amount			
If member in a JV or subcontractor, specify participation of total contract amount			
Purchaser's Name:	_____		
Address:	_____		
Telephone/fax number:	_____		
E-mail:	_____		

FORM EXP 1.4.2 (CONT.)- SPECIFIC EXPERIENCE (CONT.)

Proposer's Legal Name: *[insert Proposer's Legal Name]*

Date: *[insert Date]*

JV Member Legal Name: *[insert JV Member Legal Name]*

RFP No.: *[insert RFP number]*

Page _____ of _____ pages

Similar Contract No. <i>[insert specific number]</i> of <i>[insert total number of contracts]</i> required	Information
Description of the similarity in accordance with Sub-Factor 1.4.2 of Section III:	
Amount	<i>[insert contract amount and currency and EURO equivalent and exchange rate]</i>
Geographical Scope	<i>[describe geographic scope of the users of the information system]</i>
Functional Scope	<i>[describe the functionalities provided by the information system]</i>
Methods/Technology	<i>[describe methodologies and technologies used to implement the information system]</i>
Key Activities	<i>[describe the key activities of the Proposer under the contract]</i>

FORM CCC- SUMMARY SHEET: CURRENT CONTRACT COMMITMENTS / WORK IN PROGRESS

[Proposers and each partner to a Joint Venture should provide information on their current commitments on all contracts that have been awarded, or for which a letter of intent or acceptance has been received, or for contracts approaching completion, but for which an unqualified, full completion certificate has yet to be issued.]

Proposer's Legal Name: *[insert **Proposer's Legal Name**]*

Date: *[insert **Date**]*

JV Member Legal Name: *[insert **JV Member Legal Name**]*

RFP No.: *[insert **RFP number**]*

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Name of contract	Purchaser, contact address/tel./fax	Value of outstanding Information System (current EURO equivalent)	Estimated completion date	Average monthly invoicing over last six months (EURO equivalent/month)
1. <i>[insert Name of Contract]</i>	<i>[insert Name of Purchaser, contact address, telephone / fax number]</i>	<i>[insert Total Outstanding Contract Value of the information system in EURO equivalent and exchange rate]</i>	<i>[insert Estimated completion date]</i>	<i>[insert Average monthly invoices in EURO equivalent and exchange rate]</i>
2. <i>[insert Name of Contract]</i>	<i>[insert Name of Purchaser, contact address, telephone / fax number]</i>	<i>[insert Total Outstanding Contract Value of the information system in EURO equivalent and exchange rate]</i>	<i>[insert Estimated completion date]</i>	<i>[insert Average monthly invoices in EURO equivalent and exchange rate]</i>
3. <i>[insert Name of Contract]</i>	<i>[insert Name of Purchaser, contact address, telephone / fax number]</i>	<i>[insert Total Outstanding Contract Value of the information system in EURO equivalent and exchange rate]</i>	<i>[insert Estimated completion date]</i>	<i>[insert Average monthly invoices in EURO equivalent and exchange rate]</i>
...				

FORM FIN 1.3.1- FINANCIAL SITUATION: HISTORICAL FINANCIAL PERFORMANCE

To be completed by the Proposer and, if JV, by each member

Proposer's Legal Name: *[insert Proposer's Legal Name]*

Date: *[insert Date]*

JV Member Legal Name: *[insert JV Member Legal Name]*

RFP No.: *[insert RFP number]*

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Financial information in EURO equivalent	Historic information for previous <i>[insert number]</i> years (EURO equivalent in 000s)						
	Year 1	Year 2	Year 3	Year ...	Year n	Avg.	Avg. Ratio
Information from Balance Sheet							
Total Assets (TA)							
Total Liabilities (TL)							
Net Worth (NW)							
Current Assets (CA)							
Current Liabilities (CL)							
Information from Income Statement							
Total Revenue (TR)							
Profits Before Taxes (PBT)							

Attached are copies of financial statements (balance sheets, including all related notes, and income statements) for the years required above complying with the following conditions:

- (a) Must reflect the financial situation of the Proposer or member to a JV, and not sister or parent companies
- (b) Historic financial statements must be audited by a certified accountant
- (c) Historic financial statements must be complete, including all notes to the financial statements
- (d) Historic financial statements must correspond to accounting periods already completed and audited (no statements for partial periods shall be requested or accepted)

FORM FIN 1.3.2- AVERAGE ANNUAL TURNOVER

[To be completed by the Proposer and, if JV, by each member]

Proposer's Legal Name: *[insert Proposer's Legal Name]*

Date: *[insert Date]*

JV Member Legal Name: *[insert JV Member Legal Name]*

RFP No.: *[insert RFP number]*

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Annual turnover data (applicable activities only)		
Year	Amount and Currency	EURO equivalent
<i>[insert year]</i>	<i>[insert amount and currency]</i>	<i>[insert amount in EURO equivalent and exchange rate]</i>
<i>[insert year]</i>	<i>[insert amount and currency]</i>	<i>[insert amount in EURO equivalent and exchange rate]</i>
<i>[insert year]</i>	<i>[insert amount and currency]</i>	<i>[insert amount in EURO equivalent and exchange rate]</i>
<i>[insert year]</i>	<i>[insert amount and currency]</i>	<i>[insert amount in EURO equivalent and exchange rate]</i>
<i>[insert year]</i>	<i>[insert amount and currency]</i>	<i>[insert amount in EURO equivalent and exchange rate]</i>
<i>[insert year]</i>	<i>[insert amount and currency]</i>	<i>[insert amount in EURO equivalent and exchange rate]</i>
*Average Annual Turnover	<i>[insert amount and currency]</i>	<i>[insert amount in EURO equivalent and exchange rate]</i>

*Average annual turnover calculated as total certified payments received for work in progress or completed, divided by the number of years specified in Section III, Evaluation and Qualification Criteria, Sub-Factor 1.3.2.

FORM FIN 1.3.3- FINANCIAL RESOURCES

To be completed by the Proposer and, if JV, by each member

Proposer's Legal Name: *[insert **Proposer's Legal Name**]*

Date: *[insert **Date**]*

JV Member Legal Name: *[insert **JV Member Legal Name**]*

RFP No.: *[insert **RFP number**]*

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Specify proposed sources of financing, such as liquid assets, unencumbered real assets, lines of credit, and other financial means, net of current commitments, available to meet the total cash flow demands of the subject contract or contracts as indicated in Section III, Evaluation and Qualification Criteria

Source of financing	Amount (EUROequivalent)
1. <i>[describe type and source of available financing]</i>	<i>[insert amount of available financing in EURO equivalent and exchange rate]</i>
2. <i>[describe type and source of available financing]</i>	<i>[insert amount of available financing in EURO equivalent and exchange rate]</i>
3. <i>[describe type and source of available financing]</i>	<i>[insert amount of available financing in EURO equivalent and exchange rate]</i>
4. <i>[describe type and source of available financing]</i>	<i>[insert amount of available financing in EURO equivalent and exchange rate]</i>

PERSONNEL CAPABILITIES- KEY PERSONNEL

To be completed by the Proposer and, if JV, by each member

Proposer's Legal Name: *[insert Proposer's Legal Name]*

Date: *[insert Date]*

JV Member Legal Name: *[insert JV Member Legal Name]*

RFP No.: *[insert RFP number]*

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Proposers should provide the names and details of the suitably qualified Personnel to perform the Contract. The data on their experience should be supplied using the Form PER-2 below for each candidate.

Key Personnel

1.	Title of position: Team Lead	
	Name of candidate: <i>[insert Name of Candidate]</i>	
	Duration of appointment:	<i>[insert the whole period (start and end dates) for which this position will be engaged]</i>
	Time commitment: for this position:	<i>[insert the number of days/week/months/ that has been scheduled for this position]</i>
	Expected time schedule for this position:	<i>[insert the expected time schedule for this position (e.g. attach high level Gantt chart)]</i>
2.	Title of position: Lead Business Analyst	
	Name of candidate: <i>[insert Name of Candidate]</i>	
	Duration of appointment:	<i>[insert the whole period (start and end dates) for which this position will be engaged]</i>
	Time commitment: for this position:	<i>[insert the number of days/week/months/ that has been scheduled for this position]</i>
	Expected time schedule for this position:	<i>[insert the expected time schedule for this position (e.g. attach high level Gantt chart)]</i>

3.	Title of position: Lead Programmer <i>[Include as required]</i>	
	Name of candidate: <i>[insert Name of Candidate]</i>	
	Duration of appointment:	<i>[insert the whole period (start and end dates) for which this position will be engaged]</i>
	Time commitment: for this position:	<i>[insert the number of days/week/months/ that has been scheduled for this position]</i>
	Expected time schedule for this position:	<i>[insert the expected time schedule for this position (e.g. attach high level Gantt chart)]</i>

4.	Title of position: Lead Quality assurance specialist <i>[Include as required]</i>	
	Name of candidate: <i>[insert Name of Candidate]</i>	
	Duration of appointment:	<i>[insert the whole period (start and end dates) for which this position will be engaged]</i>
	Time commitment: for this position:	<i>[insert the number of days/week/months/ that has been scheduled for this position]</i>
	Expected time schedule for this position:	<i>[insert the expected time schedule for this position (e.g. attach high level Gantt chart)]</i>

Candidate Summary

To be completed by the Proposer and, if JV, by each member

Proposer's Legal Name: *[insert **Proposer's Legal Name**]*

Date: *[insert **Date**]*

JV Member Legal Name: *[insert **JV Member Legal Name**]*

RFP No.: *[insert **RFP number**]*

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Position; <i>[insert Title of Position]</i>		Prime <input type="checkbox"/> Alternate
Candidate information	Name of candidate <i>[insert Name Candidate]</i>	Date of birth <i>[insert Date of Birth]</i>
Professional qualifications <i>[describe Professional qualifications]</i>		
Present employment	Name of Employer <i>[insert Name of Present Employer]</i>	
Address of Employer <i>[insert Address of Present Employer]</i>		
Telephone <i>[insert Telephone of Contact]</i>		Contact (manager / personnel officer) <i>[insert Name]</i>
Fax <i>[insert fax of Contact]</i>		email <i>[insert email of Contact]</i>
Job title of candidate <i>[insert Job Title Candidate]</i>		Years with present Employer <i>[insert Number of years]</i>

Summarize professional experience over the last twenty years, in reverse chronological order. Indicate particular technical and managerial experience relevant to the project.

From	To	Company/Project/ Position/Relevant technical and management experience
<i>[insert year]</i>	<i>[insert year]</i>	<i>[describe experience relevant to the proposed Contract under the RFP]</i>
<i>[insert year]</i>	<i>[insert year]</i>	<i>[describe experience relevant to the proposed Contract under the RFP]</i>
<i>[insert year]</i>	<i>[insert year]</i>	<i>[describe experience relevant to the proposed Contract under the RFP]</i>
<i>[insert year]</i>	<i>[insert year]</i>	<i>[describe experience relevant to the proposed Contract under the RFP]</i>

CODE OF CONDUCT FOR SUPPLIER’S PERSONNEL FORM

Note to the Proposer:

The minimum content of the Code of Conduct form as set out by the Purchaser shall not be substantially modified. However, the Proposer may add requirements as appropriate, including to take into account Contract-specific issues/risks.

The Proposer shall initial and submit the Code of Conduct form as part of its proposal.

CODE OF CONDUCT FOR SUPPLIER’S PERSONNEL

We are the Supplier, *[enter name of Supplier]*. We have signed a contract with *[enter name of Purchaser]* for *[enter description of the Information System]*. The Information System will be supplied to and installed at *[enter the Project Site/s]*. Our contract requires us to implement measures to address environmental and social risks.

This Code of Conduct identifies the behavior that we require from Supplier’s Personnel employed in the execution of the Contract at the Project Site/s.

Our workplace is an environment where unsafe, offensive, abusive or violent behavior will not be tolerated and where all persons should feel comfortable raising issues or concerns without fear of retaliation.

REQUIRED CONDUCT

Supplier’s Personnel employed in the execution of the Contract at the Project Site/s shall:

1. carry out his/her duties competently and diligently;
2. comply with this Code of Conduct and all applicable laws, regulations and other requirements, including requirements to protect the health, safety and well-being of other Supplier’s Personnel and any other person;
3. maintain a safe working environment including by:
 - a. ensuring that workplaces, machinery, equipment and processes under each person’s control are safe and without risk to health;
 - b. wearing required personal protective equipment;
 - c. using appropriate measures relating to chemical, physical and biological substances and agents; and
 - d. following applicable emergency operating procedures.
4. report work situations that he/she believes are not safe or healthy and remove himself/herself from a work situation which he/she reasonably believes presents an imminent and serious danger to his/her life or health;

5. treat other people with respect, and not discriminate against specific groups such as women, people with disabilities, migrant workers or children;
6. not engage in any form of sexual harassment including unwelcome sexual advances, requests for sexual favors, and other verbal or physical conduct of a sexual nature with other Supplier's or Purchaser's Personnel;
7. not engage in Sexual Exploitation, which means any actual or attempted abuse of position of vulnerability, differential power or trust, for sexual purposes, including, but not limited to, profiting monetarily, socially or politically from the sexual exploitation of another;
8. not engage in Sexual Abuse, which means the actual or threatened physical intrusion of a sexual nature, whether by force or under unequal or coercive conditions;
9. not engage in any form of sexual activity with individuals under the age of 18, except in case of pre-existing marriage;
10. complete relevant training courses that will be provided related to the environmental and social aspects of the Contract, including on health and safety matters, and Sexual Exploitation and Abuse, and Sexual Harassment (SH);
11. report violations of this Code of Conduct; and
12. not retaliate against any person who reports violations of this Code of Conduct, whether to us or the Purchaser, or who makes use of the grievance mechanism for Supplier's Personnel or the project's Grievance Redress Mechanism.

RAISING CONCERNS

If any person observes behavior that he/she believes may represent a violation of this Code of Conduct, or that otherwise concerns him/her, he/she should raise the issue promptly. This can be done in either of the following ways:

1. Contact [*enter name of the Supplier's Social Expert with relevant experience in handling sexual exploitation, sexual abuse and sexual harassment cases, or if such person is not required under the Contract, another individual designated by the Supplier to handle these matters*] in writing at this address [] or by telephone at [] or in person at []; or
2. Call [] to reach the Supplier's hotline (*if any*) and leave a message.

The person's identity will be kept confidential, unless reporting of allegations is mandated by the country law. Anonymous complaints or allegations may also be submitted and will be given all due and appropriate consideration. We take seriously all reports of possible misconduct and will investigate and take appropriate action. We will provide warm referrals to service providers that may help support the person who experienced the alleged incident, as appropriate.

There will be no retaliation against any person who raises a concern in good faith about any behavior prohibited by this Code of Conduct. Such retaliation would be a violation of this Code of Conduct.

CONSEQUENCES OF VIOLATING THE CODE OF CONDUCT

Any violation of this Code of Conduct by the Supplier's Personnel may result in serious consequences, up to and including termination and possible referral to legal authorities.

FOR SUPPLIER'S PERSONNEL:

I have received a copy of this Code of Conduct written in a language that I comprehend. I understand that if I have any questions about this Code of Conduct, I can contact [*enter name of Supplier's contact person(s) with relevant experience*] requesting an explanation.

Name of Supplier's Personnel: [*insert name*]

Signature: _____

Date: (day month year): [*insert date*]

Countersignature of authorized representative of the Supplier:

Signature: _____

Date: (day month year): [*insert date*]

ATTACHMENT 1: Behaviors constituting SEA and behaviors constituting SH

ATTACHMENT 1 TO THE CODE OF CONDUCT FORM

BEHAVIORS CONSTITUTING SEXUAL EXPLOITATION AND ABUSE (SEA) AND BEHAVIORS CONSTITUTING SEXUAL HARASSMENT (SH)

The following non-exhaustive list is intended to illustrate types of prohibited behaviors.

(1) Examples of sexual exploitation and abuse include, but are not limited to:

- A Supplier's Personnel tells a member of the community that he/she can get them jobs related to the project site in exchange for sex.
- A Supplier's Personnel rapes, or otherwise sexually assaults a member of the community.
- A Supplier's Personnel denies a person access to the Project Site/s unless he/she performs a sexual favor.
- A Supplier's Personnel tells a person applying for employment under the Contract that he/she will only hire him/her if he/she has sex with him/her.

(2) Examples of sexual harassment in a work context

- A Supplier's Personnel comment on the appearance of another Supplier's Personnel (either positive or negative) and sexual desirability.
- When a Supplier's Personnel complains about comments made by another Supplier's Personnel on his/her appearance, the other Supplier's Personnel comment that he/she is "asking for it" because of how he/she dresses.
- Unwelcome touching of a Supplier's Personnel or Purchaser's Personnel by another Supplier's Personnel.
- A Supplier's Personnel tells another Supplier's Personnel that he/she will get him/her a salary raise, or promotion if he/she sends him/her naked photographs of himself/herself.

TECHNICAL CAPABILITIES

[Note: To be completed by the Proposer and, if JV, by each member]

Proposer's Legal Name: *[insert **Proposer's Legal Name**]*

Date: *[insert **Date**]*

JV Member Legal Name: *[insert **JV Member Legal Name**]*

RFP No.: *[insert **RFP number**]*

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The Proposer shall provide adequate information to demonstrate clearly that it has the technical capability to meet the requirements for the Information System. The Proposer should summarize important certifications, proprietary methodologies, and/or specialized technologies that the Proposer proposes to utilize in the execution of the Contract or Contracts.

MANUFACTURER’S AUTHORIZATION

[Note: This authorization should be written on the letterhead of the Manufacturer and be signed by a person with the proper authority to sign documents that are binding on the Manufacturer.]

Invitation for Proposals Title and No.: *[Purchaser insert: **RFP Title and Number**]*

To: *[Purchaser insert: **Purchaser’s Officer to receive the Manufacture’s Authorization**]*

WHEREAS *[insert: **Name of Manufacturer**]* who are official producers of *[insert: **items of supply by Manufacturer**]* and having production facilities at *[insert: **address of Manufacturer**]* do hereby authorize *[insert: **name of Proposer or Joint Venture**]* located at *[insert: **address of Proposer or Joint Venture**]* (hereinafter, the “Proposer”) to submit a proposal and subsequently negotiate and sign a Contract with you for resale of the following Products produced by us:

We hereby confirm that, in case the request for proposals process results in a Contract between you and the Proposer, the above-listed products will come with our full standard warranty.

Name *[insert: **Name of Officer**]* in the capacity of *[insert: **Title of Officer**]*

Signed _____

Duly authorized to sign the authorization for and on behalf of: *[insert: **Name of Manufacturer**]*

Dated this *[insert: **ordinal**]* day of *[insert: **month**]*, *[insert: **year**]*.

[add Corporate Seal (where appropriate)]

SUBCONTRACTOR'S AGREEMENT

Note: *This agreement should be written on the letterhead of the Subcontractor and be signed by a person with the proper authority to sign documents that are binding on the Subcontractor.*

Invitation for Proposals Title and No.: *[Purchaser insert: **RFP Title and Number**]*

To: *[Purchaser insert: **Purchaser's Officer to receive the Subcontractor's Agreement**]*

WHEREAS *[insert: **Name of Subcontractor**]*, having head offices at *[insert: **address of Subcontractor**]*, have been informed by *[insert: **name of Proposer or Joint Venture**]* located at *[insert: **address of Proposer or Joint Venture**]* (hereinafter, the "Proposer") that it will submit a proposal in which *[insert: **Name of Subcontractor**]* will provide *[insert: **items of supply or services provided by the Subcontractor**]*. We hereby commit to provide the above named items, in the instance that the Proposal is awarded the Contract.

Name *[insert: **Name of Officer**]* in the capacity of *[insert: **Title of Officer**]*

Signed _____

Duly authorized to sign the authorization for and on behalf of: *[insert: **Name of Subcontractor**]*

Dated this *[insert: **ordinal**]* day of *[insert: **month**]*, *[insert: **year**]*.

[add Corporate Seal (where appropriate)]

INTELLECTUAL PROPERTY FORMS

Notes to Proposers on working with the Intellectual Property Forms

In accordance with ITP 11.1(j), Proposers must submit, as part of their proposals, lists of all the Software included in the proposal assigned to one of the following categories: (a) System, General-Purpose, or Application Software; (b) Standard or Custom Software; (c) Proprietary or Open Source. Proposers must also submit a list of all Custom Materials. These categorizations are needed to support the Intellectual Property in the GCC and SCC. The Proposer must also include the text of the software licenses for the software titles proposed.

Software List

	(select one per title)			(select one per title)		(select one per title)	
Title	System	General-Purpose	Application	Standard	Custom	Proprietary	Open Source
[insert Title]							
[insert Title]							
[insert Title]							
[insert Title]							
[insert Title]							
[insert Title]							

Attachments: Proposed Software Licenses

List of Custom Materials

Custom Materials
<i>[insert Title and description]</i>
<i>[insert Title and description]</i>
<i>[insert Title and description]</i>
<i>[insert Title and description]</i>
<i>[insert Title and description]</i>

CONFORMANCE OF INFORMATION SYSTEM MATERIALS

Format of the Technical Proposal

In accordance with ITP 16.2, the documentary evidence of conformity of the Information System to the request for proposals documents includes (but is not restricted to):

- (a). The Proposer's Preliminary Project Plan, including, but not restricted, to the topics specified in the PDS ITP 16.2. The Preliminary Project Plan should also state the Proposer's assessment of the major responsibilities of the Purchaser and any other involved third parties in System supply and installation, as well as the Proposer's proposed means for coordinating activities by each of the involved parties to avoid delays or interference.
- (b). A written confirmation by the Proposer that, if awarded the Contract, it shall accept responsibility for successful integration and interoperability of all the proposed Information Technologies included in the System, as further specified in the Technical Requirements.
- (c). Item-by-Item Commentary on the Technical Requirements demonstrating the substantial responsiveness of the overall design of the System and the individual Information Technologies, Goods, and Services offered to those Technical Requirements.

In demonstrating the responsiveness of its proposal, the Proposer must use the Technical Responsiveness Checklist (Format). Failure to do so increases significantly the risk that the Proposer's Technical Proposal will be declared technically non-responsive. Among other things, the checklist should contain explicit cross-references to the relevant pages in supporting materials included the Proposer's Technical Proposal.

- (d). As a Part of the technical bid/proposal the Proposer MUST present a detailed description of the Proposer's proposed technical solution conforming in all material aspects with the Technical Requirements (Section VII) and other parts of these RFP Documents, overall as well as in regard to the essential technical and performance characteristics of each component making up the proposed Information System.

Note: The Technical Requirements are voiced as requirements of the *Supplier* and/or the *System*. The Proposer's response must provide clear evidence for the evaluation team to assess the credibility of the response. A response of "yes" or "will do" is unlikely to convey the credibility of the response. The Proposer should indicate *that* – and to the greatest extent practical – *how* the Proposer would comply with the requirements if awarded the contract. Whenever the technical requirements relate to feature(s) of existing products (e.g., hardware or software), the features should be described and the relevant product literature referenced. When the technical requirements relate to professional services (e.g., analysis, configuration, integration, training, etc.) some effort should be expended to describe how they would be rendered – not just a commitment to perform the [cut-and-paste] requirement. Whenever a technical

requirement is for the Supplier to provide certifications (e.g., ISO 9001), copies of these certifications must be included in the Technical Proposal.

Note: As required in PDS 11.2 (j), include method statement, management strategies and implementation plans and innovations, to manage cyber security risks.

Note: The Manufacture’s Authorizations (and any Subcontractor Agreements) are to be included in Attachment 2 (Proposer Qualifications), in accordance with and ITP 15.

Note: As a matter of practice, the contract cannot be awarded to a Proposer whose Technical Proposal deviates (materially) from the Technical Requirements – *on any Technical Requirement*. Such deviations include omissions (e.g., non-responses) and responses that do not meet or exceed the requirement. Extreme care must be exercised in the preparation and presentation of the responses to all the Technical Requirements.

(d). Supporting materials to underpin the Item-by-item Commentary on the Technical Requirements (e.g., product literature, white-papers, narrative descriptions of technical approaches to be employed, etc.). In the interest of timely proposal evaluation and contract award, Proposers are encouraged not to overload the supporting materials with documents that do not directly address the Purchaser’s requirements.

(e). As a Part of the technical bid/proposal the Proposer **MUST** present a detailed description of the Proposer’s proposed technical solution conforming in all material aspects with the Technical Requirements (Section VII) and other parts of these RFP Documents, overall as well as in regard to the essential technical and performance characteristics of each component making up the proposed Information System.

Note: To facilitate proposal evaluation and contract award, Proposers encouraged to provide electronic copies of their Technical Proposal – preferably in a format that the evaluation team can extract text from to facilitate the proposal clarification process and to facilitate the preparation of the Proposal Evaluation Report.

Technical Responsiveness Checklist (Format)

Tech. Require. No. _	Technical Requirement: <i>[insert: abbreviated description of Requirement]</i>
Proposer's technical reasons supporting compliance:	
Proposer's cross references to supporting information in Technical Proposal:	

FORM OF PROPOSAL SECURITY (BANK GUARANTEE)

[The bank shall fill in this Bank Guarantee Form in accordance with the instructions indicated.]

[Guarantor letterhead or SWIFT identifier code]

Beneficiary: *[Purchaser to insert its **name and address**]*

RFP No.: *[Purchaser to insert reference **number for the RFP**]*

Alternative No.: *[Insert identification No if this is a Proposal for an alternative]*

Date: *[Insert **date of issue**]*

PROPOSAL GUARANTEE No.: *[Insert **guarantee reference number**]*

We have been informed that *[insert **name of the Proposer**, which in the case of a joint venture shall be the name of the joint venture (whether legally constituted or prospective) or the names of all members thereof]* (hereinafter called “the Applicant”) has submitted or will submit the Beneficiary its proposal (hereinafter called “the Proposal”) for the execution of *[insert **Name of Contract**]* under Request for Proposals No. *[insert **number**]* (“the RFP”).

Furthermore, we understand that, according to the Beneficiary’s, Proposals must be supported by a Proposal guarantee.

At the request of the Applicant, we as Guarantor, hereby irrevocably undertake to pay the Beneficiary any sum or sums not exceeding in total an amount of *[insert **amount in figures** (**insert amount in words**)]* upon receipt by us of the Beneficiary’s complying demand supported by the Beneficiary’s statement, whether in the demand itself or a separate signed document accompanying the demand, stating that either the Applicant:

- (a) has withdrawn its Proposal prior to the Proposal validity expiry date set forth in the Applicant’s Letter of Proposal, or any extended date provided by the Applicant; or
- (b) having been notified of the acceptance of its Proposal by the Beneficiary prior to the expiry date of the Proposal validity or any extension thereof provided by the Applicant has failed to: (i) execute the Contract Agreement, if required, or (ii) furnish the performance security, in accordance with the Instructions to Proposers (“ITP”) of the Beneficiary’s request for proposals document.

This guarantee will expire: (a) if the Applicant is the successful Proposer, upon our receipt of copies of the contract agreement signed by the Applicant and the Performance Security issued to the Beneficiary in relation to such Contract Agreement; or (b) if the Applicant is not the successful Proposer, upon the earlier of (i) our receipt of a copy of the Beneficiary’s

notification to the Applicant of the results of the request for proposals process; or (ii) twenty-eight days after the expiry date of the Proposal validity.

Consequently, any demand for payment under this guarantee must be received by us at the office on or before that date.

This guarantee is subject to the Uniform Rules for Demand Guarantees (URDG) 2010 Revision, ICC Publication No. 758.

[signature(s)]

Letter of Proposal - Financial Part

INSTRUCTIONS TO PROPOSERS: DELETE THIS BOX ONCE YOU HAVE COMPLETED THE DOCUMENT

The Proposer must prepare this Letter of Proposal on stationery with its letterhead clearly showing the Proposer's complete name and business address.

Note: All italicized text is to help Proposers in preparing this form.

Date of this Proposal submission: *[insert date (as day, month and year) of Proposal submission]*

Request for Proposal No.: *[insert identification]*

Alternative No.: *[insert identification No if this is a Proposal for an alternative]*

To: *[insert complete name of Purchaser]*

We, the undersigned, hereby submit the second part of our Proposal, the Proposal Price and Priced Activity Schedule. This accompanies the Letter of Proposal- Technical Part.

In submitting our Proposal, we make the following additional declarations:

- (a) **Proposal Validity:** Our Proposal shall be valid until *[insert day, month and year in accordance with ITP 19.1]*, and it shall remain binding upon us and may be accepted at any time on or before this date;
- (b) **Total Price:** The total price of our Proposal, excluding any discounts offered in item (c) below is: *[Insert one of the options below as appropriate]*

[Option 1, in case of one lot:] Total price is: [insert the total price of the Proposal in words and figures, indicating the various amounts and the respective currencies];

Or

[Option 2, in case of multiple lots:] (a) Total price of each lot *[insert the total price of each lot in words and figures, indicating the various amounts and the respective currencies]*; and (b) Total price of all lots (sum of all lots) *[insert the total price of all lots in words and figures, indicating the various amounts and the respective currencies]*;

(c) **Discounts:** The discounts offered and the methodology for their application are:

(i) The discounts offered are: *[Specify in detail each discount offered]*

(ii) The exact method of calculations to determine the net price after application of discounts is shown below: *[Specify in detail the method that shall be used to apply the discounts]*;

(d) **Commissions, gratuities and fees:** We have paid, or will pay the following commissions, gratuities, or fees with respect to the procurement process or execution of the Contract: *[insert complete name of each Recipient, its full address, the reason for which each commission or gratuity was paid and the amount and currency of each such commission or gratuity]*.

Name of Recipient	Address	Reason	Amount

(If none has been paid or is to be paid, indicate “none.”)

Name of the Proposer: **[insert complete name of the Proposer]*

Name of the person duly authorized to sign the Proposal on behalf of the Proposer: ******
[insert complete name of person duly authorized to sign the Proposal]

Title of the person signing the Proposal: *[insert complete title of the person signing the Proposal]*

Signature of the person named above: *[insert signature of person whose name and capacity are shown above]*

Date signed [*insert date of signing*] **day of** [*insert month*], [*insert year*]

*: In the case of the Proposal submitted by a Joint Venture specify the name of the Joint Venture as Proposer.

**: Person signing the Proposal shall have the power of attorney given by the Proposer. The power of attorney shall be attached with the Proposal Schedules

PRICE SCHEDULE FORMS

Notes to Proposers on working with the Price Schedules

General

1. The Price Schedules are divided into separate Schedules as follows:
 - 3.1 Grand Summary Cost Table
 - 3.2 Supply and Installation Cost Summary Table
 - 3.3 Recurrent Cost Summary Table N/A
 - 3.4 Supply and Installation Cost Sub-Table(s)
 - 3.5 Recurrent Cost Sub-Tables(s) N/A
 - 3.6 Country of Origin Code Table

*[insert: **any other Schedules as appropriate**]*
2. The Schedules do not generally give a full description of the information technologies to be supplied, installed, and operationally accepted, or the Services to be performed under each item. However, it is assumed that Proposers shall have read the Technical Requirements and other sections of these request for proposals documents to ascertain the full scope of the requirements associated with each item prior to filling in the rates and prices. The quoted rates and prices shall be deemed to cover the full scope of these Technical Requirements, as well as overhead and profit.
3. If Proposers are unclear or uncertain as to the scope of any item, they shall seek clarification in accordance with the Instructions to Proposers in the request for proposals documents prior to submitting their proposal.

Pricing

4. Prices shall be filled in indelible ink, and any alterations necessary due to errors, etc., shall be initialed by the Proposer. As specified in the Proposal Data Sheet, prices shall be fixed and firm for the duration of the Contract.
5. Proposal prices shall be quoted in the manner indicated and in the currencies specified in ITP 18.1 and ITP 18.2. Prices must correspond to items of the scope and quality defined in the Technical Requirements or elsewhere in these request for proposals documents.
6. The Proposer must exercise great care in preparing its calculations, since there is no opportunity to correct errors once the deadline for submission of proposals has passed. A single error in specifying a unit price can therefore change a Proposer's overall total proposal price substantially, make the proposal noncompetitive, or subject the Proposer to possible loss. The Purchaser will correct any arithmetic error in accordance with the provisions of ITP 32.
7. Payments will be made to the Supplier in the currency or currencies indicated under each respective item. As specified in ITP 18.2, no more than three foreign currencies may be used.

3.1 Grand Summary Cost Table

		<i>[insert: Local Currency] Price¹</i>	<i>[insert: Foreign Currency A] Price²</i>	<i>[insert: Foreign Currency B] Price³</i>	<i>[insert: Foreign Currency C] Price⁴</i>
1.	Supply and Installation Costs for Information System/Software (The amount for this line was generated from the sum of the costs listed under the following line items in the “Supply and Installation Cost Summary Table 3.2”)				
2.	Grand Totals (to Proposal Submission Form)				

Name of Proposer:		
Authorized Signature of Proposer:		

¹ Price without Resident VAT

² Price without Non-Resident Taxes

³ Price without Non-Resident Taxes

⁴ Price without Non-Resident Taxes

3.2 Supply and Installation Cost Summary Table

		Supply & Installation Prices				
		Locally supplied items	Items supplied from outside the Purchaser's Country			
Line Item No.	Subsystem / Item	[insert: Local Currency] Price	[insert: Local Currency] Price	[insert: Foreign Currency A] Price	[insert: Foreign Currency B] Price	[insert: Foreign Currency C] Price
0	Project Plan	--	--	--	--	--
1	Phase 1 Study of the Operating System, Developing Technical Documentation of the System	--	--	--	--	--
2	Study of operating system and database, analysis of system requirements					
3	Development of the basic architecture for the stamp duty system, including the creation of prototype					
4	Creation of stamp duty register, study of reporting forms, preparation of technical tasks					
5	Development of forms and logic and design of personal stamp duty and stamp duty statements for individuals, development of logic for accounting of obligations in case of reorganization, preparation of technical requirements					

		Supply & Installation Prices				
		Locally supplied items	Items supplied from outside the Purchaser's Country			
Line Item No.	Subsystem / Item	<i>[insert: Local Currency]</i> Price	<i>[insert: Local Currency]</i> Price	<i>[insert: Foreign Currency A]</i> Price	<i>[insert: Foreign Currency B]</i> Price	<i>[insert: Foreign Currency C]</i> Price
6	SUBTOTAL OF PHASE 1⁵					
7	Phase 2 Development, Deployment and Customization	--	--	--	--	--
8	Planning and operating reporting forms in a test system					
9	Programming of the obligation accounting mechanism in the stamp duty accounts and stamp duty statements for individuals subsystems, implementation of the necessary changes in the technical requirements, operation of the subsystem in the test system					

⁵ **Note:** The subtotal for each phase must align with the corresponding payment milestone(s) specified in **GCC 12.1 (Terms of Payment)**. Each subtotal should clearly reflect the percentage or fixed amount payable at each milestone, ensuring consistency with the contractual payment schedule.

		Supply & Installation Prices				
		Locally supplied items	Items supplied from outside the Purchaser's Country			
Line Item No.	Subsystem / Item	[insert: Local Currency] Price	[insert: Local Currency] Price	[insert: Foreign Currency A] Price	[insert: Foreign Currency B] Price	[insert: Foreign Currency C] Price
10	The connection of personal stamp duty accounts and stamp duty statements for individuals with Taxpayer 3 system, the electronic account submission system of the unified system for natural persons, preparation of technical tasks, planning, operation in the test system					
11	Development of a mechanism for refunding overpayments, drawing up technical requirements					
12	SUBTOTAL OF PHASE 2⁶					
13	Phase 3 Integration and data exchange	--	--	--	--	--
14	Communication of the system with the Compensation Fund (ZINAPAH) and other departments, preparation of technical tasks, planning, operation in the test system					

⁶ **Note:** The subtotal for each phase must align with the corresponding payment milestone(s) specified in **GCC 12.1 (Terms of Payment)**. Each subtotal should clearly reflect the percentage or fixed amount payable at each milestone, ensuring consistency with the contractual payment schedule.

		Supply & Installation Prices				
		Locally supplied items	Items supplied from outside the Purchaser's Country			
Line Item No.	Subsystem / Item	<i>[insert: Local Currency]</i> Price	<i>[insert: Local Currency]</i> Price	<i>[insert: Foreign Currency A]</i> Price	<i>[insert: Foreign Currency B]</i> Price	<i>[insert: Foreign Currency C]</i> Price
15	Testing of stamp duty accounts, stamp duty statements for individuals, Taxpayer 3 system, the electronic account submission system, unified system of natural persons and other sub-systems					
16	Development of a mechanism for refunding the overpaid amounts, planning the data exchange process with the Treasury, implementation in the test system					
17	SUBTOTAL OF PHASE 3⁷					
18	Phase 4 System Interoperability and Technology Transfer	--	--	--	--	--
19	Data migration from an existing system to a new system					
20	Testing the stamp duty system					

⁷ **Note:** The subtotal for each phase must align with the corresponding payment milestone(s) specified in **GCC 12.1 (Terms of Payment)**. Each subtotal should clearly reflect the percentage or fixed amount payable at each milestone, ensuring consistency with the contractual payment schedule.

		Supply & Installation Prices				
		Locally supplied items	Items supplied from outside the Purchaser's Country			
Line Item No.	Subsystem / Item	<i>[insert: Local Currency]</i> Price	<i>[insert: Local Currency]</i> Price	<i>[insert: Foreign Currency A]</i> Price	<i>[insert: Foreign Currency B]</i> Price	<i>[insert: Foreign Currency C]</i> Price
21	Launching of the stamp duty system					
22	Commissioning and operation of the stamp duty system					
23	Delivery of user manuals and system administration documents (including transfer of source codes, requirements documentation, including business rules, use cases and user stories. Software architecture documentation, designed APIs, and diagrammatic representation of the overall system and underlying infrastructure, Maintenance documentation, describing limitations and known problems within the system and implemented solutions. Dependencies between system components shall also be presented in this document. User manuals, including end user manual, system installation and administration guide, etc.)					

		Supply & Installation Prices				
		Locally supplied items	Items supplied from outside the Purchaser's Country			
Line Item No.	Subsystem / Item	<i>[insert: Local Currency]</i> Price	<i>[insert: Local Currency]</i> Price	<i>[insert: Foreign Currency A]</i> Price	<i>[insert: Foreign Currency B]</i> Price	<i>[insert: Foreign Currency C]</i> Price
24	SUBTOTAL OF PHASE 4⁸					
25	Operational Acceptance of the System as an integrated whole (Deliverables: Completion Report)					

Name of Proposer:		
Authorized Signature of Proposer:		

⁸ **Note:** The subtotal for each phase must align with the corresponding payment milestone(s) specified in **GCC 12.1 (Terms of Payment)**. Each subtotal should clearly reflect the percentage or fixed amount payable at each milestone, ensuring consistency with the contractual payment schedule.

3.6 Country of Origin Code Table

[illegible]

SECTION V - ELIGIBLE COUNTRIES

Eligibility for the Provision of Information System

In reference to ITP 4.8 and ITP 5.1, for the information of the Proposers, at the present time firms and information systems from the following countries are excluded from this procurement process:

Under ITP 4.8(a) and ITP 5.1: ***NONE***

Under ITP 4.8(b) and ITP 5.1: ***NONE***

SECTION VI - FRAUD AND CORRUPTION

(Section VI shall not be modified)

2 Purpose

2.1 The Bank's Anti-Corruption Guidelines and this annex apply with respect to procurement under Bank Investment Project Financing operations.

3 Requirements

3.1 The Bank requires that Borrowers (including beneficiaries of Bank financing); bidders (applicants/proposers), consultants, contractors and suppliers; any sub-contractors, sub-consultants, service providers or suppliers; any agents (whether declared or not); and any of their personnel, observe the highest standard of ethics during the procurement process, selection and contract execution of Bank-financed contracts, and refrain from Fraud and Corruption.

3.2 To this end, the Bank:

- a. Defines, for the purposes of this provision, the terms set forth below as follows:
 - i. "corrupt practice" is the offering, giving, receiving, or soliciting, directly or indirectly, of anything of value to influence improperly the actions of another party;
 - ii. "fraudulent practice" is any act or omission, including misrepresentation, that knowingly or recklessly misleads, or attempts to mislead, a party to obtain financial or other benefit or to avoid an obligation;
 - iii. "collusive practice" is an arrangement between two or more parties designed to achieve an improper purpose, including to influence improperly the actions of another party;
 - iv. "coercive practice" is impairing or harming, or threatening to impair or harm, directly or indirectly, any party or the property of the party to influence improperly the actions of a party;
 - v. "obstructive practice" is:
 - (a) deliberately destroying, falsifying, altering, or concealing of evidence material to the investigation or making false statements to investigators in order to materially impede a Bank investigation into allegations of a corrupt, fraudulent, coercive, or collusive practice; and/or threatening, harassing, or intimidating any party to prevent it from disclosing its knowledge of matters relevant to the investigation or from pursuing the investigation; or
 - (b) acts intended to materially impede the exercise of the Bank's inspection and audit rights provided for under paragraph 2.2 e. below.
- b. Rejects a proposal for award if the Bank determines that the firm or individual recommended for award, any of its personnel, or its agents, or its sub-consultants, sub-

contractors, service providers, suppliers and/ or their employees, has, directly or indirectly, engaged in corrupt, fraudulent, collusive, coercive, or obstructive practices in competing for the contract in question;

- c. In addition to the legal remedies set out in the relevant Legal Agreement, may take other appropriate actions, including declaring misprocurement, if the Bank determines at any time that representatives of the Borrower or of a recipient of any part of the proceeds of the loan engaged in corrupt, fraudulent, collusive, coercive, or obstructive practices during the procurement process, selection and/or execution of the contract in question, without the Borrower having taken timely and appropriate action satisfactory to the Bank to address such practices when they occur, including by failing to inform the Bank in a timely manner at the time they knew of the practices;
- d. Pursuant to the Bank's Anti-Corruption Guidelines, and in accordance with the Bank's prevailing sanctions policies and procedures, may sanction a firm or individual, either indefinitely or for a stated period of time, including by publicly declaring such firm or individual ineligible (i) to be awarded or otherwise benefit from a Bank-financed contract, financially or in any other manner;¹ (ii) to be a nominated² sub-contractor, consultant, manufacturer or supplier, or service provider of an otherwise eligible firm being awarded a Bank-financed contract; and (iii) to receive the proceeds of any loan made by the Bank or otherwise to participate further in the preparation or implementation of any Bank-financed project;
- e. Requires that a clause be included in bidding/request for proposals documents and in contracts financed by a Bank loan, requiring (i) bidders (applicants/proposers), consultants, contractors, and suppliers, and their sub-contractors, sub-consultants, service providers, suppliers, agents, personnel, permit the Bank to inspect³ all accounts, records and other documents relating to the procurement process, selection and/or contract execution, and to have them audited by auditors appointed by the Bank.

¹ For the avoidance of doubt, a sanctioned party's ineligibility to be awarded a contract shall include, without limitation, (i) applying for pre-qualification, expressing interest in a consultancy, and bidding, either directly or as a nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider, in respect of such contract, and (ii) entering into an addendum or amendment introducing a material modification to any existing contract.

² A nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider (different names are used depending on the particular bidding document) is one which has been: (i) included by the bidder in its pre-qualification application or bid because it brings specific and critical experience and know-how that allow the bidder to meet the qualification requirements for the particular bid; or (ii) appointed by the Borrower.

³ Inspections in this context usually are investigative (i.e., forensic) in nature. They involve fact-finding activities undertaken by the Bank or persons appointed by the Bank to address specific matters related to investigations/audits, such as evaluating the veracity of an allegation of possible Fraud and Corruption, through the appropriate mechanisms. Such activity includes but is not limited to: accessing and examining a firm's or individual's financial records and information, and making copies thereof as relevant; accessing and examining any other documents, data and information (whether in hard copy or electronic format) deemed relevant for the investigation/audit, and making copies thereof as relevant; interviewing staff and other relevant individuals; performing physical inspections and site visits; and obtaining third party verification of information.

PART 2 – PURCHASER’S REQUIREMENT

SECTION VII - REQUIREMENTS OF THE INFORMATION SYSTEM

**(INCLUDING TECHNICAL REQUIREMENTS, IMPLEMENTATION SCHEDULE, SYSTEM
INVENTORY TABLES, BACKGROUND AND INFORMATIONAL MATERIALS)**

Stamp Duty Electronic Management System

DEVELOPMENT OF NEW CUSTOM SOFTWARE SOLUTION (CSW)

1. Technical Requirements

We want to develop a new custom-development software (CSW) instead of having a customized package (COTS).

Proposals offering Commercial-of-the-shelf (COTS) and Software-as-a-Services (SAAS) solutions will be rejected as non-responsive.

A. Acronyms Used in The Technical Requirements and Background

0.1 Acronym Table

Term	Explanation
bps	bits per second
cps	characters per second
DBMS	Database Management System
DOS	Disk Operating System
dpi	dots per inch
Ethernet	IEEE 802.3 Standard LAN protocol
GB	Gigabyte
Hz	Hertz (cycles per second)
IEEE	Institute of Electrical and Electronics Engineers
ISO	International Standards Organization
KB	Kilobyte
kVA	Kilovolt ampere
LAN	Local area network
lpi	lines per inch
lpm	lines per minute
MB	Megabyte
MTBF	Mean time between failures
NIC	Network interface card
NOS	Network operating system
ODBC	Open Database Connectivity
OLE	Object Linking and Embedding
OS	Operating system
PCL	Printer Command Language
ppm	pages per minute
PS	PostScript -- Adobe page description language
RAID	Redundant array of inexpensive disks
RAM	Random access memory
RISC	Reduced instruction-set computer

Term	Explanation
SCSI	Small Computer System Interface
SNMP	Simple Network Management Protocol
SQL	Structured Query Language
TCP/IP	Transmission Control Protocol / Internet Protocol
V	Volt
WLAN	Wireless LAN

0.2 The Purchaser

The State Revenue Committee (SRC) is the institution responsible for the administration and management of the revenue institutions, which are responsible for the collection of all major taxes and custom duties as well as for the collection of the unified personal income tax, which incorporates social security contributions.

0.3 Existing Information Systems / Information Technologies Relevant to the Information System

Currently, stamp duty liabilities are accounted for through the system administrated by the SRC. Due to the lack of an automated system, many processes are carried out on paper. Tax agents or independent payers submit a paper application to the tax authority to request a refund for the excess stamp duty. Within three business days of receiving this application, the tax authority determines the validity of the refund. Upon approval, they then send a summary reference concerning the overpaid stamp duty amounts to the Ministry of Finance within five business days. The Ministry, in turn, processes the refund within three business days of receiving this summary reference.

According to current legal acts, there is also a stamp duty refund process managed by the Foundation. The parent, spouse and/or child(ren) of a serviceman who is in mandatory military service or who has been killed (deceased) have the right to apply for full or partial refund of the stamp duty they paid (was withheld from their income by the tax agent). To do this, they submit a paper application to the Foundation, along with a reference on the stamp duty amount paid in the year preceding the application submission date.

Given the number and volume of submitted calculation-reports and personalized information, the current program operates slowly and with significant workload. Subsequent legislative amendments have increased the workload of the existing program. Furthermore, these legislative amendments also require more specific program solutions.

B. FUNCTIONAL, ARCHITECTURAL AND PERFORMANCE REQUIREMENTS

1.1. Legal and Regulatory Requirements to be met by the Information System

1.1.1. Under the introduced system, the stamp duty was calculated at AMD 1000 for each natural person and AMD 12000 for individual entrepreneurs and notaries.

Starting from 2021, amendments implemented in the Law of the Republic of Armenia "On compensation for damages caused to the life or health of servicemen during the defense of the Republic of Armenia" (HO-502-N, HO-282-N) established a new mechanism for stamp duty calculation. As a result, instead of AMD 1000, the stamp duty is now calculated based on the calculation base, which is derived from various calculation-reports. The recent amendment has established new rates for stamp duty calculation. For the accounting of stamp duty liabilities, the tax authority uses Personal Account Cards (referred to as the "PAC")

1.1.2. The Information System MUST comply with the following laws and regulations:

- Law of the Republic of Armenia "On compensation for damages caused to the life or health of servicemen during the defense of the Republic of Armenia",
- Decree of the Government of the Republic of Armenia № 86-N dated February 2, 2017 "On ensuring the implementation of the Law of the Republic of Armenia "On compensation for damages caused to the life or health of servicemen during the defense of the Republic of Armenia",
- Tax Code of the Republic of Armenia AL-165-N dated 04.10.2016,
- Order of the Chairman of the State Revenue Committee under the Government of the Republic of Armenia № 300-N dated December 30, 2016,
- Order of the Chairman of the State Revenue Committee № 749-N dated December 12, 2018,
- Order of the Chairman of the State Revenue Committee № 558-N dated August 27, 2019,
- Order of the Chairman of the State Revenue Committee № 245-A dated April 11, 2018
- Order of the Chairman of the State Revenue Committee under the Government of the Republic of Armenia N 299-N dated December 30, 2016.

The Supplier must ensure that the Information System complies with the relevant legislative and regulatory norms in force at the time the programming is carried out.

1.2. The Purchaser's Business Objectives for the Information System

Given the number and volume of submitted calculation-reports and personalized information, the current program operates slowly and with significant workload. Subsequent legislative amendments have increased the workload of the existing program. Furthermore, these legislative amendments also require more specific program solutions.

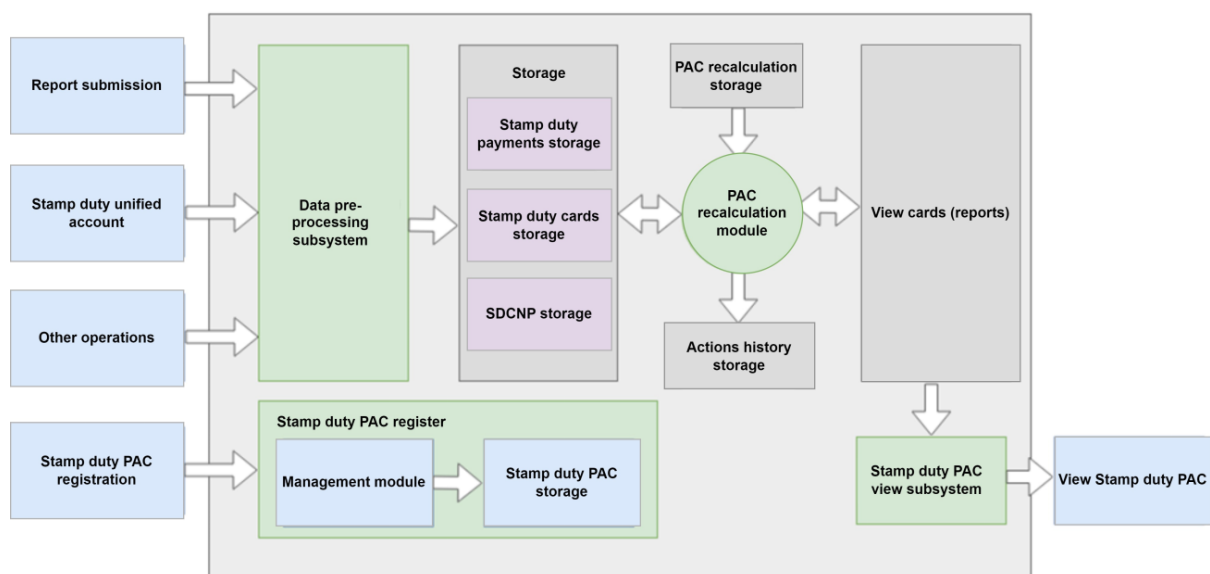
The development of the Stamp Duty Electronic Management System aims to fully automate the process of calculating, recording, refunding, and transferring stamp duties to the Foundation. The objectives of this system are to Enhance the efficiency and accuracy of stamp duty calculations, accounting, and refund processes, and simplify procedures for all involved parties, to improve transparency and regulate the Stamp Duty Electronic Management System by

establishing clear rules for calculating, paying, and accounting for stamp duties, as well as ensuring access to information on stamp duty liabilities, payments, and refunds, and simplify the stamp duty refund procedure by the Foundation, which in turn, will streamline compensation to the families of servicemen killed or injured during the defense of the Republic of Armenia.

The development of the Stamp Duty Electronic Management System aims to fully automate the process of calculating, recording, refunding, and transferring stamp duties to the Foundation. The objectives of this system are to Enhance the efficiency and accuracy of stamp duty calculations, accounting, and refund processes, and simplify procedures for all involved parties, to improve transparency and regulate the Stamp Duty Electronic Management System by establishing clear rules for calculating, paying, and accounting for stamp duties, as well as ensuring access to information on stamp duty liabilities, payments, and refunds, and simplify the stamp duty refund procedure by the Foundation, which in turn, will streamline compensation to the families of servicemen killed or injured during the defense of the Republic of Armenia.

1.3. Architectural Requirements to be met by the Information System

The Information System **MUST** be supplied and configured to implement the following architecture.



Stamp Duty PAC Register records taxpayers' PACs in reserve. Entries are created for each taxpayer in the register. PACs for newly registered organizations and individual entrepreneurs are opened as of the State Register registration date. For notaries, PACs are opened as of the date of state registration, and for natural persons not considered individual entrepreneurs or notaries, PACs are opened as of the date of registration with the tax authority. For the taxpayer, PACs are registered automatically based on the entry of the first document (e.g., calculation-report, payment, inspection act).

The Data pre-processing subsystem ensures the standardization of the PAC input data list and its transfer to the calculator in the appropriate format. This section acquires data on tax liabilities in various ways, including:

- Report submissions,

- Payment implementations,
- Results of other actions (e.g., registration of other documents, changes to inspection acts, conclusions and liabilities).

The Stamp Duty PAC module contains all the necessary information for processing incoming documents, such as calculation submission deadlines, payment deadlines, and rates for fines and penalties.

The Calculator subsystem is the PAC module that receives the relevant input data and performs registration, repayment, calculation of fines and penalties and repayment operations. The calculator saves the data obtained as a result of calculations in the reporting library, which is later used by the reporting and data submission subsection.

Registration and repayment of liabilities is performed through the PAC calculator module. In the PAC calculator module, operations are processed at the end of each day at a fixed hour after 24:00. Calculation/recalculation is performed once a day on the basis of documents received as of the previous day.

All the Stamp Duty PACs having changes are processed, in particular, report submission, payments. Tax liabilities are classified according to priorities, the repayment of which is performed on sequential basis.

Liabilities are classified according to priorities, the repayment of which is carried out on a sequential basis. The PAC calculator receives the information on liabilities and payments, performs recalculation of liabilities based on the input data, performs repayment of liabilities based on the available information and records it in the data vault, while the changes are reflected on the stamp duty PAC viewing page.

The PAC viewing subsystem ensures the generation of reports and the display of liabilities and overpayments in a format acceptable to system users. Submission of obligations, conditional surcharge and overpayments is carried out through the stamp duty PAC interfaces, while the data is reflected in the SDCNP interface.

1.4.Systems Administration and Management Functions Required to be met by the Information System

The Information System MUST provide for the following management, administration, and security features at the overall System level in an integrated fashion. During the implementation of the Contract, the supplier is obligated to make adjustments to the System in accordance with the purchaser's requests.

1.4.1. Installation, Configuration and Change Management:

The Suppliers should ensure the implementation of appropriate changes in other SRC systems necessary for the System operation.

When carrying out system development activities, the Suppliers should submit an action plan, each phase of which must be agreed upon and, if necessary, amended pursuant to SRC requirements.

The system should be available in Armenian language. The system should ensure interaction and data exchange with SRC internal systems and with other state and non-state body systems in order to ensure the system functionality in line with the established requirements. All necessary integration components should be developed and implemented by the Supplier. At the same time, the solutions developed must comply with modern requirements, be based on the latest technologies, and also be as configurable/expandable as possible, in order to further

expand the scope of functionality and application, if necessary, and ensure its continuous development.

The system under development should provide full compatibility with current SRC systems and modules. It should be developed in line with specific technology specifications: the system's operating system should be based on Red Hat Linux; the database should be constructed and managed using Oracle; Java should be the programming language used for developing this system.

1.4.2. Operational Monitoring, Diagnostics, and Troubleshooting:

The Suppliers should ensure system pilot testing in test environment before it is deployed into production. System pilot testing in a test environment should serve as the foundation for identifying and resolving any issues or defects prior to implementation into production. **Testing will be conducted in an environment equivalent to production, ensuring all system configurations and integrations are identical to those in the live environment.**

Defects identified during system acceptance testing and operation must be recorded in the system intended for the Supplier to assign tasks, errors, improvements and track their progress (for example, Jira, RedMine, OpenProject or other similar system), to which access must be granted to the corresponding Tax employees.

In case of discovery of a defect, the Purchaser must notify the Supplier about it through an electronic ticket in the Supplier's special system, presenting a description of the defect with all available arguments, which the Supplier must study within a maximum of 2 working days and submit a justified proposal through an electronic ticket on the method of elimination of the defect and a reasonable period of implementation.

During the warranty service, in case of any defect related to the system design, programming or services provided by the Supplier, the Supplier must consult with the Purchaser and eliminate the problem exclusively with his own financial means. Adjustments to the System will be made per purchaser's request.

The Supplier will not be responsible for the elimination of damage or defect in the following case:

- Improper operation or maintenance of the system by the Purchaser,
- natural wear and tear of the infrastructure,
- In case of making changes to the system by the Purchaser or a third party, which was not approved by the Supplier.

1.4.3. User Administration and Access Control; User and Usage Monitoring and Audit Trails:

The users of the Stamp Payment Electronic System are tax agents, natural persons, sole proprietors, and other entities obligated to pay stamp payment as per legal requirements, Personnel of the State Revenue Committee's Tax Authority, Employees of the Insurance Foundation for Servicemen Responsible for Interaction with the SRC and Employees of the Treasury Responsible for Interaction with the SRC.

The Stamp Payment Electronic System needs to be fully integrated with both the Taxpayer 3 system and the Electronic Reporting System, functioning as an integral component of these systems. To gain access to the database, specific procedures are in place, which vary depending on the user's role. If the user is an SRC employee, they will access the system through the Taxpayer 3 system. Conversely, if the user is a taxpayer, access is granted via the Electronic Reporting System.

1.4.4. System and Information Security and Security Policies:

The system must be developed taking into account the requirements established for information security, processing and use of personal data, ensuring their privacy as established by the laws and other legal regulations of the Republic of Armenia¹⁷.

The Supplier must develop the system in compliance with the purchaser's provided Terms & Conditions and Privacy Policy, and must ~~should~~ ensure these documents are visibly accessible within the system to inform users, while ensuring conformity with applicable national legislation.

Measures must be taken to increase protection against DDoS attacks.

SSL, SSH, IPSec, TLS standard encryption protocols must be used at the server level. In addition, a division of server layers must be implemented, thanks to which data flow from one server layer to another will be possible only through authorized secure channels.

A number of access/authorization measures must be implemented at the software level to ensure authenticated access only to intended users, including two-factor authentication. Access rights must be based on roles. Each role must have specific powers and accesses defined.

Measures to ensure periodic updating of passwords (password expire policy) and different access levels (access level control) must also be implemented.

At the data level, measures must be taken to ensure the security of personal data through the secure structure of databases, in particular, the security of personal data at the data level must be ensured in accordance with the procedure for transferring personal data through the Electronic Information System defined by the Decision No. 1849-N of the Government of the Republic of Armenia dated 19.12.2019 "On approving the procedure for transferring personal data through the electronic information system and revoking the decision No. 192-N of the Government of the Republic of Armenia of February 16, 2017"

The system must implement information and activity logging (activity logging, error logging). System software must work with databases only through a database access proxy server to log all database transactions. The registration must include, among other information, the time of operation, IP address, identity of the performer, etc.

Systems must automatically "suspend" a user's session after a specified period of user "inactivity" by sending a message to the user.

The rules for ensuring the information security of the system must comply with the fundamental principles established by the RA Government's Decision 424-LG "On Approving the Basic Cyber Security Requirements".

The system must ensure protection against vulnerabilities described by OWASP TOP 10;

The supplier must provide a complete copy of the Source Code, placed on an agreed, appropriate secure media.

¹⁷ Law "On Protection of Personal Data" of the Republic of Armenia.

Law "On Electronic Document and Electronic Digital Signature" of the Republic of Armenia.

Decree № 1093-N of the Government of the Republic of Armenia dated August 31, 2015.

Decree № 1849-N of the Government of the Republic of Armenia dated December 19, 2019.

Decree № 572-N of the Government of the Republic of Armenia dated May 25, 2017.

Auditing of the Source Code must be conducted. The source code must be audited (checked) for level of vulnerabilities, using standard code audit methods and tools.

CONFIDENTIALITY

The Supplier and/or the sub-contractor providing services on behalf of the Supplier undertake to keep confidential all information that is not publicly available and will not undertake any other assignment that would lead to a conflict of interest.

The Supplier and/or the sub-contractor providing services on behalf of the latter are obliged not to provide any information received within the scope of the works to any party (except the persons mentioned in the organizational structure of the projects) without the written consent of the Purchaser, regardless of the form of receipt (written, oral, electronic, etc.). This provision shall apply even after termination of the contract.

After the termination of the contract, the Supplier and/or the sub-contractor providing services on behalf of the latter must destroy the information received within the framework of the contract, regardless of the form and content, within 30 days, except for cases when RA legislation requires their preservation.

The performer is required to sign a non-disclosure agreement (NDA -Non-disclosure agreement) (attached).

A service level agreement (SLA: Service Level Agreement) is signed between the Supplier and the responsible department of the RA SRC (attached).

1.5.Performance Requirements of the Information System

1.5.1. The Information System MUST reach the following performance levels.

1.5.1.1.The system must be able to provide 24/7 operation. System device uptime should be greater than 99.3 (+,- 0.7%) percent. This means that the annual system downtime should be less than 2 (+,- 2) days. System shutdowns for maintenance and updates must be planned and must be agreed with the relevant tax authority employees. Emergency shutdowns of the system cannot exceed 4 (four) hours, unless the reason is the lack of new equipment for the exchange of the old and not working one. At the Purchaser's request, the Supplier is obliged to sign an agreement on the service process (SLA - Service Level Agreement).

The system must be able to efficiently serve a minimum of 200,000 users.

The minimum number of concurrent active users is set to 10,000 users.

The number of simultaneous requests, which must be processed by the web services designed in the system and not affect the performance of the system, is set to 10,000 received and answered requests per second.

The response time for 95% system functionality under maximum load conditions shall be 5 seconds maximum.

1.5.1.2.Flexibility

System configuration changes and updates must be easily implemented and have no impact on system performance.

The Purchaser must have the ability to make configuration changes in the system, which will be agreed with the Supplier during the development of the technical task.

1.5.1.3.Expansion

The system must have expandable functionality, be equipped with dynamic expansion of working capacity (dynamic scalability, load balancing) in order to optimally use the Purchaser's server resources.

C. SERVICE SPECIFICATIONS – SUPPLY & INSTALL ITEMS

2.1 SYSTEM ANALYSIS, DESIGN AND USTOMIZATION/DEVELOPMENT

2.1.1 The Supplier MUST perform the following Analysis and Design activities using a formal system analysis/development methodology:

The methodologies, programming languages, databases, and operating systems currently utilized by the SRC are outlined below. This information should serve as a guide during the design of the new system. Utilizing other technologies, solutions, or tools must be agreed upon with the SRC and can only be implemented upon receiving their approval.

2.1.2 Implementation Methodology

The Stamp Duty Electronic Management System should be developed as a multi-tier software package (N-tier application), consisting of four layers: presentation, software, business logic, and data layers. The table below indicates the requirements for each of these layers in the software specifications.

Table 1. Software package layers requirements

Layer name and components	Software package, minimum requirement and specification
<u>Presentation layer</u>	
Web browser	Google Chrome (v92 and higher) Mozilla Firefox (v96 and higher)
<u>Application layer</u>	
Web server	HTTPD server
<u>Business logic layer</u>	
Business logic software environment	Apache Tomcat 8.5 Java 1.8
<u>Data access layer</u>	
Database	Oracle database 11g Enterprise Edition Release 11.2.0.2.0 - 64bit Production

2.1.3 Programming languages:

The SRC systems are based on JAVA technologies and its extensions.

2.1.4 Database:

The SRC uses Oracle database 11g. All the actions related with database must meet Oracle database 11g database requirements.

2.1.5 Operation Systems:

Currently the SRC uses Red Hat Enterprise Linux Server release 7.9, 8 or 9 operation system. The following operation systems must be used for the System implementation, testing and deployment.

2.2 INTERFACE REQUIREMENTS:

The interface of Stamp Payment electronic System must be user friendly, the System for usage must be simple and convenient.

The Interface must comply with the current Taxpayer 3 system

2.2.1 Detailed description of interfaces

In this section, the templates of the system's interfaces are presented, accompanied by descriptions of the corresponding fields. The provided mock-ups serve to represent the fundamental requirements for the system. However, these can be adapted based on the customer's requirements.

2.2.1.1 Stamp Duty PAC Viewing Page

The Stamp Duty PAC viewing page is planned to be incorporated into the "Taxpayer 3" PAC system pages. This page should align with the Stamp Duty PAC form as defined by the relevant legal act.

Access to this page should be granted across the "Taxpayer 3" system, the ER system, and the Universal Declaration system.

The intended users of this page in the "Taxpayer 3" system are SRC employees, in the ERS are taxpayers, and in the Declaration system are natural persons.

The "Taxpayer 3" system should facilitate searches by TIN and date. Upon conducting a search, the system will present the Stamp Duty PAC associated with the given TIN.

2.2.1.2 Interface of the Stamp Duty PAC Viewing Page in the Taxpayer 3 System

Table 2. Taxpayer 3 System's Stamp Duty PAC View Page Interface Field Descriptions

Title	Description
Date	This represents the date the operation was conducted.
Operation type	<p>This displays the details of the operation:</p> <ul style="list-style-type: none"> - For calculations: the tax type and reporting period. - For payments: "payment" will be shown. - For repayments: "repayment", alongside the calculation and reporting period. - For a response received from the fund, the reduction of Conditional Overpayment, or the conversion of Conditional Overpayment to Actual Overpayment will be shown.

	- For changes in balances with other documents: Increase of Liability, decrease of liability, increase of overpayment, decrease of overpayment will be shown.
Calculated/paid off	The amount corresponding to the type of operation is displayed here.
Liability	This displays the amount of the associated liability, and in the case of adjusted calculation submission - the positive difference.
Conditional overpayment	This reflects the negative difference resulting from the adjusted calculation submission.
Overpayment	The system calculates and shows the overpayment amount when payments are more than the liabilities.
Debt	The system calculates and shows the debt amount when liabilities exceed payments.
DRN	This displays the Document Registration Number, according to the operation content; clicking on the DRN should allow viewing the PDF version of that document.

2.2.1.3 Interface of the "Stamp Duty PAC View" Page in the ERS

Table 3. ERS's Stamp Duty PAC View Page Interface Field Descriptions

Title	Description
Date	This represents the date the operation was conducted.
Operation type	This displays the details of the operation: - For calculations: the tax type and reporting period. - For payments: "payment" will be shown. - For repayments: "repayment", alongside the calculation and reporting period. - For a response received from the fund, the reduction of Conditional Overpayment, or the conversion of Conditional Overpayment to Actual Overpayment will be shown. - For changes in balances with other documents: Increase of Liability, decrease of liability, increase of overpayment, decrease of overpayment will be shown.
Calculated/paid off	The amount corresponding to the type of operation is displayed here.
Liability	This displays the amount of the associated liability, and in the case of adjusted calculation submission - the positive difference.
Conditional overpayment	This reflects the negative difference resulting from the adjusted calculation submission.
Overpayment	The system calculates and shows the overpayment amount when payments are more than the liabilities.
Debt	The system calculates and shows the debt amount when liabilities exceed payments.
DRN	This displays the Document Registration Number, according to the operation content; clicking on the DRN should allow viewing the PDF version of that document.

2.2.1.4 Interface of the State Duty PAC Statement page in the Taxpayer 3 System

Table 4. Taxpayer 3 system's State Duty PAC Statement page Interface field description

Title	Description
TIN	Automatically filled with the Taxpayer's Identification Number.
Name	Auto-populated with the name associated with the TIN.
Balance as of	A date selected either manually or from a calendar for balance display.
Tax or Payment Title	Shows all tax types and total value in distinct lines within this column, even if the corresponding TIN has no balance for a given tax type.
Tax or Payment Amount	Displays the tax balance corresponding to the tax type for the given TIN as of the chosen date.
Fine	Shows the fine balance corresponding to the tax type for the given TIN as of the selected date.
Penalty	Displays the penalty balance corresponding to the tax type for the TIN as of the selected date.
Liability Amount	The sum of tax or payment, fine, and penalty.
Total	Shows the total sum of all tax types/payments, state duty, and stamp duty arrears, fines, and penalties.
Debit Balance (Value Added Tax)	Shows the VAT Debit balance for the given TIN as of the chosen date.
Debit Balance (Excise Tax)	Shows the Excise Tax Debit balance for the TIN as of the chosen date.
Amounts Overpaid	This section displays the overpaid amounts for social payment (STO), stamp duty, state duty, and the balance available in the unified account, each as of the selected date.
Amounts overpaid. Social payment (resulting from calculation adjustment) STO	The social payment STO must be displayed as of the specified date.
Stamp duty conditional overpayment	The stamp duty conditional overpayment balance must be displayed as of the specified date.
Amounts overpaid. Stamp duty	The stamp duty overpayment balance must be displayed as of the specified date.
Amounts overpaid. State duty	The state duty overpayment balance must be displayed as of the specified date.
Amounts overpaid. State duty (defined in Articles 19.7 and 19.8)	The state duty tax type (defined in Articles 19.7 and 19.8) overpayment balance must be displayed as of the specified date.
Amount available in the unified account	The balance of the amount available in the unified account must be displayed as of the specified date.

2.2.1.5 Interface of the State Duty PAC Statement page in the ERS

Table 5. ERS's State Duty PAC Statement page Interface field description

Title	Description
TIN	Automatically filled with the Taxpayer's Identification Number.

Name	Auto-populated with the name associated with the TIN.
Balance as of	A date selected either manually or from a calendar for balance display.
Tax or Payment Title	Shows all tax types and total value in distinct lines within this column, even if the corresponding TIN has no balance for a given tax type.
Tax or Payment Amount	Displays the tax balance corresponding to the tax type for the given TIN as of the chosen date.
Fine	Shows the fine balance corresponding to the tax type for the given TIN as of the selected date.
Penalty	Displays the penalty balance corresponding to the tax type for the TIN as of the selected date.
Liability Amount	The sum of tax or payment, fine, and penalty.
Total	Shows the total sum of all tax types/payments, state duty, and stamp duty arrears, fines, and penalties.
Debit Balance (Value Added Tax)	Shows the VAT Debit balance for the given TIN as of the chosen date.
Debit Balance (Excise Tax)	Shows the Excise Tax Debit balance for the TIN as of the chosen date.
Amounts Overpaid	This section displays the overpaid amounts for social payment (STO), stamp duty, state duty, and the balance available in the unified account, each as of the selected date.
Amounts overpaid. Social payment (resulting from calculation adjustment) STO	The social payment STO must be displayed as of the specified date.
Stamp duty conditional overpayment	The stamp duty conditional overpayment balance must be displayed as of the specified date.
Amounts overpaid. Stamp duty	The stamp duty overpayment balance must be displayed as of the specified date.
Amounts overpaid. State duty	The state duty overpayment balance must be displayed as of the specified date.
Amounts overpaid. State duty (defined in Articles 19.7 and 19.8)	The state duty tax type (defined in Articles 19.7 and 19.8) overpayment balance must be displayed as of the specified date.
Amount available in the unified account	The balance of the amount available in the unified account must be displayed as of the specified date.

2.2.1.6 Interface of income tax, social payment and stamp duty monthly calculation page**Table 6. Description of income tax, social payment and stamp duty monthly calculation page Interface fields**

Field title	Explanation	Description
General information		
Taxpayer	Name and institutional-legal form of the tax agent's organization, and in case of an individual entrepreneur or a notary - name, surname.	Filled in automatically from the "Taxpayer 3" system database, not subject to editing
Taxpayer Identification Number (TIN)	Tax agent Identification Number is filled in automatically based on the tax registration data available in Taxpayer 3.	Filled in automatically, not subject to editing
Reporting period	Reporting year and month.	Selected from a list
Section 1. Calculation of employer's income tax, social security contribution and stamp duty by employees	Section title	
S/N	Serial Number	
Surname [1.1]	Surname of (hired) employee	Filled in automatically based on registration application data, not subject to editing
Name [1.2]	Name of (hired) employee	Filled in automatically, not subject to editing
Social Security Number (SSN) [1.3]	Social Security Card or reference number of not having it.	Filled in automatically based on registration application data, not subject to editing
Occupation Classifier Code [1.4]	Employee occupation (position, profession) code, filled automatically based on registration application data submitted by the tax agent.	Filled in automatically based on registration application data, not subject to editing
Funded Pension System Participation Status [1.5]	Code of participation of a natural person in the pension system. Filled automatically based on registration application data. - social security payer, - is not a social security payer, - social security payer based on the application.	Filled in automatically based on registration application data, not subject to editing

Salary and other equivalent payments [1.6]	Salary accrued to the hired employee and payments equal thereto.	Filled in by the taxpayer
Remuneration for work under a civil law contract [1.7]	income (contractual income) actually paid to the employee for provision of services (performance of work) under civil law contracts.	Filled in by the taxpayer
Other tax base (except benefits) [1.8]	Other incomes not included in the salary and civil law income paid to the (hired) employee and taxed at the rates of the monthly scale of the tax base (hereinafter referred to as the scale) (including insurance premiums paid for health insurance exceeding the amount set by RA legislation, compensation payments paid to employees in excess of the amounts set by RA legislation, aid amounts paid to the employee).	Filled in by the taxpayer
Tax base reduction amount [1.9]	Amounts of voluntary pension contributions not exceeding the amount specified by Article 147, Part 3[1] of the Tax Code of the Republic of Armenia of the taxation base - no more than $([1.6]+[1.7]+[1.8]+[1.10]+[1.11]) - ([1.6]+[1.7]+[1.8]+[1.10]+[1.11]):1,05$	Filled in according to a formula, not subject to editing
Amount of benefits paid from employer funds [1.10]	Sum of all temporary disability benefits paid by the employer to the employee.	Filled in by the taxpayer
Amount of benefits paid from RA state budget funds [1.11]	Sum of all temporary disability benefits paid to the hired worker from RA state budget.	Filled in by the taxpayer
Withholding of overpaid benefit amount [1.12]	Sum of the amounts withheld from the overpaid benefit as a result of the recalculation of the benefit paid in case of interruption of maternity leave.	Filled in by the taxpayer
Income tax [1.13]	In the accounting month (salary), the amount of income tax calculated according to the procedure and rates established by the legislation from the income specified in columns [1.6], [1.7], [1.8], [1.9], [1.10] and [1.11], depending on the selected period	Filled in according to a formula, not subject to editing
Social payment [1.14]	the amount of the social contribution calculated from the sum of the income specified in columns [1.6] and [1.7] of the (hired) employee is filled in automatically in the reporting month in the amounts specified by the legislation, depending on the selected period	Filled in according to a formula, not subject to editing
Stamp duty [1.15]	the amount of the stamp duty calculated in the amounts specified by the legislation is filled automatically from the sum of the	Filled in according to monthly stamp duty

	incomes specified in columns [1.6] and [1.7] of the (hired) employee in the reporting month (salary)	scale formula, not subject to editing
Hours actually worked [1.16]	Number of hours actually worked by the employee during the reporting month	Filled in by the taxpayer
Section 1.1 Income paid on the basis of a court decision or on other grounds defined by RA legislation	In the "Section Title" Section, the data of fields [1.1] to [1.16] of natural persons included on the basis of a court decision or on other grounds defined by RA legislation is filled in	Filled in accordance to the descriptions of fields [1.1] to [1.16]
Persons subject to restricted information [1.17]	summary (non-personalized) data on persons subject to restricted information defined by the RA legislation is filled in the line	Filled in by the taxpayer
Total [1.18]	Automatically calculates the sum of the incomes of all natural persons included in the calculation and the income tax, social payments and stamp duties calculated from them	Filled according to a formula, not subject to editing
Summary data	Section Title	
Income tax amount (calculated) [3.1]	The total amount of income tax calculated in the reporting month (including also calculated from other incomes) ([3.1]=[1.13]+[2.5])	
Amount of income tax deductible benefits (paid) [3.2]	The total amount of temporary disability benefits paid from the RA state budget and deducted from income tax in the reporting month (if [3.1]>[1.11] then [3.2]=[1.11] otherwise [3.2]=[3.1])	
Withholding of overpaid benefits [3.3]	The total amount of overpaid benefits withheld as a result of the recalculation of benefits overpaid in the event of termination maternity leave in the reporting month ([3.3]=[1.12])	
Income tax (payable) [3.4]	The total amount of income tax payable for the reporting month ([3.4]=[3.1]+[3.2]+[3.3]>=0)	
Social payment (payable) [3.5]	The amount of the social payment payable for the reporting month ([3.5]=[1.14])	
Stamp duty (payable) [3.6]	The amount of stamp duty payable for the reporting month ([3.5]=[1.15])	

2.2.1.7 Interface of income tax, social payment and stamp duty monthly simplified calculation page

Table 7. Description of income tax, social payment and stamp duty monthly simplified calculation page Interface fields

Field title	Explanation	Description
Reporting period	Year, month	Selected from a list
Employee identification data	Section Title	
Surname, Name	The Name and Surname of the taxpayer is filled in	Filled in automatically from the tax accounting data available in the “Taxpayer 3” system. not subject to editing
Taxpayer Identification Number (TIN)	TIN is filled in	Filled in automatically from the tax accounting data available in the “Taxpayer 3” system. not subject to editing
Social Security Number (SSN)	SSN is filled in	Filled in automatically from the tax accounting data available in the “Taxpayer 3” system. not subject to editing
Type of ID document	The passport data is filled in, it can have the following values <ul style="list-style-type: none"> • RA passport • Birth certificate • Residence card • Conventional travel document • ID card • Biometric passport • Foreign passport with the right to work in RA • Foreign passport 	The selection list includes only the types of SSN identity documents, the data of which are available in the police database. The default value should be the last filled data.
Series and number	The Series and number of the identity document is filled in	The default value should be the last filled data, if valid. If the given report form has been submitted once, it is automatically filled in when the calculation page is loaded from the tax accounting data available in T3, otherwise the users fill in the data themselves. Subject to editing.
Calculation of income tax, social payment and stamp duty of an employee	Section title	

(including hired employees) according to employers		
Employer Identification Data	Sub-section Title	
Name [1.1]	The Employer's name is filled in	Filled in automatically according to TIN if the latter is filled in, otherwise it accepts any value
TIN [1.2]	The Employer's TIN is filled in	<p>The tax registration number of the taxpayer, the employer exempted from tax agent liabilities is filled in: TIN.</p> <p>The system must ensure that the employer's TIN does not match the ETSD hired employee's TIN, if there is a match, a message should be generated.</p>
Occupation Classifier [1.3]	The occupation (position, profession) code of the given employer's (hired) employee is filled in.	<p>Has a sensitive auto search feature based on the first 3 characters entered, returns the job title (if available).</p> <p>A required field if the user completes the Employment Relationship Start or End field</p>
Funded Pension System Participation Status [1.4]	The (hired) employee participation code in the funded pension system is filled in automatically (1: not a social payment payer, 2: social payment payer, 3: social payment payer based on an application)	Filled in automatically, not subject to editing
Amount of income to be received (received) during the reporting period		Sub-section title
Salary [1.5]	The salary accrued to the hired employee of the given employer for the reporting month and the payments equal to it are filled in	<p>Filled in by the taxpayer</p> <p>A required field if the user completes the Employment Relationship Start or End field</p>
Beginning of employment relationship [1.5.1]	The beginning of the employment relationship with the given employer in the reporting month is filled in	<p>Relationship start date: day, month, year.</p> <p>The start of the relationship must be less than or equal to the end of the reporting month</p> <p>A required field if user fills in field [1.5].</p>
End of employment relationship	The end of the employment relationship with the given	Relationship end date: day, month, year.

[1.5.2]	employer in the reporting month is filled in	A required field if user fills in field [1.5].
Remuneration for work under a civil law contract [1.6]	The income (contractual income) actually paid to the employee for provision of services (performance of work) under civil law contracts for the reporting month is filled in.	Filled in by the taxpayer A required field if the user fills in the start or end civil law relationship field
Beginning of civil law relationship [1.6.1]	The beginning of the civil law relationship with the given employer in the reporting month is filled in.	Relationship start date: day, month, year. The start of the relationship must be less than or equal to the end of the reporting month A required field if user fills in field [1.6].
End of civil law relationship [1.6.2]	The end of the civil law relationship with the given employer in the reporting month is filled in.	Relationship end date: day, month, year. A required field if user fills in field [1.5].
Temporary disability benefits paid from employer funds [1.7]	The amounts of temporary disability benefits received by the hired employee are filled in (which are paid from the employer's funds and are not subject to compensation from the budget)	Filled in by the taxpayer
Other tax base [1.8]	Other incomes not included in columns [1.5], [1.6] and [1.7] paid to the (salaried) employee and taxed at the rates determined from the monthly size of the tax base are filled in (including: insurance premiums paid to the employee for health insurance exceeding the amount specified by the RA legislation, compensation payments paid in excess of the amount specified by the RA legislation, aid amounts paid to the employee)	Filled in by the taxpayer
Tax base reduction amount [1.9]	The amounts of voluntary pension payments not exceeding the amount of tax base specified by Article 147, Part 3 of the Tax Code of the Republic of Armenia is filled in:	Filled in by the taxpayer Not more than $[1.9] = ([1.5] + [1.6] + [1.7] + [1.8]) - ([1.5] + [1.6] + [1.7] + [1.8]) : 1.05$
Income tax [1.10]	the amount of income tax calculated at the general fixed rate defined by the legislation from the sum of the incomes specified in	Filled in according to a formula, not subject to editing

	columns [1.5], [1.6], [1.7], [1.8] and [1.9] of the (hired) employee in the reporting month is filled in automatically	
Social Payment [1.11]	the amount of social payment calculated from the sum of the income specified in columns [1.5] and [1.6] of the (hired) employee in the reporting month is filled in automatically	Filled in according to a formula, not subject to editing
Stamp Duty [1.12]	the amount of the stamp duty calculated in the amount specified by the legislation from the sum of the incomes specified in columns [1.5] and [1.6] of the (hired) employee in the reporting month is filled in automatically	Filled in according to monthly stamp duty scale formula, not subject to editing
Total	The sum of the lines is automatically filled in	Filled in according to a formula, not subject to editing

2.2.1.8 Individual entrepreneur and notary social payment and stamp duty annual calculation page

Table 8. Individual entrepreneur and notary social payment and stamp duty annual calculation page Interface fields

Field title	Explanation	Description
Taxpayer Identification Number	Taxpayer Identification Number is filled in	Filled in automatically from the tax accounting data available in the "Taxpayer 3" system. not subject to editing
Taxpayer	Taxpayer name and surname is filled in	Filled in automatically from the tax accounting data available in the "Taxpayer 3" system. not subject to editing
Place of registration of the taxpayer (place of residence)	Place of registration of the taxpayer (place of residence) is filled in	Filled in automatically from the tax accounting data available in the "Taxpayer 3" system. not subject to editing

Social Security Number	SSN or the number of the reference on not having an SSN is filled in	Filled in automatically from the tax accounting data available in the "Taxpayer 3" system. not subject to editing
Reporting period	Reporting period is filled in	Selected from a list
Voluntary pension participation	The status of participation in the social payment system is displayed in the calculation "interface" Possible field values are: 1. Is a social payment payer 2. Is a social payment payer on the basis of the application 3. Is not a social payment payer	The field is filled in automatically. not subject to editing
Participation in the Stamp Duty Electronic Management System	The status of participation in the Stamp Duty Electronic Management System is displayed in the calculation "interface" Possible field values are: 1. Is a stamp duty payer 2. Is not a stamp duty payer	The field is filled in automatically. not subject to editing
Section 1. Calculation of social payment and voluntary pension	Section title	
Profit tax base	<ul style="list-style-type: none"> If there is a submitted profit tax calculation for the given reporting year, the value of the field is filled in according to the amount specified in point 87 of the table of the 1st section of the profit tax calculation If no profit calculation has been submitted for the given reporting period, the field is filled with <0>. 	Filled in by the system and subject to editing, or filled in by the taxpayer
Social payment from entrepreneurial (notary) activity	The amount of the social payment calculated according to the RA Law "On Funded Pensions" is filled in	Filled in according to formula, not subject to editing
Social payment for activities carried out in the patent tax and (or) turnover tax system	The amount of the social payment calculated for the activity carried out in the system of patent tax and (or) turnover tax is filled in the amount specified by the RA Law "On Funded Pensions" (AMD 5000 per month)	Filled in according to formula, not subject to editing
Social payment Total	The amount of the social payment calculated according to the RA Law "On Funded Pensions" is filled in	Filled in according to formula, not subject to editing

Section 2. Stamp duty calculation	Section title	
Gross Profit Tax	<ul style="list-style-type: none"> • If there is a submitted profit tax calculation for the given reporting year, then the value of the field is filled according to the amount specified in point 41 of the table of the 1st section of the profit tax calculation. • If no profit tax calculation has been submitted for the given reporting period, the field is filled with <0> 	Filled in by the system, but subject to editing
Turnover Profit Tax	<ul style="list-style-type: none"> • If there are submitted turnover tax calculations for the quarters of the given accounting year (for all 4 quarters, or for a part), then the value of the field is filled according to the sum of the amounts specified in point 22 of the turnover tax calculations for all 4 quarters. • If no turnover tax calculation has been submitted for the given reporting period, the field is filled with <0> 	Filled in by the system, but subject to editing
Sales turnover of the micro-enterprise entity	<ul style="list-style-type: none"> • If there is a submitted micro-enterprise calculation for the given reporting year, the value of the field is filled according to the amount specified in point 11 of the calculation. • If no micro-enterprise calculation has been submitted for the given reporting period, the field is filled with <0>. 	Filled in by the system, but subject to editing
Stamp Duty calculation base Total	The general calculation base is filled in. Equals to the total value of fields 11, 12, 13	Filled in according to formula, not subject to editing
Stamp Duty	The amount of the stamp duty calculated according to the RA law is filled in	Filled in according to formula, not subject to editing

2.2.1.9 Stamp Duty Cards for Natural Persons View Page

Table 9. Stamp Duty Cards for Natural Persons View Page Interface Fields

Field title	Field title
TIN	<p>The respective TIN is reflected:</p> <ul style="list-style-type: none"> ✓ In case of monthly calculation of income tax - the employer's TIN, ✓ In case of simplified calculation of income tax and annual declaration - the natural person's TIN,

	✓ In case of calculation of social payment and stamp duty - the I/E or notary's TIN
Date	The date of operation is reflected
Operation content	The content of operation is reflected, ✓ In case of calculation - tax type + reporting period, ✓ In case of a response received from the Fund: 1. Return by the Fund 2. Decrease of the conditional overpayment 3. Conversion of the conditional overpayment to overpayment ✓ In case of a balance according to other documents: 1. Liability increase, 2. Liability decrease.
Reporting period	The reporting period of the calculation or return made by the Fund is reflected.
Calculated	The amount of the operation is reflected according to the type of operation.
Liability	The respective liability amount is reflected, in case of submission of adjusted calculations - the positive difference.
Conditional overpayment	The negative difference resulting from submission of adjusted calculations is reflected.
DRN	The document registration number is reflected, in line with the content of the operation; clicking the DRN must allow viewing the PDF version of that document.
Date of transfer to the Fund	The date of transfer of the stamp duty amount to the Fund, or the date of the response received from the Fund, is reflected.

2.2.1.10 Arrear list report page in the Taxpayer 3 system

Table 10. Arrear list report Interface fields

Column number	TITLE	DESCRIPTION
1	Regular number	Line regular number
2	Name of the taxpayer	Name corresponding to the TIN
3	Organizational-legal type	Organizational-legal type corresponding to the TIN
4	TIN	TINs meeting the selected criteria
5	Status	TIN status, it can have the following values <ul style="list-style-type: none"> • Active • Temporarily suspended • In liquidation process • Liquidated • De-registered • Bankrupt • In bankruptcy proceedings
6	Location	TIN legal address
7	Service division Number of the TSD	TSD division corresponding to the TIN

8	Tax, fee type	The tax type/payment type that the taxpayer has. For each TIN, one "Total" line must also be added for the aggregate value of all .
9	Amount of liability of tax, fee	The total amount of tax/fee payable to the budget, which includes the amounts of tax/fee not due and overdue, without fines and penalties. The amount includes the tax amount of the Tax type PACs, the amount of the duty of the State duty PACs, and the amount of the stamp duty.
15	Tax liability on income controlled by the tax authority. For tax/fee including overdue payment	The outstanding amount of the tax/fee due to the budget, not due yet, which includes the tax amounts of the Tax type PACs, the amount of the duty of the State duty PACs, and the amount of the stamp duty.
16	Tax liability on income controlled by the tax authority. For tax/fee including overdue payment	The sum of the outstanding balances of the amount of tax due to the budget (including the stamp duty), as well as the outstanding balances of fines and penalties calculated on the amounts submitted in the calculation reports.
19	Tax liability on income controlled by the tax authority. Total	Sum of the values of 15,16, 17 and 18 fields.
28	Social payment STO	Sum of social payment monthly and social payment annual tax type STOs.
29	Stamp duty conditional overpayment	Balance of Stamp Duty Conditional Overpayment.
30	Amount available in the tax unified account	The balance of the amount in the tax unified account.
31	Amount available in the Audit Act unified account	The balance of the amount in the Audit Act unified account.
32	Overpaid amount, overpayment	Balance of overpayment available in Stamp Duty and State Duty PACs.

2.3 SYSTEM INTEGRATION TO OTHER EXISTING SYSTEMS AND DATA EXCHANGE WITH THE FOUNDATION AND THE TREASURY SYSTEMS

The Stamp Duty Electronic Management System must have an opportunity to be integrated with other systems.

The Supplier MUST perform the following Integration/ Interaction Services

2.3.1.1 Interaction of the Stamp Duty Electronic Management System with other systems

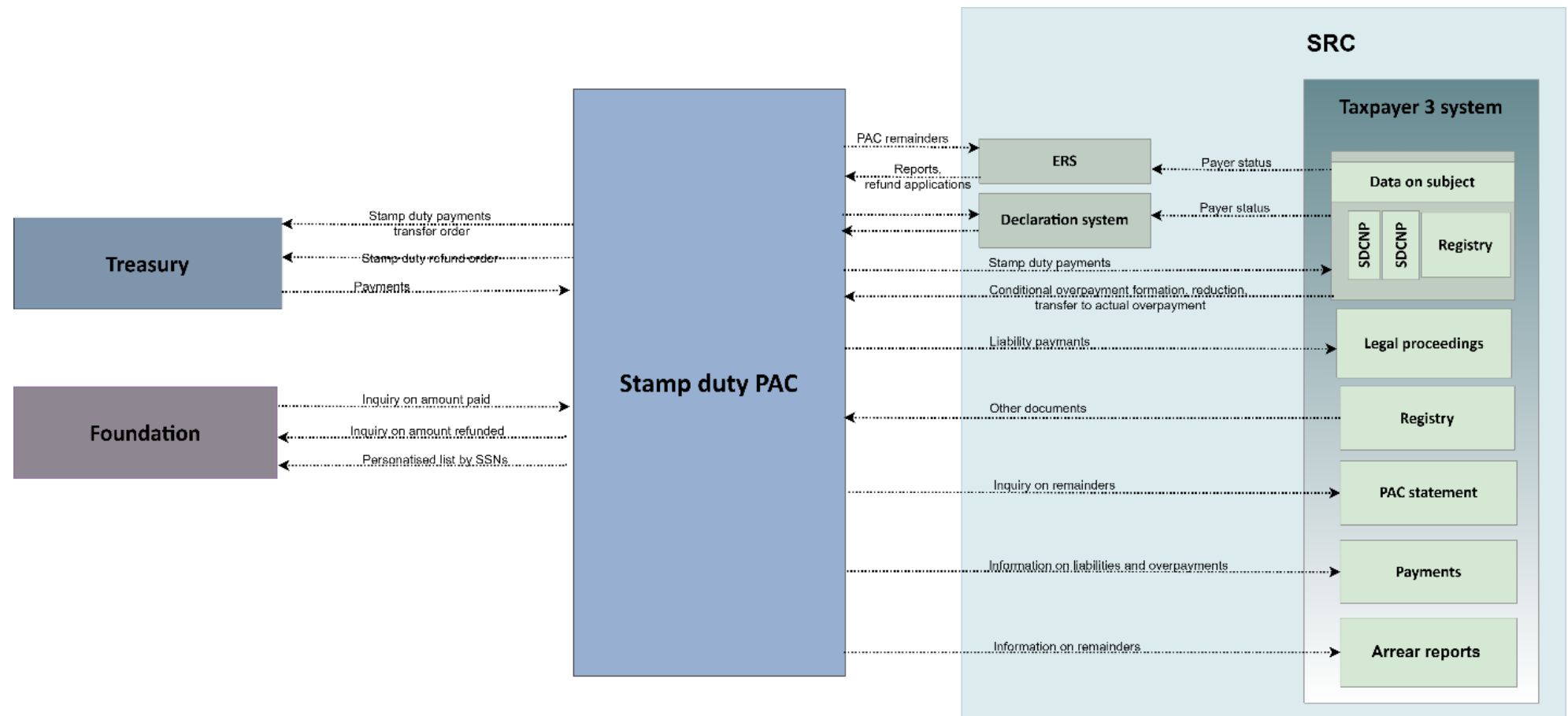
In order to effectively implement the intended functions, the Stamp Duty Electronic Management System must ensure interaction with other systems within the State Revenue Committee (SRC), and also ensure effective collaboration with the relevant systems of the Foundation and the Treasury.

Here are the functional descriptions of the interactions with the systems listed below:

- Integration of the Stamp Duty Primary Accounting Card (PAC) with the "Legal Proceedings" system
- Interface between the Stamp Duty PAC and the "PAC Statement" module
- Connection of the Stamp Duty Tax module with the "Arrear List Report" module
- Collaboration of the stamp payment system with the "Data on Subject" system
- Integration of the Stamp Duty PAC with the "Register" module
- Interface between the Stamp Duty PAC and the "Payments" module
- Interaction between the Stamp Duty Electronic Management System and the Electronic Reporting System (ERS)
- Integration of the Stamp Duty Electronic Management System with the Declaration system
- Interaction of the Stamp Duty Electronic Management System with the Treasury system
- Interface between the Stamp Duty Electronic Management System and the Foundation system

The interaction and main information flows of the Stamp Duty Electronic Management System with the aforementioned systems are presented below.

Figure 1. Main data flows within stamp duty PAC

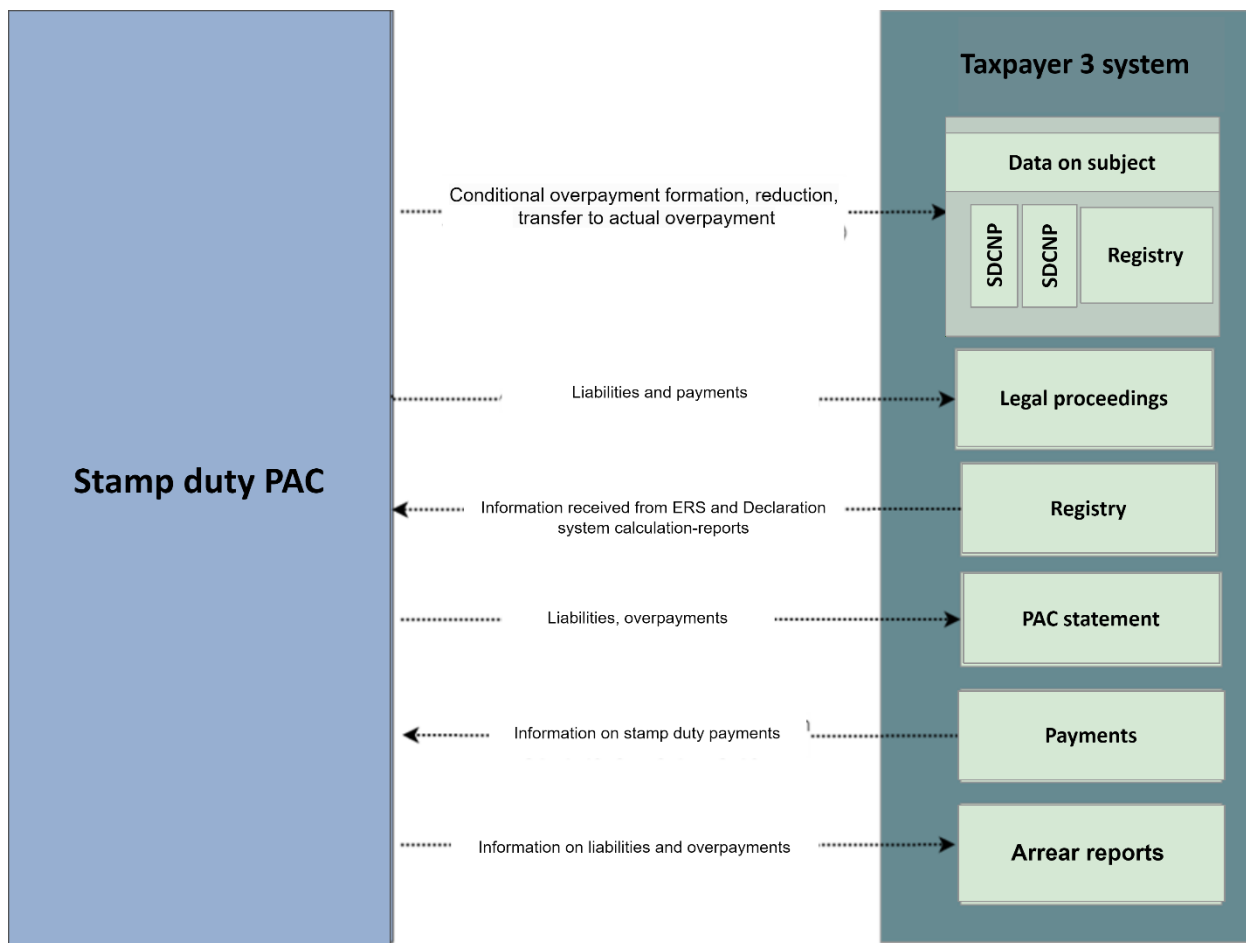


2.3.1.2 Interaction of the Stamp Duty PAC with the Taxpayer 3 System

This section details the specific features of how the Stamp Duty PAC (refer to Figure 3) interacts with the various systems that constitute the 'Taxpayer 3' system. The key points of connection are as follows:

- Integration of the Stamp Duty Primary Accounting Card (PAC) with the "Legal Proceedings" system
- Interface between the Stamp Duty PAC and the "PAC Statement" module
- Connection of the Stamp Duty Tax module with the "Arrear List Report" module
- Collaboration of the stamp payment system with the "Data on Subject" system
- Integration of the Stamp Duty PAC with the "Register" module
- Interface between the Stamp Duty PAC and the "Payments" module

Figure 2. Stamp duty PAC interaction with Taxpayer 3 system



2.3.1.3 Interaction of the Stamp Duty PAC with the Legal Proceedings System

In the event of late payment of the stamp duty liability amount, the tax authority initiates a collection process for the unpaid amount from the stamp duty payer (or from the tax agent, if the stamp duty was supposed to be paid by a tax agent under the law). The tax authority then sends a proper notification to the stamp duty payer (or tax agent). Before the collection decision becomes non-appealable, the tax authority can impose a ban on the person's property or apply restrictions as defined by the Tax Code.

The Legal Proceedings system is directly integrated with the Stamp Duty PAC. The primary function of the Legal Proceedings system is to oversee the collection of tax liabilities. Through legal proceedings,

collection decisions and other related documents are formulated and presented to the taxpayer through the ERS and Declaration system's personal page. The system also transfers information regarding collection decisions to the Judicial Acts Compulsory Enforcement Service and other departments.

Within the scope of the Stamp Duty Electronic Management System, the transfer of relevant information on stamp duty liabilities and repayments to the Legal Proceedings system from the Stamp Duty PAC should be ensured.

The process for creating proceedings in the Legal Proceedings module is as follows:

Every night, based on the operation of the PAC Recalculation module, overdue liabilities are segregated in the Stamp Duty PAC and transferred to the Legal Proceedings module.

Proceedings are created in the module based on the data received from the Stamp Duty PAC, and taxpayers are then notified. If there are adjustments in the PAC, the difference between the previous and latest submitted calculations is determined before providing them to the module. This difference is provided to the Legal Proceedings module, based on which updates are made for the same proceeding.

If payments are made and repayments occur in the PAC, updated information on liabilities is provided to the Legal Proceedings module.

2.3.1.4 Interaction of the Stamp Duty PAC with the “PAC Statement” Module

The PAC statement form is governed by the order of the SRC Chairman No. 245-A dated 04.11.2018. The PAC statement can be accessed through the "Taxpayer 3" balances reference and ERS “PAC statement” pages.

Within the context of the Stamp Duty Electronic Management System, it's crucial to adapt the PAC statement in accordance with the attached form and ensure the transmission of pertinent information from the Stamp Duty PAC to the PAC statement. The statement should be exportable in a PDF format.

2.3.1.5 Interaction of the Stamp Duty PAC with the “Arrear List Report” Module

The arrear list is a report form that allows for generating the lists of taxpayers with outstanding arrears and overpayments as of a specific date. Within the scope of the Stamp Duty Electronic Management System, it's crucial to facilitate the transfer of pertinent information from the Stamp Duty PAC to the “Arrear List” subsystem.

The list outlines the balances of all tax types/payments, including stamp duty, of the TINs that fulfill the criteria for list creation. The balances for each tax type are presented in separate lines. The first line for each TIN with a balance showcases only the balances of all tax types/payments; this line should also include the balances of the unified accounts.

The arrear list can be viewed on the respective page of the Taxpayer 3 system, and it can be generated with various parameters pre-indicated on the search page. The lists are exportable in excel format. The page is accessible solely in the Taxpayer 3 system.

The system should also allow for the creation of lists categorized by arrear ranges.

2.3.1.6 Interaction of the Stamp Duty Electronic Management System with the “Data on Subject” System

The viewing pages of the SDCNP should be incorporated into the "Data on Subject" system pages of the "Taxpayer 3" system. The users of this page are primarily employees of the SRC. Simultaneously, the data from the SDCNP page must be accessible through the SRC's "Informational Portal of the Private Account of a Natural Person" system. However, this page will not be available in the ERS.

On the "Data on Subject" page, there should be a feature allowing users to search using SSNs. Upon entering an SSN, the individual account associated with that SSN opens, and users can select the "Stamp Duty Card" page from the "Main Data" page.

2.3.1.7 Interaction of the Stamp Duty PAC with the "Register" Module

The "Register" module in the "Taxpayer 3" system records all calculations, reports, declarations, applications, and other documents submitted by taxpayers via the ERS and Declaration systems. This also includes documents and inspection acts input by the SRC staff performing relevant functions. Each document recorded in the register is assigned a DRN following the logic of the register module.

In the context of the Stamp Duty Electronic Management System, there are no planned logical modifications to the "Register" module. However, it should ensure that pertinent calculation-report data received from the ERS and the Declaration system are automatically transferred to the new "Stamp Duty PAC" module. Additionally, through the "Register" module, the processes of assigning the appropriate statuses to the "Stamp Duty Refund Application" and transferring them to the ERS and Declaration systems should be facilitated.

2.3.1.8 Interaction of the Stamp Duty PAC with the "Payments" Module

The "Payments" module of the "Taxpayer 3" system is used to process all types of taxes and payments received from the Treasury. Any information related to stamp duty payments received from the Treasury is first recorded in the "Payments" module and then automatically transferred to the Stamp Duty PAC.

Within the scope of the Stamp Duty Electronic Management System, there are no planned logical modifications to the "Payments" module. It should ensure that the stamp duty payments received from the Treasury are automatically transferred to the new "Stamp Duty PAC" module.

2.3.1.9 Interaction of the Stamp Duty Electronic Management System with the Treasury System

The SRC and the Treasury maintain an electronic process for receiving and returning stamp duty payments, as well as exchanging information regarding payments to the Foundation. Information about payments made by taxpayers, including stamp duty, is received through a data exchange system with the Treasury. Additionally, the system facilitates the transfer of information regarding the amounts to be refunded to taxpayers from the stamp duty account.

Stamp duty payments received from the Treasury are recorded in the "Payments" section of the Taxpayer 3 system and simultaneously reflected in the Stamp Duty PAC.

The data exchange process with the Treasury unfolds in the following steps:

- Taxpayers make transfers to the stamp duty account to settle their stamp duty obligations.

- After receiving the stamp duty transfers from banks, the Treasury sends the information to the SRC via a web service. This data is then automatically imported into the system through the Taxpayer 3 system.
- Taxpayers submit reports via the electronic reporting system. These reports are automatically recorded in the stamp duty account or a refund application is submitted from the stamp duty account.
- The "Tax Recalculation" module in the Stamp Duty PAC carries out the settlement of tax debts and recalculates liabilities according to the logic defined in the PAC accounting module.
- Upon the completion of full repayments and the submission of refund applications, the Stamp Duty PAC system generates payment orders, which are transmitted to the Treasury via the web service.
- The Treasury system transfers the stamp duty repayment amount to the Foundation, and any refundable amount from the stamp duty is transferred to banks or other treasury accounts.

2.3.1.10 Interaction of the Stamp Duty Electronic Management System with the Foundation's System

The Stamp Duty Electronic Management System engages in data exchange with the Foundation, which represents one of its primary functions. It automatically generates personalized information on paid stamp duties (listing according to NSSs) and automatically transfers this to the Foundation.

To facilitate the return of stamp duty amounts by the Foundation to the parent, spouse, or child of a soldier in compulsory military service or who has passed away, the Foundation makes a request to the SRC via a web service. This request seeks to determine the amount of stamp duty paid for these individuals. The Stamp Duty Electronic Management System responds by transmitting information about the paid stamp duty to the Foundation.

In the event of needing to convert a conditional overpayment into an actual overpayment or to zero it out, the SRC system automatically sends a request to the Foundation. The purpose of this request is to confirm whether the money has been returned to the given individual. This ensures accurate tracking and management of overpayments.

2.3.1.11 Interaction between the Stamp Duty Electronic Management System and the ERS (Electronic Reporting System)

The ERS is one of the main systems of the SRC, and is also a part of the SRC's "Taxpayer 3" system, which includes the Stamp Duty Electronic Management System. "Taxpayer 3", ERS, and Declaration systems operate based on the same information base.

The taxpayer submits electronic calculation-reports through the ERS. These reports contain details about the stamp duty amounts, which are automatically recorded in the Stamp Duty PAC.

On the "Tax Obligations" page of the Taxpayer's Office, taxpayers can access corresponding viewing pages of the Stamp Duty PAC. This interface is identical to the corresponding pages in the Taxpayer 3 system.

Through the ERS's "Electronic Payments" page, a taxpayer can make payments towards the stamp duty account and other accounts.

The "Notices" page of the ERS allows the taxpayer to receive notices, collection decisions concerning outstanding stamp duty obligations, as well as notices, personal statements, and other documents that need to be notified as a result of analyses performed by SRC employees.

Additionally, the ERS system is also used for submitting applications for making refunds from the Stamp Duty account. In this case, the taxpayer chooses the bank account or another account to which they would like to have the refund transferred.

2.3.1.12 Interaction between the Stamp Duty Electronic Management System and the Declaration System

The Declaration system is one of the primary systems of the SRC. It is a part of the SRC's "Taxpayer 3" system, which also encompasses the Stamp Duty Electronic Management System. The "Taxpayer 3", ERS, and Declaration systems all operate based on the same information base.

Through the Declaration system, an individual taxpayer can submit an electronic annual declaration. In this declaration, the amount of stamp duty that is included gets automatically recorded in the Stamp Duty PAC.

On the "Payments" page of the Declaration system, the taxpayer can access information about Stamp Duty obligations and overpaid amounts. Moreover, through this page, an individual can make payments to the Stamp Duty and other accounts.

Through the "Notices" page of the Declaration system, an individual taxpayer receives notifications and charging decisions about unpaid stamp duty obligations. Additionally, this page is used to receive notifications, personal statements, and other documents that need to be notified, which have been prepared as a result of analyses made by SRC participants.

The Declaration system is also used to submit an application for making a refund from the stamp duty account. Here, the individual taxpayer indicates the bank account to which they would like the refund to be made.

2.4 DATA CONVERSION AND MIGRATION

Data migration should be performed as of the date of implementation of the new stamp duty PAC system. To transfer the liabilities and overpayments of the existing stamp duty systems to the new system, it is necessary to migrate the current balances of the SRC existing system to the new stamp duty PACs as of the date of the System launch, as well as to identify the current balances according to SSNs and reflect them in SDCNPs.

The data migration process must be well-planned, seamless, and efficient. Throughout the process, data must be synchronized between the old system and the new environment. This process should also include a preliminary migration test to identify and address potential issues.

When performing data migration, it is necessary to create a migration plan first, ensure data confidentiality, and exclude any partial or complete data loss. As part of the migration plan, a contingency strategy should be included in case the migration process encounters unexpected issues.

In carrying out the migration, maintaining the integrity of data storage is critical. This can be achieved by ensuring the implementation of the following steps: input of initial balances, data placement of previous documents, and other necessary steps that will be included in the technical requirement specification. Following the migration, a thorough data verification process should be conducted to ensure accuracy and integrity of the migrated data.

D. TECHNOLOGY SPECIFICATIONS – SUPPLY & INSTALL ITEMS

3.3 General Technical Requirements

3.3.1 General information

The Stamp Payment Electronic System aims at full automation of the entire sequence of processes involving stamp duty accounting, repayment, collection, transfer to the fund, refund of overpaid amounts, and their associated operations. This system should be a component of the SRC "Taxpayer 3" system, fully integrated with its relevant subsystems, the Electronic Reporting System, and other modules.

Through this system, a register of stamp duty payers is formed, which distinguishes the lists of individuals responsible for paying stamp duty. To fulfil this obligation, these individuals submit calculation-reports themselves or through tax agents, where stamp duty liabilities are calculated based on the corresponding stamp duty tax base and rates established by law and are consequently reflected.

For the purpose of accounting for stamp duty liabilities, PACs for stamp duty are maintained for each TIN in the SRC "Taxpayer 3" system, and at the same time, stamp duty cards of natural persons are maintained for personalized accounting purposes. Stamp duty liabilities are accounted for in the PAC in the amount of the stamp duty value reflected in a separate field in relevant calculations-reports. In case of submission of adjusted calculation-reports, a recalculation of liabilities is performed in the PAC. Stamp duty liabilities are automatically accounted for in the PACs for stamp duty and are offset based on payments made to the corresponding account. Information on payments is received from the Treasury of the Republic of Armenia through a web service and is also automatically recorded in the PACs.

Under circumstances defined by the legislation of the Republic of Armenia or based on court resolutions, it is possible to adjust the stamp duty liabilities in the system. This is carried out by an SRC employee with appropriate authority, who enters documents from the Register page of the "Taxpayer 3" system. If a reorganization of organizations occurs, stamp duty liabilities and overpaid amounts are transferred to the successor. This transfer process is executed through a specially designed page in the "Taxpayer 3" system.

If there exist overpaid amounts, the taxpayer can submit an application through the ERS and automatically receive a refund of the overpaid stamp duty amounts.

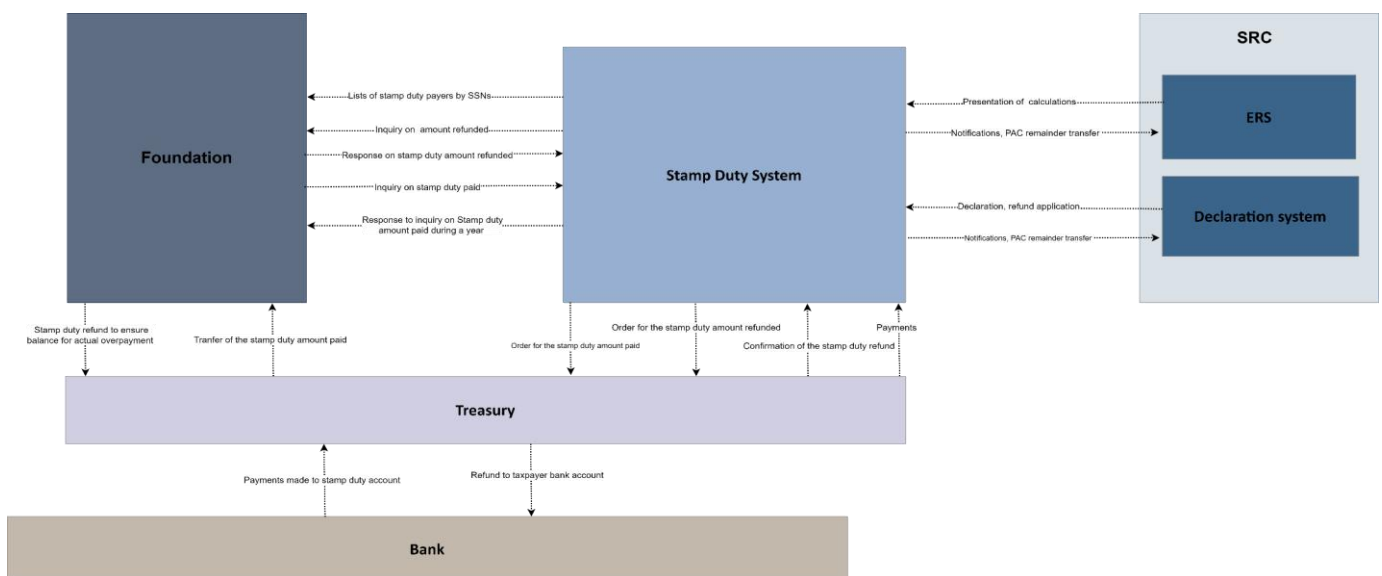
Data is exchanged with the Foundation through the system. At the end of each day, the system automatically generates personalized information about paid stamp duties (lists by SSNs) and transfers it to the Foundation. When the Foundation needs to return the amount of stamp duty to the parent, spouse, or child of a serviceman in compulsory military service or who has been killed, the Foundation makes a request via a web service to the SRC to determine the amount of the stamp duty calculated and paid for those individuals. The SDCNP module of the SRC Stamp Duty Electronic Management System then sends the information about the stamp duty paid by the relevant SSN (including through a tax agent) for the given accounting period to the Foundation. Additionally, data exchange with the Foundation occurs when, due to the submission of a reduction of liabilities according to specified calculations and reports, a conditional overpayment occurs in the PACs. To turn this conditional overpayment into a real one or to nullify it, the SRC system automatically sends a request to the Foundation, asking for confirmation of the fact that the amount has been returned to the given individual.

The Stamp Duty Electronic Management System is also integrated with other subsystems in the SRC "Taxpayer 3" system. In particular, recovery proceedings are initiated through the "Legal Proceedings" subsystem. In cases of delay in the payment of stamp duty liabilities, the tax authority makes a decision to collect the unpaid amount from the stamp duty payer (or from the tax agent if the stamp duty must be paid through a tax agent according to the law), and properly notifies the stamp duty payer (tax agent). Until the decision on the collection of the amount becomes unappealable, the tax authority may impose a ban on the person's property or apply a restriction in the cases and procedure defined by the Tax Code of the Republic of Armenia. Furthermore, information on Stamp Duty liabilities and overpaid amounts is reflected in the balance reference of the taxpayer, in the statement of the PAC, and in the arrears list. On the "Subject Data" page of the "Taxpayer 3" system, where personalized accounting of natural persons is conducted, information on the stamp duty calculated, paid, and transferred to the Foundation by a natural person (including through a tax agent) is displayed.

To transfer the liabilities and overpayments from the existing stamp duty systems to the new system, it is necessary to migrate the existing balances in the current SRC system to the new stamp duty PACs as of the date of system launch. Additionally, existing balances must be identified according to SSNs and reflected in the SDCNPs.

The characteristics of the interaction within the new electronic system are reflected in Figure 1.

Figure 3. Interaction of the Stamp Duty Electronic Management System with other systems



3.3.2 Payments

It is necessary to ensure the possibility of making stamp duty payments from the corresponding "payments" pages of the File-Online and Self-Portal systems.

3.3.3 Registry of Stamp Duty Payers

The Registry of Stamp Duty Payers is established through the system, distinguishing individuals who are required to pay stamp duty from other natural persons. The system achieves this by grouping and separating the data of individuals identified as stamp duty payers, including individual entrepreneurs and notaries.

The following categories of individuals are obligated to pay stamp duty:

1. Natural persons who are residents of the Republic of Armenia working in the territory of the Republic of Armenia or outside the territory of the Republic of Armenia under an employment contract.
2. Natural persons who are not residents of the Republic of Armenia working in the territory of the Republic of Armenia under an employment contract.
3. Individual entrepreneurs registered and conducting business within the Republic of Armenia.
4. Notaries.
5. Residents of the Republic of Armenia earning income through civil law contracts for work or services performed within the country or abroad.
6. Non-residents of the Republic of Armenia earning income from sources within the country under civil law contracts for work or services.

Excluded from this obligation are foreign citizens and stateless individuals without residence rights (or residency status) in the Republic of Armenia, as they are not considered stamp duty payers.

The creation of the Registry of Stamp Duty Payers relies on the following sources of information:

- Personal data registration requests submitted by tax agents for employed individuals and individuals receiving contractual income.
- Registration data from the State Register of Legal Entities of the Republic of Armenia for individual entrepreneurs and notaries.
- Tax authority registration data for natural persons.

In this registry, individual entrepreneurs, notaries, and other natural persons are identifiable by their SSNs.

3.4 Stamp Duty Calculation

- 3.4.1** The calculation of stamp duty is conducted by tax agents, individual entrepreneurs, and notaries as defined by the Tax Code, following the provisions of agreements signed and ratified on behalf of the Republic of Armenia. This also applies to individuals earning income from a source exempt from tax agent liability, individual entrepreneurs,

and non-notary natural persons receiving income from a non-tax agent. These taxpayers are required to submit their calculation-reports electronically through the ERS.

No distinct stamp duty calculation exists; information on the base and amount of stamp duty calculation is included in the monthly calculation of income tax, social duty, and stamp duty, as well as in the simplified monthly calculation of these same items. This information is also present in the annual declaration of income tax, social duty, and stamp duty for natural persons, and in the annual calculation of social payment and stamp duty for individual entrepreneurs and notaries.

Stamp duty is calculated and recorded in the relevant calculation-report, using the sizes of the stamp duty calculation bases during the specific reporting period, in accordance with the amounts specified by Article 12 of Law № 42-N.

a) The bases for calculating stamp duty include:

- For individual entrepreneurs and non-notary natural persons: salary and other payments equivalent to salary, as well as income from work performed or services provided under civil law contracts.
- For individual entrepreneurs and notaries operating within the turnover tax system: the sales turnover as shown in the turnover tax calculations submitted for the stamp duty calculation reporting period.
- For individual entrepreneurs operating within the micro-business system: the sales turnover as reflected in the report on micro-business entity sales turnover.
- For individual entrepreneurs and notaries operating within the common taxation system: the gross income as shown in the profit tax calculation.
- For individual entrepreneurs and notaries operating under multiple taxation systems during the reporting year: the sum of relevant calculation bases specified herein.

b) The calculation of stamp duty for each reporting period follows the below rules:

For individual entrepreneurs, non-notary natural persons, and those receiving income from a person exempted from tax agent liability by the provisions of treaties signed and ratified by the tax agent or on behalf of the Republic of Armenia, the stamp duty rates are:

If the calculation base is up to AMD 100 000, the duty is AMD 1500

If the calculation base is between AMD 100 001 to 200 000, the duty is AMD 3000

If the calculation base is between AMD 200 001 to 500 000, the duty is AMD 5500

If the calculation base is between AMD 500 001 to 1 000 000, the duty is AMD 8500

If the calculation base is AMD 1 000 001 or more, the duty is AMD 15000

c) For sole proprietors, notaries, as well as individual entrepreneurs and non-notary natural persons receiving income from non-tax agents, the stamp duty rates are:

If the calculation base is up to AMD 2 400 000, the duty is AMD 18 000

If the calculation base is between AMD 2 400 001 to 6 000 000, the duty is AMD 24 000

If the calculation base is between AMD 6 000 001 to 12 000 000, the duty is AMD 48 000

If the calculation base is AMD 12 000 001 or more, the duty is AMD 120 000

- d) The basis for the stamp duty calculation is derived from the following calculation-reports:
1. The Monthly Calculation of Income Tax, Social Payment, and Stamp Duty as defined by Appendix No. 1 of the Order of the Chairman of the State Revenue Committee under the Government of the Republic of Armenia N 300-N dated December 30, 2016 (hereinafter referred to as the monthly calculation of income tax).
 2. The Monthly Simplified Calculation of Income Tax, Social Payment, and Stamp Duty as defined by Appendix No. 2 of the Order of the Chairman of the State Revenue Committee under the Government of the Republic of Armenia N 300-N dated December 30, 2016 (hereinafter referred to as the simplified monthly calculation of income tax).
 3. The Annual Calculation (Declaration) of Income Tax, Social Payment, and Stamp Duty (hereinafter referred to as the annual declaration). It should be noted, that amendments to the Tax Code regarding the submission of annual declarations became effective on 01.01.2023, but the declaration form is yet to be defined and will be provided later. Descriptions in this document are based on the preliminary draft form.
 4. The Calculation of Social Payment and Stamp Duty for an individual entrepreneur and notary as defined by Appendix No. 3 of the Order of the Chairman of the State Revenue Committee of the Republic of Armenia N 749-N dated December 12, 2018 (hereinafter referred to as the annual calculation of social payment and stamp duty).

Changes in forms must be implemented in accordance with the current forms.

Apart from annual declarations, all the specified calculation-reports are completed within the ERS, electronically signed, and submitted to the SRC. The annual declaration is filled out in the SRC Self - Declaration System for natural persons, electronically signed, and submitted to the SRC.

After submitting calculations to the SRC, they are displayed on the “Register” page of the "Taxpayer 3" system.

- e) The report submission process in the ERS unfolds as follows:
1. The user logs into the web system at file-online.taxservice.am using their username, TIN, and password.
 2. The user navigates to the “Reports” section from the main menu.
 3. The system loads the “Reports” page.
 4. The user chooses the electronic document form of one of the aforementioned reporting forms from the list.
 5. The system loads the electronic form of the selected document.
 6. The system automatically populates the tax agent, individual entrepreneur, notary, and natural person's identification and tax accounting data.

7. The user selects the Reporting period: year and month.
 8. The user completes the necessary fields of the respective calculation.
 9. The user clicks the "Calculator" icons, enabling the system to automatically calculate based on the entered data and populate the corresponding calculated fields.
 10. If incorrect format values are input into the calculation fields, the system flags an error message for the respective field or fields: "The field is filled in incorrectly."
 11. By clicking the "Save Temporarily" button, the system saves the document in the "Incomplete Reports" section.
 12. The user clicks the "Register" button.
 13. The system saves the calculation in the "Completed Reports" section, creating a PDF format document of the calculation.
 14. If any errors are present, an error message is displayed next to the respective field.
 15. The user signs the PDF document with the ID or mID signature options of their identification card.
 16. The system verifies the PDF document signature and the electronic file number.
 17. If the file and/or electronic signature are invalid, the system rejects the file and displays an error message detailing the reason.
 18. If no errors are detected, the system accepts and saves the signed PDF document in the "Completed Reports" section.
 19. The user clicks the "Submit to SRC" button.
 20. The system finally saves the file in the "Submitted Reports" section, assigning it a unique DRN in the SRC, and concurrently displays it on the "Registry" page of the "Taxpayer 3" system.
 21. The system updates or secures the calculation data in the given taxpayer's stamp duty PAC.
 22. In the "Submitted Reports" section, the system generates a receipt for the submission and acceptance of the calculation, which can be downloaded as a PDF file or viewed online at any time.
- f) The process of submitting a declaration through the Self - Declaration System follows these steps:**
1. The user logs into the Self - Declaration web system or mobile application, pre-identifying themselves through the "YES EM" system.
 2. The user navigates to the "Declaration" section from the main menu.
 3. The system loads the "Declaration" page.
 4. The system automatically populates the personal identification and tax registration data.
 5. The user selects the reporting period: year.
 6. The user fills in the required fields of the declaration.
 7. The user clicks the "Calculator" icons, and the system automatically calculates based on the entered data and populates the values of the respective calculated fields.
 8. If incorrect format values are entered in the fields involved in the calculation, the system generates an error message for the respective field or fields: "The field is filled in incorrectly."
 9. If the user clicks the "Save Temporarily" button, the system saves the document in the "Incomplete Reports" section.
 10. The user clicks the "Register" button.

11. The system saves the declaration, generating a PDF document of the calculation.
12. If there is an error, an error message is displayed next to the respective field.
13. The user signs the PDF document with the ID or mID signature options of their identification card.
14. The system verifies the PDF document signature and the electronic file number.
15. If the file and/or electronic signature are invalid, the system rejects the file and displays an error message indicating the reason.
16. If no errors are detected, the system accepts and saves the signed PDF document.
17. The user clicks the "Submit to SRC" button.
18. The system finally saves the file in the "Submitted Reports" section, assigning it a unique DRN in the SRC, and simultaneously displays it on the "Registry" page of the "Taxpayer 3" system.
19. The system updates or secures the calculation data in the given taxpayer's stamp duty PAC.
20. In the "Submitted Reports" section, the system generates a receipt for the submission and acceptance of the calculation, which can be downloaded as a PDF file or viewed online at any time.

3.4.2 Monthly Calculation of Income Tax

Each month, tax agents calculate the monthly stamp duty based on the established law rates. This calculation is dependent on the accrued salary to hired employees and any equivalent payments, or the income paid to natural persons for services or work performed under civil law contracts. All of this is included in the submitted monthly calculation of income tax and social payment.

Tax agents are then responsible for transferring the calculated stamp duty to the state budget on or before the 20th of the subsequent month. Notably, organizations with an international organization legal type (F14) are exempt from including stamp duties in their submitted monthly calculation of income tax.

The monthly income tax calculation does not contain a separate section for stamp duty. Instead, it's incorporated in the section "Calculation of the employer's income tax, social payment and stamp duty by employees."

3.4.3 Simplified Monthly Calculation of Income Tax

In accordance with treaties signed and ratified by the Republic of Armenia, individual entrepreneurs and non-notary natural persons who earn income from a person exempt from tax agent liability are responsible for paying their stamp duty independently. The payment for the reporting period should be made on or before the 20th of the month following the reporting period.

The simplified monthly calculation of income tax does not include a separate section for stamp duty. Instead, it is calculated and incorporated within the section titled "Calculation

of income tax, social payment and stamp duty of an employee (including hired employees) according to employers”.

3.4.4 Annual Declaration of Natural Persons

Natural persons who are not individual entrepreneurs or notaries, and who are not tax agents, are required to pay their stamp duty independently. This payment should be made on or before May 1st of the year following the given reporting period.

In the annual declaration, the stamp duty is calculated separately and reflected within the "Stamp Duty" section.

3.5 Annual calculation of social payment and stamp duty

Individual entrepreneurs and notaries shall pay the stamp duty up to and including April 20 of the year following the reporting period, based on the relevant bases for calculating the stamp duty defined by Article 9, Part 1, Clause 5 of the Law for each reporting period.

In case of operating in more than one taxation system during the reporting year, the sum of the relevant calculation bases is considered the tax base.

In the annual calculation, the stamp duty is calculated separately in the “Stamp Duty Calculation” section.

3.6 Accounting of Stamp Duty

Liabilities related to stamp duty, as shown in the calculation-reports submitted through the ERS, are processed by the SRC.

In the "Taxpayer 3" system used by the SRC, the stamp duty PACs are maintained for each TIN. These PACs are automatically opened on the day of the first calculation or the first payment.

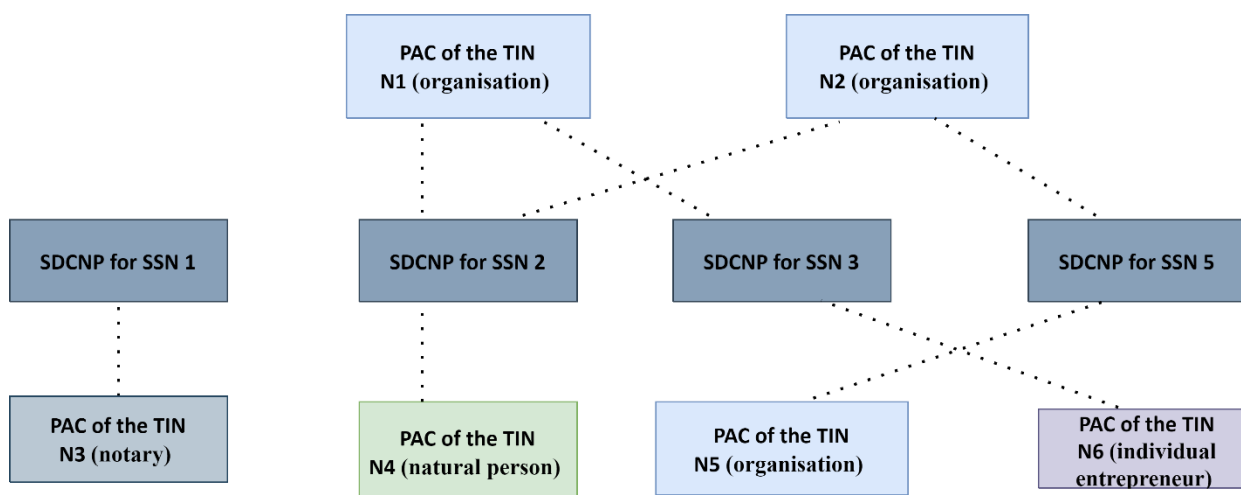
If an individual holds the status of an Individual Entrepreneur or Notary, and also falls under the categories of an Annual Declaration Submitter or a person exempt from tax agent liabilities, it's crucial to note that there is only a single PAC issued for that person. This is because regardless of the various roles, it is still the same individual, identified by a unique TIN. If this individual, serving as an Individual Entrepreneur or Notary, also employs other individuals, another PAC is issued. However, this new PAC is linked to the same TIN.

Liabilities stemming from both monthly and annual calculations of stamp duty are recorded in the stamp duty PACs.

For personalized tracking, the "Taxpayer 3" system also manages SDCNP, which are associated with SSNs. An SDCNP is automatically created on the day a calculation is first submitted for a specific SSN. One SDCNP is maintained for all transactions linked to each SSN.

The SDCNPs account for the monthly stamp duty liabilities submitted by various employers for each natural person, as well as stamp duty liabilities calculated from the business income of an individual entrepreneur or a notary. SDCNPs do not record payments nor process repayments; they are only used for personalized accounting of stamp duty.

Figure 4. The principle of personalized stamp duty accounting (example)



The calculations described above are recorded in the stamp duty PACs. There are set deadlines for submission and payment related to stamp duty calculations, as outlined in the following table:

Table 11. Submission and payment deadlines for stamp duty calculation

Calculation title	Submission deadline	Payment deadline
monthly calculation of income tax	20th of the month following the reporting period inclusive	20th of the month following the reporting period inclusive
simplified monthly calculation of income tax	20th of the month following the reporting period inclusive	20th of the month following the reporting period inclusive
Annual declaration	May 1st of the year following the reporting period inclusive	May 1st of the year following the reporting period inclusive
Annual calculation of social payment and stamp duty	April 20th of the year following the reporting period inclusive	April 20th of the year following the reporting period inclusive

In cases when a tax agent organization is undergoing liquidation, an individual entrepreneur is deregistering, or a notary is being dismissed from their post, the monthly income tax calculation can be submitted prior to the close of the reporting month.

Consequently, the stamp duty obligation is computed as of the submission date of the calculation.

Similarly, in instances of deregistration of an individual entrepreneur or dismissal from the position of a notary, the annual calculation of social payment and stamp duty can be submitted before the end of the reporting year. The stamp duty obligation is then determined based on the calculation submission date.

Stamp duty obligations are calculated in the PACs as of the calculation submission date, based on distinct DRNs. At the same time, the obligations calculated for individual natural persons within the same DRNs are accounted for in the SDCNPs.

The amount of stamp duty included in the first submission of the calculation for a given reporting period is fully accounted for in the PAC. Any subsequent submissions for the same reporting period are treated as adjusted calculations.

In instances where the prior calculation submitted for any reporting period has not yet been paid off and not transferred to the Foundation, when corrected calculations are submitted, the data from the new, corrected calculation become valid in the PAC and SDCNP as of the date of that calculation's submission.

If the previous settlement submitted for that reporting period was fully paid and transferred to the Foundation, the difference between the new and the prior settlement, known as the delta, is computed in the PAC. The submission of a corrected calculation may result in positive and/or negative deltas.

The stamp duty obligation reported in the monthly income tax calculation is accounted for in the PAC associated with the tax agent's TIN. As the employer provides personalized information about the calculated stamp duty based on SSNs within this calculation, the system separately accounts for liabilities based on SSNs, simultaneously recording the stamp duty obligations in the SDCNPs.

If the previous calculation submitted for any given month has been paid in full and transferred to the Foundation, upon submission of an adjusted monthly calculation, the differences between the submitted and the previous calculation, referred to as positive and/or negative deltas, are recorded in the tax agent's PAC. To facilitate this process, the system logs the liabilities presented by the specified calculation per SSN in the Stamp SDCNP. Within the SDCNP, both the positive and negative delta incurred in the given PAC are calculated. Then, the overall positive and negative totals for each individual are separately accounted for within the tax agent's SDCNP.

Example: On February 10, 2025, an employer submits an income tax calculation for January 2024, which generates a total of 100 units of stamp duty. Here is the breakdown of the calculated stamp duty by SSN and the corresponding calculations carried out in the SDCNP:

SSN	Calculated Stamp Duty	Calculation in SDCNP
SSN 1	50.0 units	+50.0 units
SSN 2	30.0 units	+30.0 units
SSN 3	20.0 units	+20.0 units

On February 20, 2025, the employer repays obligations and transfer them to the Foundation. On March 5, 2025, the employer submits an adjusted calculation for January 2024, totaling 110 units. Here is the revised breakdown of the calculated stamp duty by SSNs and the corresponding calculations carried out in the SDCNP:

SSN	Calculated Stamp Duty	Calculation in SDCNP (Difference between the submitted calculation and the previous calculation)
SSN 1	40.0 units	40 - 50 = -10.0 units
SSN 2	40.0 units	40 - 30 = +10.0 units
SSN 3	30.0 units	30 - 20 = +10.0 units

As of March 5, 2025, the results of the SDCNP balances are reflected in their respective PACs, with two deltas simultaneously calculated in the employer's PAC: -10 and +20.

The positive difference resulting from the adjusted income tax calculation is accounted for as a liability, and is therefore subject to repayment. The negative difference, however, is recorded as a "conditional overpayment". This "conditional overpayment" does not affect the PAC movement and cannot be used to offset any liability or be refunded.

In case if a "conditional overpayment" arises in the tax agent's stamp duty PAC, the system automatically sends a request to the Foundation for the SSNs associated with the corresponding PAC, in order to determine whether a refund has been made by the Foundation with the given SSN or not.

If no refund has been made, the "conditional overpayment" for the given SSN transitions into actual overpayment in the SDCNP, and that data is transferred to the PAC. This actual overpayment can offset any other liability in the PAC or be refunded to the tax agent. If a refund has been made, as determined by the request to the Foundation, the "conditional overpayment" in the SDCNP is reduced by the amount of the refund, with the remaining amount becoming actual overpayment. The results of reducing the overpayment and becoming real overpayment are reflected in the PAC.

The stamp duty liabilities submitted in the simplified monthly income tax calculation, as well as in the annual income tax and social payment calculation for natural persons, are accounted for in the PAC associated with the respective individual's TIN. At the same time, these liabilities are also recorded in the SDCNP associated with the individual's SSN.

Stamp duty liabilities submitted in the annual calculation of social payment and stamp duty are accounted for in the PAC of the individual entrepreneur or notary, opened with their respective TINs. Simultaneously, the system retrieves the individual's SSN from the "Taxpayers" page of the "Taxpayer 3" system and records the stamp duty liability also in the SDCNP associated with that SSN.

When a simplified monthly income tax calculation, annual income tax and social payment calculation for natural persons, or adjusted annual calculation of social payment and stamp duty are submitted, if the previous calculation for the reporting period has not yet been repaid and transferred to the Foundation, the PAC and SDCNP will reflect the obligations of the new calculation. If the calculation for the reporting period has been overpaid and transferred to the Foundation, the difference between the newly submitted calculation and the previous one is recorded in the PAC: this could be a positive or negative delta. A positive difference that arises from the adjusted calculation is treated as a liability and is subject to repayment, while a negative difference is considered a "conditional overpayment".

In the event a "conditional overpayment" arises in the PAC following the submission of adjusted calculations for these reporting forms, the system will automatically send a request to the Foundation for the relevant SSNs to determine whether a refund has been made by the

Foundation under the given SSN or not. If no refund has been made, the conditional overpayment for the given SSN transitions into an actual overpayment, which can be used to offset any other liability or be refunded. If the Foundation has made a refund, as indicated by the request, the conditional overpayment is reduced by the amount refunded, and the remainder becomes an actual overpayment.

3.7 Repayment of Stamp Duty Liabilities

The repayment of stamp duty liabilities occurs via payments made to the corresponding account. These payments are recorded in the PAC on the day the sum is credited to the relevant treasury account. The liabilities are then repaid using these payments. In the event that the payment amount exceeds the liability amount, the excess is deemed an overpayment.

Liabilities are repaid in chronological order, starting with the earliest date of occurrence. The date of occurrence is considered to be the date the calculation was submitted. If there are calculations for multiple reporting periods in the PAC and a payment is made, the oldest liability, irrespective of its reporting period, is paid off first on the day of payment.

For calculations submitted on the same day, the liability associated with the reporting period whose payment deadline is earlier is prioritized. If there are concurrent liabilities from monthly and annual calculations in the PAC with matching payment deadlines, the monthly liability is settled first.

For instance, consider the following liabilities present in the PAC:

Submission Date	Reporting Period	Sum
10.04.23	March 2023	200
15.04.23	January 2023	300
02.05.23	April 2023	400

If a payment of 700 units is made on 05.05.23, it will be used to first clear the March 2023 liability submitted on 10.04.23, despite the presence of an older January 2023 liability in the PAC. After clearing the March liability, it goes on to settle the January 2023 liability submitted on 15.04.23, and any remaining amount is applied to the April 2023 liability submitted on 02.05.23.

In scenarios where a payment exists in the PAC and a new calculation is submitted, liabilities are cleared on the calculation submission date. If a payment is made and no liability exists on that day, the amount is considered as an actual overpayment. These actual overpayment funds can either be used to clear future liabilities or be returned to the taxpayer.

In situations where the PAC contains calculations for several reporting periods and the conditional overpayment becomes an actual overpayment, the earliest submitted liability is cleared on the day the overpayment occurs. If there is an overpayment in the PAC and a new calculation is submitted, the liabilities are cleared on the calculation submission date.

It's important to note that no penalties or fines are calculated in the Stamp Duty PAC for late payments.

3.8 Adjustments to stamp duty balances via other documents

In certain situations, defined by the Legal Acts of the Republic of Armenia or pursuant to court decisions, it is necessary to be able to alter stamp duty liabilities using the system's available tools.

To make these adjustments within the PAC, documents altering the stamp duty liabilities are inputted via the “Registry” page of the “Taxpayer 3” system by the SRC employee responsible for executing these duties.

Specifically, as a result of entering a conclusion on adjustments to stamp duty liabilities based on court or appeals commission decisions, or other grounds, alterations to liabilities or overpayments may occur for the given TIN and the SSNs associated with that TIN.

In cases where a bankruptcy process concludes in line with the liquidation (cessation of activities of an individual entrepreneur, removal of a notary from their position) of an employer involved in a bankruptcy process based on a court decision, the data on state registration of the liquidation of the debtor employer (termination of a individual entrepreneur's activities) in the state register of legal entities shall, within two business days after the tax authority receives it, be entered into the Taxpayer 3 system. At this point, the outstanding stamp duty liability ceases and is written off. Upon the entry of the conclusion documents, the SSN liabilities associated with the TINs also cease in the SDCNP.

When entering the actual overpayment based on the conclusion, no changes are made in the SDCNPs.

3.9 Accounting for stamp duty liabilities in cases of reorganization

In the event of an organizational restructure, the stamp duty obligations of a reorganized organization are settled by the organization's successor(s). Specifically:

- In a merger scenario, the resulting organization from the merger is deemed the successor in terms of stamp duty liability fulfillment.
- If an organization is absorbed by another, the absorbing entity becomes the successor for the purposes of liability fulfillment.
- In cases of organizational division, the entities resulting from the division are deemed successors as per the dividing balance sheet for the purposes of liability fulfillment.
- In cases where one or more organizations separate from a parent organization, the liabilities of the restructured legal entity are distributed to each of the newly separated organizations according to the dividing balance sheet.

Liabilities and overpayments of the reorganized organization must be transferred to the successor. To facilitate this, a new page named “Transfer of PAC balances in case of reorganization” is created in the “PAC” subsection of the “Document” section in the “Taxpayer 3” system. This allows for the transfer of existing stamp duty liabilities or overpayments to the successor, concerning amounts not already transferred to the Foundation.

Upon entering this page, the system will automatically display data on existing stamp duty liabilities of the predecessor organization by SSNs (those of employees registered with the given employer under employment or civil law contracts), as well as data on overpayments. If the stamp duty liability for any reporting period isn't fully paid, the system displays the debt from all SSNs' SDCNP and separately shows the amount not yet transferred to the fund of the given TIN (the partially paid amount).

On this page, SSNs will be registered (or marked with markers) that were associated with the predecessor, with their data to be transferred to the successor.

On the specified page, the TIN of the successor organization can be entered. The “Taxpayers” system of “Taxpayer 3” will then verify if the provided TIN is indeed the successor of the specified predecessor.

After verification, the existing liabilities or overpayments of the predecessor are accounted for in the successor's PAC and corresponding SDCNPs, and they are deducted from the predecessor's side.

3.10 Refund of overpaid stamp duty (overpayment)

The system allows taxpayers to submit applications to automatically receive refunds for overpaid stamp duty.

Employers, individual entrepreneurs, and notaries submit the electronic application for the return of excess stamp duty through the ERS. Natural persons, who are beneficiaries of the declaration system, can submit the application through the Declaration system. The SRC gets information about the bank accounts of entities, individual entrepreneurs, and notaries from commercial banks through web services. This information is reflected on the “Bank Details” page of the respective taxpayer in the “Taxpayers” page of the “Taxpayer 3” system. The authenticity of the bank account details of natural persons is verified through an inquiry made to the Central Bank of the Republic of Armenia when submitting the application.

In the case of a deregistered individual entrepreneur, dismissed notary, and natural person, any remaining balance of stamp duty overpayment is returned to the bank account opened in the individual's name.

According to the procedure and cases set by the Government of the Republic of Armenia, paper forms of applications for stamp duty can also be submitted. This is done by the SRC Taxpayer Service Department (TSD) staff through the “Register” page of the “Taxpayer 3” system. The paper form of application is submitted in cases where an organization has been dissolved, and the money needs to be returned to the founder, or in the event the individual entrepreneur, notary or natural person has passed away and the money should be returned to the individual's heir. The SRC staff verifies the authenticity of the accounts by SSNs, based on the documents provided by the taxpayer.

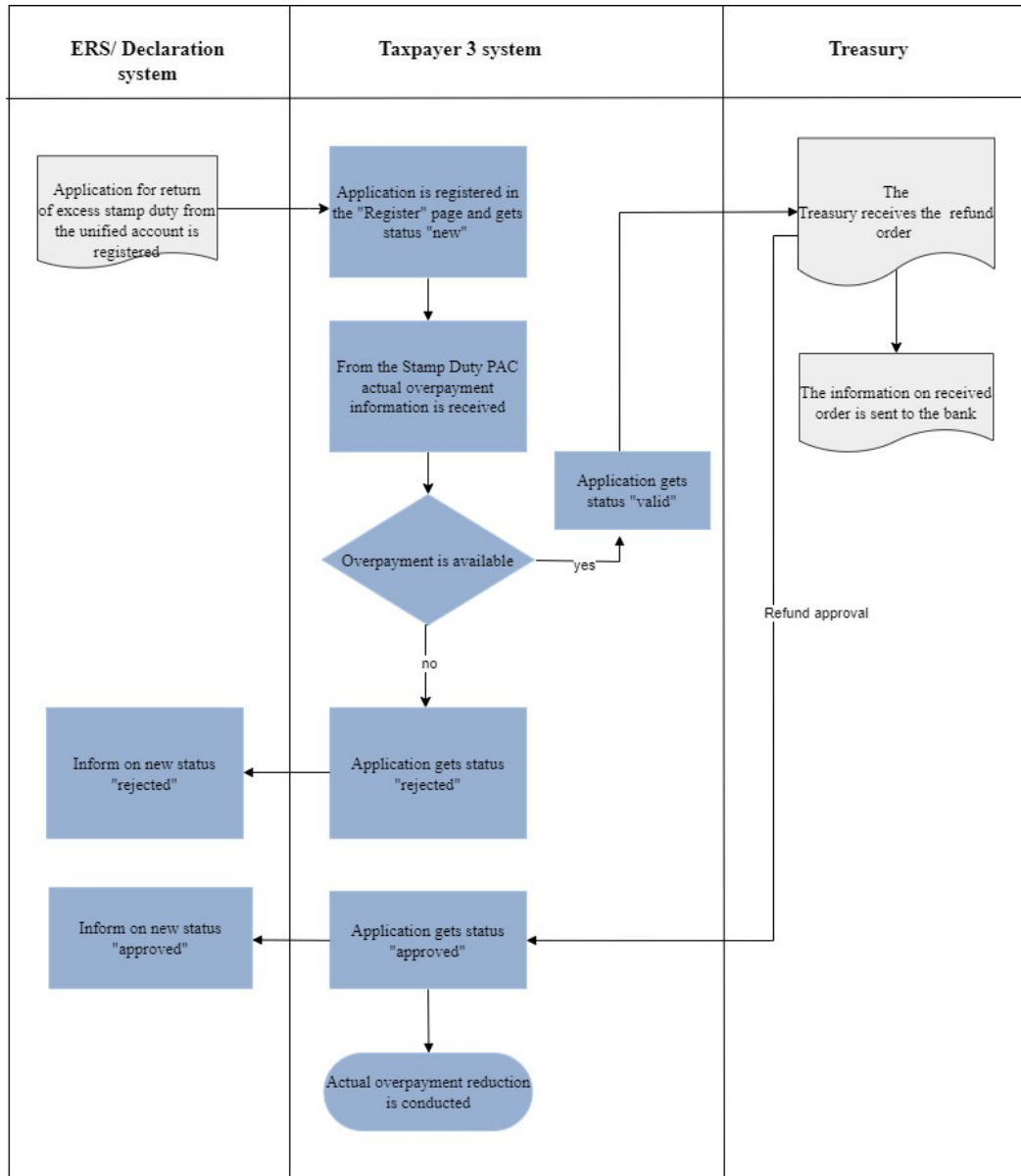
The taxpayer selects the bank or treasury account to which the refund should be made. The unified account of the Audit Act and the unified account of Taxes can also be specified in the application as the return account. After the application is submitted to the SRC or entered, it appears on the “Registry” page of “Taxpayer 3” and receives a “Current” status. When the system recalculates the stamp duty PAC, it checks for the existence of overpaid stamp duty in the PAC to generate a payment order. If there is no overpayment in the PAC, the application receives a “Rejected” status. If there is an overpayment in the PAC, the system generates a payment order and transfers it to the treasury. Upon receiving confirmation of the refund from the Central Treasury, the application is updated to the “Approved” status. Information on the application process is available to taxpayers in the “Submitted Reports” section of the ERS and Declaration system.

Transfer orders are created in the stamp duty system for transferring from stamp duty accounts to accounts in commercial banks or to treasury accounts, and they are transferred to

the Treasury through a web service. The Treasury then performs the refund operations from stamp duty accounts to the accounts specified in the application.

No automatic actions are performed in the PAC upon application registration. Actions are performed in the PAC when the Treasury receives information that a return has been made. The remaining operations performed on the day of receiving the information about the completion of the return are processed after the return operation is performed.

Figure 5. Stamp Duty overpayment refund process



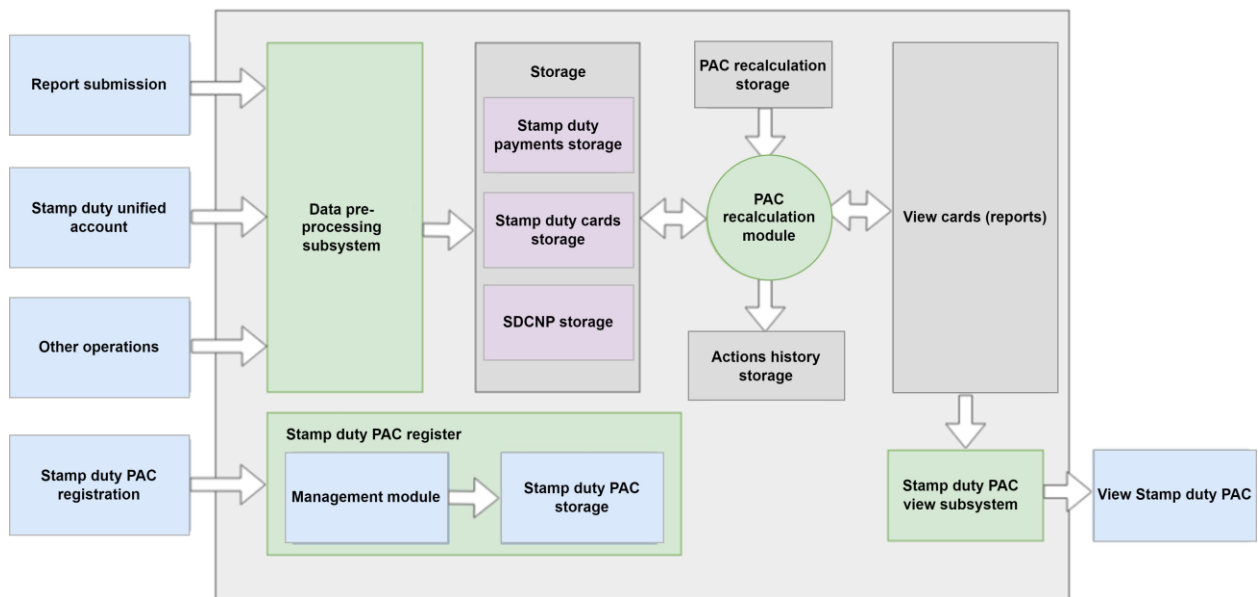
3.11 Technical Project

"Taxpayer 3" system's stamp duty PAC should be composed of four subsystems:

- Register of Stamp Duty Tax
- Data preprocessing subsystem
- Counter subsystem
- Subsystem for viewing the Stamp Duty PAC

The structure of the Stamp Duty PAC is illustrated in the following diagram:

Figure 6. Stamp Duty PAC scheme



3.11.1 Stamp Duty PAC Register records taxpayers' PACs in reserve. Entries are created for each taxpayer in the register. PACs for newly registered organizations and individual entrepreneurs are opened as of the State Register registration date. For notaries, PACs are opened as of the date of state registration, and for natural persons not considered individual entrepreneurs or notaries, PACs are opened as of the date of registration with the tax authority. For the taxpayer, PACs are registered automatically based on the entry of the first document (e.g., calculation-report, payment, inspection act).

3.11.2 The Data pre-processing subsystem ensures the standardization of the PAC input data list and its transfer to the calculator in the appropriate format. This section acquires data on tax liabilities in various ways, including:

- Report submissions,
- Payment implementations,
- Results of other actions (e.g., registration of other documents, changes to inspection acts, conclusions and liabilities).

The Stamp Duty PAC module contains all the necessary information for processing incoming documents, such as calculation submission deadlines, payment deadlines, and rates for fines and penalties.

3.11.3 The Calculator subsystem is the PAC module that receives the relevant input data and performs registration, repayment, calculation of fines and penalties and repayment operations. The calculator saves the data obtained as a result of calculations in the reporting library, which is later used by the reporting and data submission subsection.

Registration and repayment of liabilities is performed through the PAC calculator module. In the PAC calculator module, operations are processed at the end of each day at a fixed hour after 24:00. Calculation/recalculation is performed once a day on the basis of documents received as of the previous day.

All the Stamp Duty PACs having changes are processed, in particular, report submission, payments. Tax liabilities are classified according to priorities, the repayment of which is performed on sequential basis.

Liabilities are classified according to priorities, the repayment of which is carried out on a sequential basis. The PAC calculator receives the information on liabilities and payments, performs recalculation of liabilities based on the input data, performs repayment of liabilities based on the available information and records it in the data vault, while the changes are reflected on the stamp duty PAC viewing page.

3.11.4 The PAC viewing subsystem ensures the generation of reports and the display of liabilities and overpayments in a format acceptable to system users. Submission of obligations, conditional surcharge and overpayments is carried out through the stamp duty PAC interfaces, while the data is reflected in the SDCNP interface.

3.12 Description of input and output data

This section provides a description of the input and output data for the Stamp Duty Electronic Management System in the context of its interactions with other entities.

3.13 Stamp duty payments' transfer to the Foundation

Stamp duty payments must be transferred to the Foundation. For this purpose, the system's Foundation transfer module operates every night, regardless of whether it is a business or non-business day. The system automatically generates personalized information (by SSNs) about paid stamp duties and transfers it to the Foundation. Simultaneously, a transfer order is generated and transferred to the Treasury of the Republic of Armenia.

On the day following the payment of the stamp duty full amount submitted by the tax agent in the monthly calculations of income tax and social payment, the list of that tax agent's employees for whom stamp duties were calculated in the given reporting period is transferred to the Foundation.

For those who pay stamp duty independently, on the day following the payment of the full amount of stamp duty, the list of those who have paid their stamp duty in full for the given reporting period is transferred to the Foundation. This list includes those who submitted their annual declaration, social payment, and stamp duty calculations in the simplified monthly income tax calculation for the given reporting period.

For individuals who self-pay stamp duty, on the day following the payment of the full amount of stamp duty as presented in the simplified monthly income tax calculation, annual declaration, social tax, and stamp duty calculations, the Foundation receives a list of these self-payers for the given reporting period who have paid the stamp duty in full.

It is suggested that the list of stamp duty payers be prepared in accordance with the format shown below. In line with these proposed formats, it is also necessary to provide appropriate interfaces to facilitate sending, receiving, and localizing information.

The proposed format of the list transferring information on stamp duty payers to the Foundation is as follows:

Table 12. Stamp duty payers' list format

Stamp Duty Payers' List				
Information provided by the tax authority				
Date				
Regular number of the order				
TIN				
Name of I/E, notary, organization, natural person				
SSN	Name	Surname	Reporting period	Stamp duty amount

Table 13. Description of elements of the list form transferred by the tax authority to the Foundation

Field	Description, verification rules
Information provided by the tax authority	The indicated text is displayed.
Date	The date the list was generated is displayed. The list is generated on a daily basis. The list includes all the reporting forms available in the stamp duty PAC system as of the day before the date of generation, by DRNs, the repayment date of which corresponds to the given date.
Regular number of the order	The regular number of the list is displayed.
TIN	The taxpayer registration number is reflected, which is taken from the Taxpayer Identification Number (TIN) field of relevant

	calculations. In case of a monthly simplified calculation, the TIN of the “Employee Identification Data” table is taken.
Name of I/E, notary, organization, natural person	The respective name of the TIN is reflected.
SSN	The SSN of the natural person is reflected, from SDCNP.
Name	The name of the natural person is reflected by SSN.
Surname	The surname of the natural person is reflected by SSN.
Reporting period	The accounting period of the repaid DRN is reflected: year-month or year.
Stamp duty amount	The amount of stamp duty liability paid is reflected.

3.14 Submission of a Transfer Order to the Central Treasury

After the full amount of stamp duty for a given reporting period is paid by individuals paying stamp duty (in cases defined by this law, through a tax agent), in parallel with the lists transferred to the Fund, a transfer order for the said reporting period is submitted to the authorized body of the Government in the finance sector (Treasury). This transfer order pertains to the money transfer from the amount of stamp duty paid by natural persons to the Fund, specifying the amount to be transferred.

Following the receipt of the transfer order, the Treasury transfers the specified amount in the order to the special account of the Fund within one business day.

When a bankruptcy process is completed, which is connected with the liquidation of an employer in bankruptcy process based on a court resolution (termination of activities of a individual entrepreneur, dismissal of a notary from their post), the tax authority submits a summary transfer order to the Treasury. This transfer order relates to the transfer of stamp duty to the compensation fund concerning the partially transferred stamp duty amounts. This is done within two business days following the date the tax authority receives the state registration data from the state register of legal entities on the debtor-employer's liquidation (termination of activities of an individual entrepreneur). In this case, the tax authority does not provide any lists of natural persons to the compensation fund.

Data on the stamp duty amount is generated and sent to the Foundation and Treasury via a web service in a pre-agreed and approved XML format.

Table 14. Format of Submission of a Transfer Order to the Central Treasury

Data title	Data
Regular number of the order	
Treasury account number	
Amount to be transferred	

Table 15. Elements of Form of Submission of a Transfer Order by the SRC to the Central Treasury

Field	Description, verification rules
Regular number of the order	The regular number of the order is displayed. The data in this field must correspond to the data in the “Regular number of the order” field of the list transferred to the Fund.
Treasury account number	The treasury account number is displayed - 900005001186.
Amount to be transferred	The amount to be transferred based on the order generated as of the given day is displayed in AMD.

3.15 Refund of stamp duty by the Foundation

To return the stamp duty amount to the parent, spouse or child of a soldier who is in compulsory military service or who was killed, the Foundation makes an automatic inquiry to the SRC to determine the amount of stamp duty for these individuals.

Data on the stamp duty amount is generated by the SRC and sent to the inquiry of the Foundation via web service, in a pre-agreed and approved XML format.

To share data, the Foundation’s automated system generates an inquiry, and the SRC provides a response based on the inquiry. In response to the inquiry made by the Foundation, the SRC provides complete information on the stamp duty paid by that natural person, which includes the amount of stamp duty paid as a hired employee, an exempt from tax agent liability employer’s employee, a natural person receiving income from a non-tax agent - an individual who is not considered individual entrepreneur or a notary (hereinafter self-employed person), and as a individual entrepreneur or notary. It is important to note that if a request arises from the Foundation, the system should perform a check to determine whether the individual's income, earned exclusively from civil-legal contracts, is less than 1500 AMD. If this is the case, it is assumed that the employer paid the stamp duty. Therefore, the stamp duty amount calculated based on the income less than 1500 AMD should be subtracted from the amount of stamp duty paid to the Foundation in response to the request. Within the framework of the system, direct data sharing is carried out with the following parameters:

Table 16. Inquiry Fields for Stamp Duty Refund

Field title	Description
R/N	Regular number of the inquiry
Date	Regular date
SSN	Social Security Card or number of the reference on not having an SSN
Year	Reporting year

Table 17. Response Fields for Stamp Duty Refund

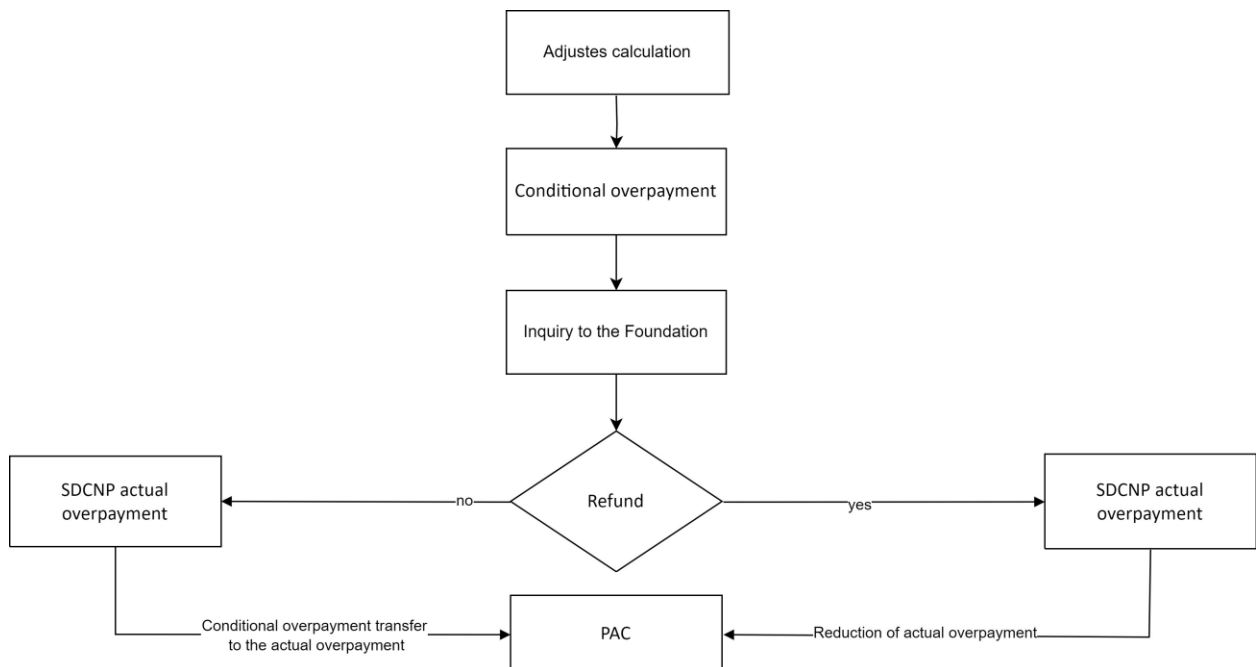
Field title	Description
R/N	Regular number of the inquiry
SSN	SSN of the natural person or number of the reference on not having an SSN
Name	Natural person's name
Surname	Natural person's surname
Patronymic	Natural person's patronymic
Identification document	Natural person's identification document number
TIN	TIN of an individual entrepreneur or a notary, if the person is a I/E or a notary
Date of entry in accounting records	Day, month and year of state registration of an individual entrepreneur, or day, month and year of appointment as a notary, if the person is a I/E or a notary
Date of withdrawal	Day, month and year of termination of state registration of an individual entrepreneur, or day, month and year of dismissal from notary post, if the person is a I/E or a notary
Reporting year (hired employee)	As a hired employee – reporting year (year sent in response to the inquiry)
Reporting month	As a hired employee – each calendar month of the reporting year
Stamp duty – hired employee	As a hired employee – stamp duty amount withheld from the income paid by the tax agent and transferred to the state budget by calculating the monthly income tax for each calendar month of the reporting year
Reporting year (ETSD)	As an ETSD employer's employee – reporting year (year sent in response to the inquiry)
Reporting month	As an ETSD employer's employee – each calendar month of the reporting year

Stamp duty – ETSD employer's employee	As an ETSD employer's employee – stamp duty amounts withheld from the reporting month income from the monthly simplified calculation and transferred to the state budget
Reporting year (self-employed person)	As a self-employed person – reporting year (year sent in response to the inquiry)
Stamp duty – self-employed person	As a self-employed person – stamp duty amount calculated and paid from the income of the reporting year in the annual declaration
Reporting year (I/E or notary)	As a I/E or notary - reporting year (year sent in response to the inquiry)
Stamp duty – of individual entrepreneur or notary	As a individual entrepreneur or notary - stamp duty amount paid from the income of the reporting year submitted in the annual calculation of social tax and stamp duty.

3.16 Inquiry to the Foundation regarding the refunded stamp duty

As a result of submitting liabilities reduction with adjusted calculations and reports, conditional overpayments appear in the PAC and in the SDCNP, and to convert these conditional overpayments into real overpayments or zero them out, the SRC system sends an automatic inquiry to the Compensation Fund, to determine whether the money has been returned to the given individual or not.

Figure 7. Actual and conditional overpayments proceeding scheme in the SDCNP



Every night, the Stamp Duty Electronic Management System separates those SSNs for which “conditional overpayments” incurred. The data relating to the amounts returned or not returned to the natural person as a result of the SRC inquiry via web service are generated by the Fund and sent to the SRC. Data sharing is carried out in a pre-agreed and approved xml format.

Within the framework of the system, data sharing is carried out with the following parameters.

Table 18. Inquiry Fields for Stamp Duty Refund Verification

Field title	Description
R/N	Regular number of the inquiry
SSN	Social Security Card or number of the reference on not having an SSN
Name	Natural person's name
Surname	Natural person's surname
Year	Adjusted calculation reporting year
Stamp duty amount	Stamp duty amount calculated as a result of adjustment
Conditional overpayment	Conditional overpayment amount of stamp duty resulting from the adjustment

Table 19. Response Fields for Stamp Duty Refund Verification

Title	Description
R/N	Regular number of the inquiry
SSN	Social Security Card or number of the reference on not having an SSN
Amount	Data on the returned amount size
Year	Reporting year for which the refund was made

ANNEX 8. LEGAL ADJUSTMENTS REQUIRED FOR INCORPORATING THE STAMP DUTY ELECTRONIC MANAGEMENT SYSTEM WITHIN DEVELOPMENT OF FORMS

To enable automatic accounting of stamp duty liabilities, amendments should be implemented to the calculation forms and procedures established by the following orders:

- Modifications need to be made to the monthly calculation of income tax and social payments defined by Annex No. 1 of the Order of the Chairman of the State Revenue Committee under the Government of the Republic of Armenia No. 300-N dated 30 December 2016:

- Modifications need to be made to the the monthly calculation of Income tax and social payment defined by Annex No. 2 of the Order of the Chairman of the State Revenue Committee under the Government of the Republic of Armenia No. 300-N dated 30 December, 2016

- Modifications need to be made to the annual calculation-declaration of Income tax and social duty defined by Annex No. 3 of the Order of the Chairman of the State Revenue Committee under the Government of the Republic of Armenia No. 300-N dated 30 December 2016:

- Modifications need to be made to the calculation of the social payment of a individual entrepreneur and notary as defined by Appendix No. 3 of the Order of the Chairman of the State Revenue Committee of the Republic of Armenia No. 749- N dated December 12, 2018:

2.2 Software Customization / Development

2.2.1 The Supplier MUST perform Software Customization / Development using a formal software development methodology with the following characteristics and/or with the following technologies and/or tools.

The Supplier must offer technologies that are equivalent to the technologies currently used by the Purchaser (grails technologies), the existing infrastructure and the existing IT infrastructure must be maximally used to promote the processes.

During the design, development, implementation of changes and additions to the system, the Supplier must take into account compatibility with currently used information systems.

The system must be implemented in three separate environments: production, test, backup.

The unified Role Management System currently in use should be used. An effective role, authority and access management tool should be provided to allow the creation of permissions, groups, etc. for the use of any module and function of the system.

The applied classifiers must be unified with the systems operating within the Taxpayer3 system and Electronic Reporting System.

Operating system RedHat Linux

Database: Oracle 11 RDBMS

2.6 Documentation Requirements

The Supplier MUST prepare and provide the following Documentation.

2.6.1 End-User Documents: User's Manual in Armenian languages, with detailed descriptions of the menus and submenus of the system, steps for entering and quitting the system, pictures of the screen.

2.6.2 Technical Documents: Supplier shall submit to the Purchaser a monthly report (on the 15th of each month) with the following structure and content:

1. Completed services: in this section of the report the Supplier should provide the status of services performed/implemented during the previous months in accordance with the Project Plan.
2. Services in progress: in this section of the report, information is presented about the progress of the services that are planned for the given month in the Project plan.

The Supplier provides the Purchaser the Source Code of the programmed system and its description after finishing every phase. The description should be provided in a readable form so that the programmer or analyst can maintain and improve the software. The Source Code and related documentation must contain all Supplier comments, information models, process queue diagrams, etc.

2.7 Requirements of the Supplier's Technical Team

2.5.1 The Supplier MUST maintain a technical team of the following roles and skill levels during the Supply and Installation Activities under the Contract:

The Proposers MUST provide detailed Curriculum Vitae (CV)/ Resumes for the staff required for this Contract.

The staff composition MUST include a minimum of 11 (eleven) IT software design and development personnel, comprising both key personnel and non-key personnel, with the following minimum quantity and professional work experience:

	Staff	Quantity (person)	Work experience (at least year)
1	Team Lead (TL)	1	specified under ITB 35.4
2	Lead Business Analyst (BA)	1	specified under ITB 35.4
3	Lead Programmer	1	specified under ITB 35.4
4	Lead Quality assurance specialist	1	specified under ITB 35.4

The Team should also include the following additional experts (non-key personnel) with a minimum level of professional work experience to provide support to the main team.

	Staff	Quantity (person)
5	Business Analyst (BA)	around 1
6	Quality assurance specialist (QA)	around 2
7	Programmer	around 4

D. TECHNOLOGY SPECIFICATIONS – SUPPLY & INSTALL ITEMS

3.0 General Technical Requirements

3.0.1 The hardware will be provided by the Purchaser upon the written request of the Supplier for the installation of the System developed in the framework of this assignment. Below are presented the specifications of the hardware which is available:

PAC system must be able to perform corresponding functions according to the hardware requirements presented below:

- Operating System – Red Hat
- Database – Oracle
- Programming language – Java (*current PAC solution is based on Java platform*)
- RAM – 160 GB

- CPU – 64 core
- DISK for application – 900 GB
- DISK for database – should be additionally discussed with the SRC and development team.

3.1 Computing Hardware Specifications

RAM - 4 GB

CPU - 2 CORE, 2,4 Ghz

HDD - 128 GB

Lan - 1 GB

Monitor resolution - HD (1920 x 1080)

Operation systems - Windows 7, 10

Server module: In this section of information system minimum requirements of hardware and software must be met.

RAM – 16 GB

CPU - 16 CORE, 3Ghz

HDD – 100 GB

Lan – 1 GB

3.2 Network and Communications Specifications *[If this has to be specified; As applicable]*

3.2.1 Local Area Network:

Protocols support – TCP/IP

Performance Levels – 1gbps

Fault tolerance – supported

3.2.2 Wide-Area Network:

3.2.2.1 Protocols support – TCP/IP

Performance Levels – 1gbps

Fault tolerance – supported

3.2 Standard Software Specifications

3.2.1 System Software and System-Management Utilities:

Processing unit type 1:

Operating system – Linux Red Hat (last version)

Backup – only data backup, passive/standby in second DC

Optimization – code optimization

Anti-virus – none

System administration – none for os (needed only for software)

Maintenance - none for os (needed only for software)

Troubleshooting - none for os (needed only for software)

3.2.2 Networking and Communications Software:

Protocols – TCP/IP

Media and equipment to be supported – copper / optic and Ethernet switches and routers

Management and administration features – none for network infrastructure

Security and failure management features – none for network infrastructure

3.2.3 General-Purpose Software: *none*

3.2.4 Database Software and Development Tools:

Database – Oracle (management tools for Oracle)

Development tools and environments – none

3.2.5 Business Application Software: *none*

E. TESTING AND QUALITY ASSURANCE REQUIREMENTS

4. Implementation of testing

4.1

The Software must be tested in the Supplier's test environment by the Supplier before installation, and then localized in the Purchaser's test environment. After final testing by the Purchaser, the tested part of the software will be transferred to the Purchaser's production server.

Not later than on the 300th calendar day after the conclusion of the contract, the Supplier must submit the version of the system that ensures the full functionality of the entire set of requirements. Completeness implies access to a version of the working system without blocking or critical errors for testing by the Purchaser. After receiving approval from the Purchaser, it must be implemented in the real environment and localized on all workstations.

For testing each function of the system, an appropriate test plan shall be created that defines the sequence of testing steps and activities according to the system's use cases and scenarios.

When any function is changed, the corresponding test plan must also be changed to perform retesting.

Defects identified during system acceptance testing and operation must be recorded in the Supplier's system for assigning tasks, errors, improvements and tracking their progress (for example, Jira, RedMine, OpenProject or other similar system), to which access must be granted to the relevant employees of the RA Tax Administration. Each such entry will generate an electronic ticket, which will include a detailed description of the problem/defect, steps to reproduce, and other additional information (eg, solution proposal, link to document).

The results of the system acceptance test will be classified according to the following principle:

Blocker	A blocking error that causes the system to fail, as a result of which further work with the system or its basic functions becomes impossible.
Critical	A critical error that causes the system's business logic to be incorrect, has a security issue, causes a server crash, etc.
Major	The main part of the business logic does not work correctly, the defect is not critical, it is possible to continue working with the system under test.
Minor	A minor bug that doesn't break the business logic of the part under test, an obvious UI issue.
Trivial	A trivial problem that does not involve business logic, a poorly reproducible bug that is mostly invisible from the user interface, a problem with third-party libraries, services that has no impact on the overall quality of the system.

As a result of testing, the systems will not be accepted by the Purchaser in the following cases:

- Presence of any Blocker error,
- Presence of any critical error,
- Presence of 2 or more Major errors, or 1 Major and 4 or more Minor errors, or 1 Major and 7 or more Trivial errors
- Knowledge of 5 or more Minor errors or 4 Minor and 5 or more Trivial errors
- Knowledge of 10 or more Trivial errors
- Presence of an error in another system or another function and/or feature of the same system as a result of an update in the real environment.

At a minimum, the following types of functional and non-functional testing should be used for system testing:

Test Type	Aim
Code testing	Implemented by software engineers during the implementation of a software unit.
Unit testing	Applies a ready-made single unit for sub-function verification.
Integration testing	Addressed to identify issues arising from integration with various subsystems and third-party systems.
Regression testing	Performed during the introduction of a new software unit or new system function to exclude defects in previous functions resulting from new additions.
Performance testing	Identifies system responses to non-standard and unanticipated situations, as well as system productivity issues caused by interactions with third-party systems.
Load testing	The goal is to find out the capabilities of the system during concurrent jobs of large numbers of users or large data flows.
Compatibility testing	Evaluates system compatibility with various computing environments, including operating system, hardware, network bandwidth, databases, and more.
Security testing	Checks the security of the system, the security and validity of access to the data exchanged and stored there.

Data leak testing	Checks the exclusion of data leakage from the system.
Vulnerability testing	The vulnerability of the system is assessed.
User Acceptance Testing (UAT)	Performed by the Project Principal/Beneficiary with the assistance of the Supplier's business analysts to validate the full functionality of the system. Usually performed before the full release of the overall system or any of its subsystems.

4.2

The Software must be tested in the Supplier's test environment (hereinafter called “testing system”) by the Supplier before installation, and then localized in the Purchaser's test environment. After final testing by the Purchaser, the tested part of the software will be transferred to the Purchaser's production server.

Not later than on the 300th calendar day after the conclusion of the contract, the Supplier must submit the version of the system that ensures the full functionality of the entire set of requirements. Completeness implies access to a version of the working system without blocking or critical errors for testing by the Purchaser. After receiving approval from the Purchaser, it must be implemented in the real environment and localized on all workstations.

For testing each function of the system, an appropriate test plan shall be created that defines the sequence of testing steps and activities according to the system's use cases and scenarios.

When any function is changed, the corresponding test plan must also be changed to perform retesting.

Defects identified during system acceptance testing and operation must be recorded in the Supplier's system for assigning tasks, errors, improvements and tracking their progress (for example, Jira, RedMine, OpenProject or other similar system), to which access must be granted to the relevant employees of the RA Tax Administration. Each such entry will generate an electronic ticket, which will include a detailed description of the problem/defect, steps to reproduce, and other additional information (eg, solution proposal, link to document).

The results of the system acceptance test will be classified according to the following principle:

Blocker	A blocking error that causes the system to fail, as a result of which further work with the system or its basic functions becomes impossible.
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Critical	A critical error that causes the system's business logic to be incorrect, has a security issue, causes a server crash, etc.
Major	The main part of the business logic does not work correctly, the defect is not critical, it is possible to continue working with the system under test.
Minor	A minor bug that doesn't break the business logic of the part under test, an obvious UI issue.
Trivial	A trivial problem that does not involve business logic, a poorly reproducible bug that is mostly invisible from the user interface, a problem with third-party libraries, services that has no impact on the overall quality of the system.

As a result of testing, the systems will not be accepted by the Purchaser in the following cases:

- Presence of any Blocker error,
- Presence of any critical error,
- Presence of 2 or more Major errors, or 1 Major and 4 or more Minor errors, or 1 Major and 7 or more Trivial errors
- Knowledge of 5 or more Minor errors or 4 Minor and 5 or more Trivial errors
- Knowledge of 10 or more Trivial errors
- Presence of an error in another system or another function and/or feature of the same system as a result of an update in the real environment.

At a minimum, the following types of functional and non-functional testing should be used for system testing:

Test Type	Aim
Code testing	Implemented by software engineers during the implementation of a software unit.
Unit testing	Applies a ready-made single unit for sub-function verification.
Integration testing	Addressed to identify issues arising from integration with various subsystems and third-party systems.

Regression testing	Performed during the introduction of a new software unit or new system function to exclude defects in previous functions resulting from new additions.
Performance testing	Identifies system responses to non-standard and unanticipated situations, as well as system productivity issues caused by interactions with third-party systems.
Load testing	The goal is to find out the capabilities of the system during concurrent jobs of large numbers of users or large data flows.
Compatibility testing	Evaluates system compatibility with various computing environments, including operating system, hardware, network bandwidth, databases, and more.
Security testing	Checks the security of the system, the security and validity of access to the data exchanged and stored there.
Data leak testing	Checks the exclusion of data leakage from the system.
Vulnerability testing	The vulnerability of the system is assessed.
User Acceptance Testing (UAT)	Performed by the Project Principal/Beneficiary with the assistance of the Supplier's business analysts to validate the full functionality of the system. Usually performed before the full release of the overall system or any of its subsystems.

F. SERVICE SPECIFICATIONS

5.1 Warranty Defect Repair

5.1.1 The Supplier **MUST** provide the following services under the Contract or, as appropriate under separate contracts (as specified in the bidding documents).

5.1.1.1 Warranty Defect Repair Service:

Supplier shall provide 12 months (equivalent to 52 weeks) warranty service for installed and accepted software. Warranty Period shall commence from the date of Operational Acceptance of the System and shall extend for twelve (12) months (equivalent to 52 weeks). During warranty service, all current defects must be eliminated at the expense of and through the Supplier.

System operating hours are 9:00 AM to 6:00 PM Monday through Saturday, excluding holidays. If necessary, in order to ensure uninterrupted operation of the system, the Supplier will also carry out extended maintenance, receiving a notification about this from the Purchaser.

Software maintenance should at least include:

- adjustments to the System per purchaser's request,
- implementation of non-extensive changes necessary to ensure the uninterrupted operation of systems (non-extensive software changes of systems, which are related to legislative changes, as well as to the solution of individual issues of tax administration),
- Server maintenance.
 - updating and fixing system changes,
 - provision of clarifications on issues arising in terms of software at specialized groups providing advice and technical support to system users,
 - provision of advice on the organization of ensuring the integrity and security of the information contained in the servers,
- setting up and maintenance of the database (DB).
 - Implementation of TS software updates,
 - Software maintenance and archiving organization consulting,
 - Consulting on the settlement of problems related to TS, and if necessary, intervention to eliminate the problems,
 - Consulting on TS optimization issues.
- Providing advice on securing servers and channels, as well as countering unauthorized intrusions, virus threats, installation of unauthorized programs and other unauthorized activities on users' computers,
- provision of advice on the regulation and maintenance of the data exchange network between the systems and its users,

- provision of advice on the regulation and maintenance of equipment that ensures uninterrupted operation of systems,
- investigating and fixing errors/defects in systems, including providing temporary solutions and bypassing defects until systems are fixed and updated;
- development, testing, installation of updates,
- provision and installation of new versions of software, as well as updating relevant documents and materials,
- setting up systems when their inoperability is attributed to software defects or errors;
- support for recovery and correction of lost, damaged files, correction of incorrect data,
- provision of other advice,
- creation and integration of new modules as needed.

5.2 Technical Support

Warranty Defect Repair

- 5.2.1 The Supplier MUST provide the following services under the Contract or, as appropriate under separate contracts (as specified in the bidding documents).

5.2.1.1 Warranty Defect Repair Service:

Supplier shall provide 12 months (equivalent to 52 weeks) warranty service for installed and accepted software. Warranty Period shall commence from the date of Operational Acceptance of the System and shall extend for twelve (12) months. During warranty service, all current defects must be eliminated at the expense of and through the Supplier.

System operating hours are 9:00 AM to 6:00 PM Monday through Saturday, excluding holidays. If necessary, in order to ensure uninterrupted operation of the system, the Supplier will also carry out extended maintenance, receiving a notification about this from the Purchaser.

Software maintenance should at least include:

- adjustments to the System per purchaser's request,
- implementation of non-extensive changes necessary to ensure the uninterrupted operation of systems (non-extensive software changes of systems, which are related to legislative changes, as well as to the solution of individual issues of tax administration),
- Server maintenance.
 - updating and fixing system changes,
 - provision of clarifications on issues arising in terms of software at specialized groups providing advice and technical support to system users,

- provision of advice on the organization of ensuring the integrity and security of the information contained in the servers,
- setting up and maintenance of the database (DB).
 - Implementation of TS software updates,
 - Software maintenance and archiving organization consulting,
 - Consulting on the settlement of problems related to TS, and if necessary, intervention to eliminate the problems,
 - Consulting on TS optimization issues.
- Providing advice on securing servers and channels, as well as countering unauthorized intrusions, virus threats, installation of unauthorized programs and other unauthorized activities on users' computers,
- provision of advice on the regulation and maintenance of the data exchange network between the systems and its users,
- provision of advice on the regulation and maintenance of equipment that ensures uninterrupted operation of systems,
- investigating and fixing errors/defects in systems, including providing temporary solutions and bypassing defects until systems are fixed and updated;
- development, testing, installation of updates,
- provision and installation of new versions of software, as well as updating relevant documents and materials,
- setting up systems when their inoperability is attributed to software defects or errors;
- support for recovery and correction of lost, damaged files, correction of incorrect data,
- provision of other advice,
- creation and integration of new modules as needed.

2. Implementation Schedule

A. IMPLEMENTATION SCHEDULE TABLE						
1	2	3	4	5	6	7
Line Item No.	Subsystem / Component / Item	Start/Commencement date (weeks from Effectiveness)	Delivery (Proposer to specify in the Preliminary Project Plan)	Final Delivery/ Installation (weeks from Effective Date)	Acceptance (weeks from Effective Date)	Liquidated Damages Milestone¹⁸
0	Project plan		1	2	2	No
1	Phase 1: Study of the Operating System, Developing Technical Documentation of The System	1		2	3	
2	Study of operating system and database, analysis of system requirements		1	2		No
3	Development of the basic architecture for the stamp duty system, including the creation of prototype		1	2		No

¹⁸ Liquidated Damages will be applied in the event the delay of the deliverables that the Supplier fails to submit by its respective due date specified under Column No 6 “Final Delivery/ Installation”.

A. IMPLEMENTATION SCHEDULE TABLE

1	2	3	4	5	6	7
Line Item No.	Subsystem / Component / Item	Start/Commencement date (weeks from Effectiveness)	Delivery (Proposer to specify in the Preliminary Project Plan)	Final Delivery/ Installation (weeks from Effective Date)	Acceptance (weeks from Effective Date)	Liquidated Damages Milestone ¹⁸
4	Creation of stamp duty register, study of reporting forms, preparation of technical tasks		1	3		No
5	Development of forms and logic and design of personal stamp duty and stamp duty statements for individuals, development of logic for accounting of obligations in case of reorganization, preparation of technical requirements		1	3		Yes
6	Acceptance of Phase 1	2			3	
7	Phase 2: Development, Deployment and Customization	4		4	8	
8	Planning and operating reporting forms in a test system		4	6		No
9	Programming of the obligation accounting mechanism in the stamp duty accounts and stamp duty statements for individuals subsystems, implementation of the necessary changes in the technical requirements, operation of the subsystem in the test system		4	8		No
10	The connection of personal stamp duty accounts and stamp duty statements for individuals with Taxpayer 3 system, the electronic account submission system of the unified system for		4	10		No

A. IMPLEMENTATION SCHEDULE TABLE

1	2	3	4	5	6	7
Line Item No.	Subsystem / Component / Item	Start/Commencement date (weeks from Effectiveness)	Delivery (Proposer to specify in the Preliminary Project Plan)	Final Delivery/ Installation (weeks from Effective Date)	Acceptance (weeks from Effective Date)	Liquidated Damages Milestone ¹⁸
	natural persons, preparation of technical tasks, planning, operation in the test system					
11	Development of a mechanism for refunding overpayments, drawing up technical requirements		4	8		Yes
12	Acceptance of Phase 2	4			8	
13	Phase 3: Integration and data exchange	6		8	10	
14	Communication of the system with the Compensation Fund (ZINAPAH) and other departments, preparation of technical tasks, planning, operation in the test system		7	8		No
15	Testing of stamp duty accounts, stamp duty statements for individuals, Taxpayer 3 system, the electronic account submission system, unified system of natural persons and other sub-systems		6	8		No
16	Development of a mechanism for refunding the overpaid amounts, planning the data exchange process with the Treasury, implementation in the test system		6	8		Yes
17	Acceptance of Phase 3	8			10	

A. IMPLEMENTATION SCHEDULE TABLE

1	2	3	4	5	6	7
Line Item No.	Subsystem / Component / Item	Start/Commencement date (weeks from Effectiveness)	Delivery (Proposer to specify in the Preliminary Project Plan)	Final Delivery/ Installation (weeks from Effective Date)	Acceptance (weeks from Effective Date)	Liquidated Damages Milestone ¹⁸
18	Phase 4: System Interoperability and Technology Transfer	8		9	12	
19	Data migration from an existing system to a new system		6	9		No
20	Testing the stamp duty system		4	8		No
21	Launching of the stamp duty system		5	6		No
22	Commissioning and operation of the stamp duty system		6	8		No
23	Delivery of user manuals and system administration documents (including transfer of source codes, requirements documentation, including business rules, use cases and user stories. Software architecture documentation, designed APIs, and diagrammatic representation of the overall system and underlying infrastructure, Maintenance documentation, describing limitations and known problems within the system and implemented solutions. Dependencies between system components shall also be presented in this document. User manuals, including end user manual, system installation and administration guide, etc.)		8	10	12	Yes

A. IMPLEMENTATION SCHEDULE TABLE

1	2	3	4	5	6	7
Line Item No.	Subsystem / Component / Item	Start/Commencement date (weeks from Effectiveness)	Delivery (Proposer to specify in the Preliminary Project Plan)	Final Delivery/ Installation (weeks from Effective Date)	Acceptance (weeks from Effective Date)	Liquidated Damages Milestone ¹⁸
24	Acceptance of Phase 4	10			12	
25	Operational Acceptance of the System as an integrated whole	10		14		Yes
26	Warranty/Technical Support	14		66	66	

B. SITE TABLE(S)

Site Code	Site	City / Town / Region	Primary Street Address	Drawing Reference No. (if any)
TC	STATE REVENUE COMMITTEE (TRAINING CENTER)	Armenia, 0051, Yerevan	Aharonyan St., 12/3 Building	

C. TABLE OF HOLIDAYS AND OTHER NON-WORKING DAYS

Month	2024-2025
1 (January)	1,2, 6, 28
2 (February)	
3 (March)	8
4 (April)	24
5 (May)	1, 9, 28
6 (June)	
7 (July)	5
8 (August)	
9 (September)	21
10 (October)	
11 (November)	
12 (December)	31

3. System Inventory Tables

SYSTEM INVENTORY TABLE (SUPPLY AND INSTALLATION COST ITEMS) PSMP4-GO-RFB-2.1.8

Line Item/ Component No.	Subsystem / Component / Item	Additional Site Information (e.g., building, floor, department, etc.)	Quantity
0	Project plan	TC	x
1	Phase 1: Study of the Operating System, Developing Technical Documentation of the System	TC	x
2	Study of operating system and database, analysis of system requirements	TC	x
3	Development of the basic architecture for the stamp duty system, including the creation of prototype	TC	x
4	Creation of stamp duty register, study of reporting forms, preparation of technical tasks	TC	x
5	Development of forms and logic and design of personal stamp duty and stamp duty statements for individuals, development of logic for accounting of obligations in case of reorganization, preparation of technical requirements	TC	x
6	Acceptance of Phase 1	TC	x
7	Phase 2: Development, Deployment and Customization	TC	x
8	Planning and operating reporting forms in a test system	TC	x
9	Programming of the obligation accounting mechanism in the stamp duty accounts and stamp duty statements for individuals subsystems, implementation of the necessary changes in the technical requirements, operation of the subsystem in the test system	TC	x
10	The connection of personal stamp duty accounts and stamp duty statements for individuals with Taxpayer 3 system, the electronic account submission system of the unified system for natural persons, preparation of technical tasks, planning, operation in the test system	TC	x
11	Development of a mechanism for refunding overpayments, drawing up technical requirements	TC	x
12	Acceptance of Phase 2	TC	x
13	Phase 3: Integration and data exchange	TC	x

14	Communication of the system with the Compensation Fund (ZINAPAH) and other departments, preparation of technical tasks, planning, operation in the test system	TC	x
15	Testing of stamp duty accounts, stamp duty statements for individuals, Taxpayer 3 system, the electronic account submission system, unified system of natural persons and other sub-systems	TC	x
16	Development of a mechanism for refunding the overpaid amounts, planning the data exchange process with the Treasury, implementation in the test system	TC	x
17	Acceptance of Phase 3	TC	x
18	Phase 4: System Interoperability and Technology Transfer		
19	Data migration from an existing system to a new system	TC	x
20	Testing the stamp duty system	TC	x
21	Launching of the stamp duty system	TC	x
22	Commissioning and operation of the stamp duty system	TC	x
23	Delivery of user manuals and system administration documents (including transfer of source codes, requirements documentation, including business rules, use cases and user stories. Software architecture documentation, designed APIs, and diagrammatic representation of the overall system and underlying infrastructure, Maintenance documentation, describing limitations and known problems within the system and implemented solutions. Dependencies between system components shall also be presented in this document. User manuals, including end user manual, system installation and administration guide, etc.)	TC	x
24	Acceptance of Phase 4	TC	x
25	Operational Acceptance of the System as an integrated whole (Deliverables: Completion Report)	TC	x
		TC	x

PART 3 – CONDITIONS OF CONTRACT AND CONTRACT FORMS

SECTION VIII - GENERAL CONDITIONS OF CONTRACT

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General Conditions of Contract

A. CONTRACT AND INTERPRETATION

1. Definitions

1.1 In this Contract, the following terms shall be interpreted as indicated below.

(a) contract elements

- (i) **“Contract”** means the Contract Agreement entered into between the Purchaser and the Supplier, together with the Contract Documents referred to therein. The Contract Agreement and the Contract Documents shall constitute the Contract, and the term “the Contract” shall in all such documents be construed accordingly.
- (ii) **“Contract Documents”** means the documents specified in Article 1.1 (Contract Documents) of the Contract Agreement (including any amendments to these Documents).
- (iii) **“Contract Agreement”** means the agreement entered into between the Purchaser and the Supplier using the form of Contract Agreement contained in the Sample Contractual Forms Section of the request for proposals documents and any modifications to this form agreed to by the Purchaser and the Supplier. The date of the Contract Agreement shall be recorded in the signed form.
- (iv) **“GCC”** means the General Conditions of Contract.
- (v) **“SCC”** means the Special Conditions of Contract.
- (vi) **“Technical Requirements”** means the Technical Requirements in Section VII of the request for proposals documents.
- (vii) **“Implementation Schedule”** means the Implementation Schedule in Section VII of the request for proposals documents.
- (viii) **“Contract Price”** means the price or prices defined in Article 2 (Contract Price and Terms of Payment) of the Contract Agreement.

- (ix) **“Procurement Regulations”** refers to the edition **specified in the SCC** of the World Bank **“Procurement Regulations for IPF Borrowers”**.
 - (x) **“request for proposals document”** refers to the document issued by the Purchaser on the subject procurement process.
 - (xi) **“Sexual Exploitation and Abuse” “(SEA)”** means the following:

Sexual Exploitation is defined as any actual or attempted abuse of position of vulnerability, differential power or trust, for sexual purposes, including, but not limited to, profiting monetarily, socially or politically from the sexual exploitation of another.

Sexual Abuse is defined as the actual or threatened physical intrusion of a sexual nature, whether by force or under unequal or coercive conditions.
 - (xii) **“Sexual Harassment” “(SH)”** is defined as unwelcome sexual advances, requests for sexual favors, and other verbal or physical conduct of a sexual nature by the Supplier’s Personnel with other Supplier’s or Purchaser’s personnel.
- (b) entities
- (i) **“Purchaser”** means the entity purchasing the Information System, as **specified in the SCC**.
 - (ii) **“Purchaser’s Personnel”** means all staff, labor and other employees of the Contract Manager and of the Purchaser engaged in fulfilling the Purchaser’s obligations under the Contract; and any other personnel identified as Purchaser’s Personnel, by a notice from the Purchaser to the Supplier;
 - (iii) **“Contract Manager”** means the person **named as such in the SCC** or otherwise appointed by the Purchaser in the manner provided in GCC Clause 18.1 (Contract Manager) to perform the duties delegated by the Purchaser.
 - (iv) **“Supplier”** means the firm or Joint Venture whose proposal to **perform the Contract** has

been accepted by the Purchaser and is named as such in the Contract Agreement.

- (v) **“Supplier’s Representative”** means any person **nominated** by the Supplier and named as such in the Contract Agreement or otherwise approved by the Purchaser in the manner provided in GCC Clause 18.2 (Supplier’s Representative) to perform the duties delegated by the Supplier.
 - (vi) **“Supplier’s Personnel”** means all personnel whom the Supplier utilizes in the execution of the Contract, including the staff, labor and other employees of the Supplier and each Subcontractor; and any other personnel assisting the Supplier in the execution of the Contract;
 - (vii) **“Subcontractor”** means any firm to whom any of the obligations of the Supplier, including preparation of any design or supply of any Information Technologies or other Goods or Services, is subcontracted directly or indirectly by the Supplier.
 - (viii) **“Adjudicator”** means the person named in Appendix 2 of the Contract Agreement, **appointed** by agreement between the Purchaser and the Supplier to make a decision on or to settle any dispute between the Purchaser and the Supplier referred to him or her by the parties, pursuant to GCC Clause 43.1 (Adjudication).
 - (ix) **“The World Bank”** (also called “The Bank”) means the International Bank for Reconstruction and Development (IBRD) or the International Development Association (IDA).
- (c) scope
- (i) **“Information System,”** also called “the System,” means all the Information Technologies, Materials, and other Goods to be supplied, installed, integrated, and made operational (exclusive of the Supplier’s Equipment), together with the Services to be carried out by the Supplier under the Contract.
 - (ii) **“Subsystem”** means any subset of the System identified as such in the Contract that may be supplied, installed, tested, and commissioned

individually before Commissioning of the entire System.

- (iii) “Information Technologies” means all information processing and communications-related hardware, Software, supplies, and consumable items that the Supplier is required to supply and install under the Contract.
- (iv) “Goods” means all equipment, machinery, furnishings, Materials, and other tangible items that the Supplier is required to supply or supply and install under the Contract, including, without limitation, the Information Technologies and Materials, but excluding the Supplier’s Equipment.
- (v) “Services” means all technical, logistical, management, and any other Services to be provided by the Supplier under the Contract to supply, install, customize, integrate, and make operational the System. Such Services may include, but are not restricted to, activity management and quality assurance, design, development, customization, documentation, transportation, insurance, inspection, expediting, site preparation, installation, integration, training, data migration, Pre-commissioning, Commissioning, maintenance, and technical support.
- (vi) “The Project Plan” means the document to be developed by the Supplier and approved by the Purchaser, pursuant to GCC Clause 19, based on the requirements of the Contract and the Preliminary Project Plan included in the Supplier’s proposal. The “Agreed Project Plan” is the version of the Project Plan approved by the Purchaser, in accordance with GCC Clause 19.2. Should the Project Plan conflict with the Contract in any way, the relevant provisions of the Contract, including any amendments, shall prevail.
- (vii) “Software” means that part of the System which are instructions that cause information processing Subsystems to perform in a specific manner or execute specific operations.

- (viii) “System Software” means Software that provides the operating and management instructions for the underlying hardware and other components, and is identified as such in Appendix 4 of the Contract Agreement and such other Software as the parties may agree in writing to be Systems Software. Such System Software includes, but is not restricted to, micro-code embedded in hardware (i.e., “firmware”), operating systems, communications, system and network management, and utility software.
- (ix) “General-Purpose Software” means Software that supports general-purpose office and software development activities and is identified as such in Appendix 4 of the Contract Agreement and such other Software as the parties may agree in writing to be General-Purpose Software. Such General-Purpose Software may include, but is not restricted to, word processing, spreadsheet, generic database management, and application development software.
- (x) “Application Software” means Software formulated to perform specific business or technical functions and interface with the business or technical users of the System and is identified as such in Appendix 4 of the Contract Agreement and such other Software as the parties may agree in writing to be Application Software.
- (xi) “Standard Software” means Software identified as such in Appendix 4 of the Contract Agreement and such other Software as the parties may agree in writing to be Standard Software.
- (xii) “Custom Software” means Software identified as such in Appendix 4 of the Contract Agreement and such other Software as the parties may agree in writing to be Custom Software.
- (xiii) “Source Code” means the database structures, dictionaries, definitions, program source files, and any other symbolic representations necessary for the compilation, execution, and subsequent maintenance of the Software

(typically, but not exclusively, required for Custom Software).

- (xiv) “Materials” means all documentation in printed or printable form and all instructional and informational aides in any form (including audio, video, and text) and on any medium, provided to the Purchaser under the Contract.
 - (xv) “Standard Materials” means all Materials not specified as Custom Materials.
 - (xvi) “Custom Materials” means Materials developed by the Supplier at the Purchaser’s expense under the Contract and identified as such in Appendix 5 of the Contract Agreement and such other Materials as the parties may agree in writing to be Custom Materials. Custom Materials includes Materials created from Standard Materials.
 - (xvii) “Intellectual Property Rights” means any and all copyright, moral rights, trademark, patent, and other intellectual and proprietary rights, title and interests worldwide, whether vested, contingent, or future, including without limitation all economic rights and all exclusive rights to reproduce, fix, adapt, modify, translate, create derivative works from, extract or re-utilize data from, manufacture, introduce into circulation, publish, distribute, sell, license, sublicense, transfer, rent, lease, transmit or provide access electronically, broadcast, display, enter into computer memory, or otherwise use any portion or copy, in whole or in part, in any form, directly or indirectly, or to authorize or assign others to do so.
 - (xviii) “Supplier’s Equipment” means all equipment, tools, apparatus, or things of every kind required in or for installation, completion and maintenance of the System that are to be provided by the Supplier, but excluding the Information Technologies, or other items forming part of the System.
- (d) activities
- (i) “Delivery” means the transfer of the Goods from the Supplier to the Purchaser in accordance with

- the current edition Incoterms specified in the Contract.
- (ii) “Installation” means that the System or a Subsystem as specified in the Contract is ready for Commissioning as provided in GCC Clause 26 (Installation).
 - (iii) “Pre-commissioning” means the testing, checking, and any other required activity that may be specified in the Technical Requirements that are to be carried out by the Supplier in preparation for Commissioning of the System as provided in GCC Clause 26 (Installation).
 - (iv) “Commissioning” means operation of the System or any Subsystem by the Supplier following Installation, which operation is to be carried out by the Supplier as provided in GCC Clause 27.1 (Commissioning), for the purpose of carrying out Operational Acceptance Test(s).
 - (v) “Operational Acceptance Tests” means the tests specified in the Technical Requirements and Agreed Project Plan to be carried out to ascertain whether the System, or a specified Subsystem, is able to attain the functional and performance requirements specified in the Technical Requirements and Agreed Project Plan, in accordance with the provisions of GCC Clause 27.2 (Operational Acceptance Test).
 - (vi) “Operational Acceptance” means the acceptance by the Purchaser of the System (or any Subsystem(s) where the Contract provides for acceptance of the System in parts), in accordance with GCC Clause 27.3 (Operational Acceptance).
- (e) place and time
- (i) “Purchaser’s Country” is the **country named in the SCC**.
 - (ii) “Supplier’s Country” is the country in which the Supplier is legally organized, as named in the Contract Agreement.
 - (iii) **Unless otherwise specified in the SCC** “Project Site(s)” means the place(s) in the Site Table in the

Technical Requirements Section for the supply and installation of the System.

- (iv) “Eligible Country” means the countries and territories eligible for participation in procurements financed by the World Bank as defined in the Procurement Regulations.
- (v) “Day” means calendar day of the Gregorian Calendar.
- (vi) “Week” means seven (7) consecutive Days, beginning the day of the week as is customary in the Purchaser’s Country.
- (vii) “Month” means calendar month of the Gregorian Calendar.
- (viii) “Year” means twelve (12) consecutive Months.
- (ix) “Effective Date” means the date of fulfillment of all conditions specified in Article 3 (Effective Date for Determining Time for Achieving Operational Acceptance) of the Contract Agreement, for the purpose of determining the Delivery, Installation, and Operational Acceptance dates for the System or Subsystem(s).
- (x) “Contract Period” is the time period during which this Contract governs the relations and obligations of the Purchaser and Supplier in relation to the System, as **unless otherwise specified in the SCC**, the Contract shall continue in force until the Information System and all the Services have been provided, unless the Contract is terminated earlier in accordance with the terms set out in the Contract.
- (xi) “Defect Liability Period” (also referred to as the “Warranty Period”) means the period of validity of the warranties given by the Supplier commencing at date of the Operational Acceptance Certificate of the System or Subsystem(s), during which the Supplier is responsible for defects with respect to the System (or the relevant Subsystem[s]) as provided in GCC Clause 29 (Defect Liability).
- (xii) “The Coverage Period” means the Days of the Week and the hours of those Days during which

maintenance, operational, and/or technical support services (if any) must be available.

- (xiii) The Post-Warranty Services Period” means the number of years **defined in the SCC** (if any), following the expiration of the Warranty Period during which the Supplier may be obligated to provide Software licenses, maintenance, and/or technical support services for the System, either under this Contract or under separate contract(s).

2. Contract Documents

- 2.1 Subject to Article 1.2 (Order of Precedence) of the Contract Agreement, all documents forming part of the Contract (and all parts of these documents) are intended to be correlative, complementary, and mutually explanatory. The Contract shall be read as a whole.

3. Interpretation

- 3.1 Governing Language

3.1.1 **Unless otherwise specified in the SCC**, all Contract Documents and related correspondence exchanged between Purchaser and Supplier shall be written in the language of the request for proposals document (English), and the Contract shall be construed and interpreted in accordance with that language.

3.1.2 If any of the Contract Documents or related correspondence are prepared in a language other than the governing language under GCC Clause 3.1.1 above, the translation of such documents into the governing language shall prevail in matters of interpretation. The originating party, with respect to such documents shall bear the costs and risks of such translation.

- 3.2 Singular and Plural

The singular shall include the plural and the plural the singular, except where the context otherwise requires.

- 3.3 Headings

The headings and marginal notes in the GCC are included for ease of reference and shall neither constitute a part of the Contract nor affect its interpretation.

- 3.4 Persons

Words importing persons or parties shall include firms, corporations, and government entities.

3.5 Incoterms

Unless inconsistent with any provision of the Contract, the meaning of any trade term and the rights and obligations of parties thereunder shall be as prescribed by the Incoterms

Incoterms means international rules for interpreting trade terms published by the International Chamber of Commerce (latest edition), 38 Cours Albert 1^{er}, 75008 Paris, France.

3.6 Entire Agreement

The Contract constitutes the entire agreement between the Purchaser and Supplier with respect to the subject matter of Contract and supersedes all communications, negotiations, and agreements (whether written or oral) of parties with respect to the subject matter of the Contract made prior to the date of Contract.

3.7 Amendment

No amendment or other variation of the Contract shall be effective unless it is in writing, is dated, expressly refers to the Contract, and is signed by a duly authorized representative of each party to the Contract.

3.8 Independent Supplier

The Supplier shall be an independent contractor performing the Contract. The Contract does not create any agency, partnership, joint venture, or other joint relationship between the parties to the Contract.

Subject to the provisions of the Contract, the Supplier shall be solely responsible for the manner in which the Contract is performed. All employees, representatives, or Subcontractors engaged by the Supplier in connection with the performance of the Contract shall be under the complete control of the Supplier and shall not be deemed to be employees of the Purchaser, and nothing contained in the Contract or in any subcontract awarded by the Supplier shall be construed to create any contractual relationship between any such employees, representatives, or Subcontractors and the Purchaser.

3.9 Joint Venture

If the Supplier is a Joint Venture of two or more firms, all such firms shall be jointly and severally bound to the Purchaser for the fulfillment of the provisions of the Contract and shall designate one of such firms to act as a leader with authority to bind the Joint Venture. The composition or

constitution of the Joint Venture shall not be altered without the prior consent of the Purchaser.

3.10 Nonwaiver

3.10.1 Subject to GCC Clause 3.10.2 below, no relaxation, forbearance, delay, or indulgence by either party in enforcing any of the terms and conditions of the Contract or the granting of time by either party to the other shall prejudice, affect, or restrict the rights of that party under the Contract, nor shall any waiver by either party of any breach of Contract operate as waiver of any subsequent or continuing breach of Contract.

3.10.2 Any waiver of a party's rights, powers, or remedies under the Contract must be in writing, must be dated and signed by an authorized representative of the party granting such waiver, and must specify the right and the extent to which it is being waived.

3.11 Severability

If any provision or condition of the Contract is prohibited or rendered invalid or unenforceable, such prohibition, invalidity, or unenforceability shall not affect the validity or enforceability of any other provisions and conditions of the Contract.

3.12 Country of Origin

"Origin" means the place where the Information Technologies, Materials, and other Goods for the System were produced or from which the Services are supplied. Goods are produced when, through manufacturing, processing, Software development, or substantial and major assembly or integration of components, a commercially recognized product results that is substantially different in basic characteristics or in purpose or utility from its components. The Origin of Goods and Services is distinct from the nationality of the Supplier and may be different.

4. Notices

4.1 Unless otherwise stated in the Contract, all notices to be given under the Contract shall be in writing and shall be sent, pursuant to GCC Clause 4.3 below, by personal delivery, airmail post, special courier, facsimile, electronic mail, or other electronic means, with the following provisions.

4.1.1 Any notice sent by facsimile, electronic mail, or EDI shall be confirmed within two (2) days after dispatch

by notice sent by airmail post or special courier, except as otherwise specified in the Contract.

- 4.1.2 Any notice sent by airmail post or special courier shall be deemed (in the absence of evidence of earlier receipt) to have been delivered ten (10) days after dispatch. In proving the fact of dispatch, it shall be sufficient to show that the envelope containing such notice was properly addressed, stamped, and conveyed to the postal authorities or courier service for transmission by airmail or special courier.
- 4.1.3 Any notice delivered personally or sent by facsimile, electronic mail, or EDI shall be deemed to have been delivered on the date of its dispatch.
- 4.1.4 Either party may change its postal, facsimile, electronic mail, or EDI addresses for receipt of such notices by ten (10) days' notice to the other party in writing.
- 4.2 Notices shall be deemed to include any approvals, consents, instructions, orders, certificates, information and other communication to be given under the Contract.
- 4.3 Pursuant to GCC Clause 18, notices from/to the Purchaser are normally given by, or addressed to, the Contract Manager, while notices from/to the Supplier are normally given by, or addressed to, the Supplier's Representative, or in its absence its deputy if any. If there is no appointed Contract Manager or Supplier's Representative (or deputy), or if their related authority is limited by the SCC for GCC Clauses 18.1 or 18.2.2, or for any other reason, the Purchaser or Supplier may give and receive notices at their fallback addresses. The address of the Contract Manager and the fallback address of the Purchaser are as **specified in the SCC** or as subsequently established/amended. The address of the Supplier's Representative and the fallback address of the Supplier are as specified in Appendix 1 of the Contract Agreement or as subsequently established/amended.

- 5. Governing Law**
- 5.1 The Contract shall be governed by and interpreted in accordance with the laws of the country **specified in the SCC**.
- 5.2 Throughout the execution of the Contract, the Supplier shall comply with the import of goods and services prohibitions in the Purchaser's Country when
- (a) as a matter of law or official regulations, the Borrower's country prohibits commercial relations with that country; or
 - (b) by an act of compliance with a decision of the United Nations Security Council taken under Chapter VII of the Charter of the United Nations, the Borrower's Country prohibits any import of goods from that country or any payments to any country, person, or entity in that country.
- 6. Fraud and Corruption**
- 6.1 The Bank requires compliance with the Bank's Anti-Corruption Guidelines and its prevailing sanctions policies and procedures as set forth in the WBG's Sanctions Framework, as set forth in the Appendix 1 to the GCC.
- 6.2 The Purchaser requires the Suppliers to disclose any commissions or fees that may have been paid or are to be paid to agents or any other party with respect to the procurement process or execution of the Contract. The information disclosed must include at least the name and address of the agent or other party, the amount and currency, and the purpose of the commission, gratuity or fee.

B. SUBJECT MATTER OF CONTRACT

- 7. Scope of the System**
- 7.1 Unless otherwise expressly **limited in the SCC** or Technical Requirements, the Supplier's obligations cover the provision of all Information Technologies, Materials and other Goods as well as the performance of all Services required for the design, development, and implementation (including procurement, quality assurance, assembly, associated site preparation, Delivery, Pre-commissioning, Installation, Testing, and Commissioning) of the System, in accordance with the plans, procedures, specifications, drawings, codes, and any other documents specified in the Contract and the Agreed Project Plan.
- 7.2 The Supplier shall, unless specifically excluded in the Contract, perform all such work and / or supply all such items

and Materials not specifically mentioned in the Contract but that can be reasonably inferred from the Contract as being required for attaining Operational Acceptance of the System as if such work and / or items and Materials were expressly mentioned in the Contract.

- 7.3 The Supplier's obligations (if any) to provide Goods and Services as implied by the Recurrent Cost tables of the Supplier's proposal, such as consumables, spare parts, and technical services (e.g., maintenance, technical assistance, and operational support), are as **specified in the SCC**, including the relevant terms, characteristics, and timings.

**8. Time for
Commencement
and Operational
Acceptance**

- 8.1 The Supplier shall commence work on the System within the period **specified in the SCC**, and without prejudice to GCC Clause 28.2, the Supplier shall thereafter proceed with the System in accordance with the time schedule specified in the Implementation Schedule and any refinements made in the Agreed Project Plan.
- 8.2 The Supplier shall achieve Operational Acceptance of the System (or Subsystem(s) where a separate time for Operational Acceptance of such Subsystem(s) is specified in the Contract) in accordance with the time schedule specified in the Implementation Schedule and any refinements made in the Agreed Project Plan, or within such extended time to which the Supplier shall be entitled under GCC Clause 40 (Extension of Time for Achieving Operational Acceptance).

9. Supplier's Responsibilities

9.1 The Supplier shall conduct all activities with due care and diligence, in accordance with the Contract and with the skill and care expected of a competent provider of information technologies, information systems, support, maintenance, training, and other related services, or in accordance with best industry practices. In particular, the Supplier shall provide and employ only technical personnel who are skilled and experienced in their respective callings and supervisory staff who are competent to adequately supervise the work at hand. The Supplier shall ensure that its Subcontractors carry out the work on the Information System in accordance with the Contract, including complying with relevant environmental and social requirements and the obligations set out in GCC Clause 9.9.

The Supplier shall at all times take all reasonable precautions to maintain the health and safety of the Supplier's Personnel employed for the execution of the Contract at the Project Site/s in the Purchaser's country where the Contract is executed.

If **required in the SCC**, the Supplier shall submit to the Purchaser for its approval a health and safety manual which has been specifically prepared for the Contract.

The health and safety manual shall be in addition to any other similar document required under applicable health and safety regulations and laws.

The health and safety manual shall set out any applicable health and safety requirement under the Contract,

(a) which may include:

- (i) the procedures to establish and maintain a safe working environment;
- (ii) the procedures for prevention, preparedness and response activities to be implemented in the case of an emergency event (i.e. an unanticipated incident, arising from natural or man-made hazards);
- (iii) the measures to be taken to avoid or minimize the potential for community exposure to water-borne, water-based, water-related, and vector-borne diseases,
- (iv) the measures to be implemented to avoid or minimize the spread of communicable diseases; and

(b) any other requirements stated in the Purchaser's Requirements.

- 9.2 The Supplier confirms that it has entered into this Contract on the basis of a proper examination of the data relating to the System provided by the Purchaser and on the basis of information that the Supplier could have obtained from a visual inspection of the site (if access to the site was available) and of other data readily available to the Supplier relating to the System as at the date twenty-eight (28) days prior to proposal submission. The Supplier acknowledges that any failure to acquaint itself with all such data and information shall not relieve its responsibility for properly estimating the difficulty or cost of successfully performing the Contract.
- 9.3 The Supplier shall be responsible for timely provision of all resources, information, and decision making under its control that are necessary to reach a mutually Agreed Project Plan (pursuant to GCC Clause 19.2) within the time schedule specified in the Implementation Schedule. Failure to provide such resources, information, and decision-making may constitute grounds for termination pursuant to GCC Clause 41.2.
- 9.4 The Supplier shall acquire in its name all permits, approvals, and/or licenses from all local, state, or national government authorities or public service undertakings in the Purchaser's Country that are necessary for the performance of the Contract, including, without limitation, visas for the Supplier's Personnel and entry permits for all imported Supplier's Equipment. The Supplier shall acquire all other permits, approvals, and/or licenses that are not the responsibility of the Purchaser under GCC Clause 10.4 and that are necessary for the performance of the Contract.
- 9.5 The Supplier shall comply with all laws in force in the Purchaser's Country. The laws will include all national, provincial, municipal, or other laws that affect the performance of the Contract and are binding upon the Supplier. The Supplier shall indemnify and hold harmless the Purchaser from and against any and all liabilities, damages, claims, fines, penalties, and expenses of whatever nature arising or resulting from the violation of such laws by the Supplier or its personnel, including the Subcontractors and their personnel, but without prejudice to GCC Clause 10.1. The Supplier shall not indemnify the Purchaser to the extent that such liability, damage, claims, fines, penalties, and

expenses were caused or contributed to by a fault of the Purchaser.

- 9.6 Any Information Technologies or other Goods and Services that will be incorporated in or be required for the System and other supplies shall have their Origin, as defined in GCC Clause 3.12, in a country that shall be an Eligible Country, as defined in GCC Clause 1.1 (e) (iv).
- 9.7 Pursuant to paragraph 2.2 e. of the Appendix 1 to the General Conditions of Contract, the Supplier shall permit and shall cause its agents (where declared or not), subcontractors, subconsultants, service providers, suppliers, and personnel, to permit, the Bank and/or persons appointed by the Bank to inspect the site and/or the accounts, records and other documents relating to the procurement process, selection and/or contract execution, and to have such accounts, records and other documents audited by auditors appointed by the Bank. The Supplier's and its Subcontractors' and subconsultants' attention is drawn to GCC Clause 6.1 (Fraud and Corruption) which provides, inter alia, that acts intended to materially impede the exercise of the Bank's inspection and audit rights constitute a prohibited practice subject to contract termination (as well as to a determination of ineligibility pursuant to the Bank's prevailing sanctions procedures).
- 9.8 The Supplier shall conform to the sustainable procurement contractual provisions, if and as **specified in the SCC**.

9.9 Code of Conduct

The Supplier shall have a Code of Conduct for the Supplier's Personnel employed for the execution of the Contract at the Project Site/s.

The Supplier shall take all necessary measures to ensure that each such personnel is made aware of the Code of Conduct including specific behaviors that are prohibited, and understands the consequences of engaging in such prohibited behaviors.

These measures include providing instructions and documentation that can be understood by such personnel, and seeking to obtain that person's signature acknowledging receipt of such instructions and/or documentation, as appropriate.

The Supplier shall also ensure that the Code of Conduct is visibly displayed in the Project Site/s as well as, as applicable, in areas outside the Project Site/s accessible to the local

community and any project affected people. The posted Code of Conduct shall be provided in languages comprehensible to the Supplier's Personnel, Purchaser's Personnel and the local community.

The Supplier's Management Strategy and Implementation Plans, if applicable, shall include appropriate processes for the Supplier to verify compliance with these obligations.

9.10 The Supplier shall, in all dealings with its labor and the labor of its Subcontractors currently employed on or connected with the Contract, pay due regard to all recognized festivals, official holidays, religious or other customs, and all local laws and regulations pertaining to the employment of labor.

9.11 The Supplier, including its Subcontractors, shall comply with all applicable safety obligations. The Supplier shall at all times take all reasonable precautions to maintain the health and safety of the Supplier's Personnel employed for the execution of Contract at the Project Site/s.

9.12 Training of Supplier's Personnel

The Supplier shall provide appropriate training to relevant Supplier's Personnel on any applicable environmental and social aspect of the Contract, including appropriate sensitization on prohibition of SEA, health and safety.

As stated in the Purchaser's Requirements or as instructed by the Contract Manager, the Supplier shall also allow appropriate opportunities for the relevant personnel to be trained on any applicable environmental and social aspects of the Contract by the Purchaser's Personnel and/or other personnel assigned by the Purchaser.

The Supplier shall provide training on SEA and SH, including its prevention, to any of its personnel who has a role to supervise other Supplier's Personnel.

9.13 Stakeholder engagements

The Supplier shall provide relevant contract- related information, as the Purchaser and/or Contract Manager may reasonably request to conduct contract stakeholder engagement. "Stakeholder" refers to individuals or groups who:

- (a) are affected or likely to be affected by the Contract;
and
- (b) may have an interest in the Contract.

The Supplier may also directly participate in contract stakeholder engagements, as the Purchaser and/or Contract Manager may reasonably request.

9.14 Forced Labor

The Supplier, including its Subcontractors, shall not employ or engage forced labour. Forced labour consists of any work or service, not voluntarily performed, that is exacted from an individual under threat of force or penalty, and includes any kind of involuntary or compulsory labour, such as indentured labour, bonded labour or similar labour-contracting arrangements.

No persons shall be employed or engaged who have been subject to trafficking. Trafficking in persons is defined as the recruitment, transportation, transfer, harbouring or receipt of persons by means of the threat or use of force or other forms of coercion, abduction, fraud, deception, abuse of power, or of a position of vulnerability, or of the giving or receiving of payments or benefits to achieve the consent of a person having control over another person, for the purposes of exploitation.

9.15 Child Labor

The Supplier, including its Subcontractors, shall not employ or engage a child under the age of 14 unless the national law specifies a higher age (the minimum age).

The Supplier, including its Subcontractors, shall not employ or engage a child between the minimum age and the age of 18 in a manner that is likely to be hazardous, or to interfere with, the child's education, or to be harmful to the child's health or physical, mental, spiritual, moral, or social development.

The Supplier, including its Subcontractors, shall only employ or engage children between the minimum age and the age of 18 after an appropriate risk assessment has been conducted by the Supplier with the Contract Manager's consent. The Supplier shall be subject to regular monitoring by the Contract Manager that includes monitoring of health, working conditions and hours of work.

Work considered hazardous for children is work that, by its nature or the circumstances in which it is carried out, is likely to jeopardize the health, safety, or morals of children. Such work activities prohibited for children include work:

- (a) with exposure to physical, psychological or sexual abuse;
- (b) underground, underwater, working at heights or in confined spaces;
- (c) with dangerous machinery, equipment or tools, or involving handling or transport of heavy loads;
- (d) in unhealthy environments exposing children to hazardous substances, agents, or processes, or to temperatures, noise or vibration damaging to health; or
- (e) under difficult conditions such as work for long hours, during the night or in confinement on the premises of the employer.

9.16 Non-Discrimination and Equal Opportunity

The Supplier shall not make decisions relating to the employment or treatment of personnel for the execution of the Contract on the basis of personal characteristics unrelated to inherent job requirements. The Supplier shall base the employment of personnel for the execution of the Contract on the principle of equal opportunity and fair treatment, and shall not discriminate with respect to any aspects of the employment relationship, including recruitment and hiring, compensation (including wages and benefits), working conditions and terms of employment, access to training, job assignment, promotion, termination of employment or retirement, and disciplinary practices.

Special measures of protection or assistance to remedy past discrimination or selection for a particular job based on the inherent requirements of the job shall not be deemed discrimination. The Supplier shall provide protection and assistance as necessary to ensure non-discrimination and equal opportunity, including for specific groups such as women, people with disabilities, migrant workers and children (of working age in accordance with GCC Clause 9.15).

9.17 Personnel Grievance Mechanism

The Supplier shall have a grievance mechanism for personnel employed in the execution of the Contract to raise workplace concerns. The grievance mechanism shall be proportionate to the nature, scale, risks and impacts of the Contract. The grievance mechanism may utilize existing grievance mechanisms, provided that they are properly

designed and implemented, address concerns promptly, and are readily accessible to such personnel.

9.18 Security of the Project Site

If stated in the SCC, the Supplier shall be responsible for the security at the Project Site/s including providing and maintaining at its own expense all lighting, fencing, and watching when and where necessary for the proper execution and the protection of the locations, or for the safety of the owners and occupiers of adjacent property and for the safety of the public.

In making security arrangements, the Supplier shall be guided by applicable laws and any other requirements that may be stated in the Purchaser's Requirements.

The Supplier shall (i) conduct appropriate background checks on any personnel retained to provide security; (ii) train the security personnel adequately (or determine that they are properly trained) in the use of force (and where applicable, firearms), and appropriate conduct towards the Supplier's Personnel, Purchaser's Personnel and affected communities; and (iii) require the security personnel to act within the applicable Laws and any requirements set out in the Purchaser's Requirements.

The Supplier shall not permit any use of force by security personnel in providing security except when used for preventive and defensive purposes in proportion to the nature and extent of the threat.

9.19 Recruitment of Persons

The Supplier shall not recruit, or attempt to recruit, either on limited time or permanent basis or through any other contractual agreement, staff and labor from amongst the Purchaser's Personnel.

9.20 **Unless otherwise specified in the SCC** the Supplier shall have no other Supplier responsibilities.

10. Purchaser's Responsibilities

10.1 The Purchaser shall ensure the accuracy of all information and/or data to be supplied by the Purchaser to the Supplier, except when otherwise expressly stated in the Contract.

10.2 The Purchaser shall be responsible for timely provision of all resources, information, and decision making under its control that are necessary to reach an Agreed Project Plan (pursuant to GCC Clause 19.2) within the time schedule specified in the Implementation Schedule. Failure to

- provide such resources, information, and decision making may constitute grounds for Termination pursuant to GCC Clause 41.3.1 (b).
- 10.3 The Purchaser shall be responsible for acquiring and providing legal and physical possession of the site and access to it, and for providing possession of and access to all other areas reasonably required for the proper execution of the Contract.
- 10.4 If requested by the Supplier, the Purchaser shall use its best endeavors to assist the Supplier in obtaining in a timely and expeditious manner all permits, approvals, and/or licenses necessary for the execution of the Contract from all local, state, or national government authorities or public service undertakings that such authorities or undertakings require the Supplier or Subcontractors or the Supplier's Personnel, as the case may be, to obtain.
- 10.5 In such cases where the responsibilities of specifying and acquiring or upgrading telecommunications and/or electric power services falls to the Supplier, as specified in the Technical Requirements, SCC, Agreed Project Plan, or other parts of the Contract, the Purchaser shall use its best endeavors to assist the Supplier in obtaining such services in a timely and expeditious manner.
- 10.6 The Purchaser shall be responsible for timely provision of all resources, access, and information necessary for the Installation and Operational Acceptance of the System (including, but not limited to, any required telecommunications or electric power services), as identified in the Agreed Project Plan, except where provision of such items is explicitly identified in the Contract as being the responsibility of the Supplier. Delay by the Purchaser may result in an appropriate extension of the Time for Operational Acceptance, at the Supplier's discretion.
- 10.7 Unless otherwise specified in the Contract or agreed upon by the Purchaser and the Supplier, the Purchaser shall provide sufficient, properly qualified operating and technical personnel, as required by the Supplier to properly carry out Delivery, Pre-commissioning, Installation, Commissioning, and Operational Acceptance, at or before the time specified in the Implementation Schedule and the Agreed Project Plan.
- 10.8 The Purchaser will designate appropriate staff for the training courses to be given by the Supplier and shall make

- all appropriate logistical arrangements for such training as specified in the Technical Requirements, SCC, the Agreed Project Plan, or other parts of the Contract.
- 10.9 The Purchaser assumes primary responsibility for the Operational Acceptance Test(s) for the System, in accordance with GCC Clause 27.2, and shall be responsible for the continued operation of the System after Operational Acceptance. However, this shall not limit in any way the Supplier's responsibilities after the date of Operational Acceptance otherwise specified in the Contract.
- 10.10 The Purchaser is responsible for performing and safely storing timely and regular backups of its data and Software in accordance with accepted data management principles, except where such responsibility is clearly assigned to the Supplier elsewhere in the Contract.
- 10.11 All costs and expenses involved in the performance of the obligations under this GCC Clause 10 shall be the responsibility of the Purchaser, save those to be incurred by the Supplier with respect to the performance of the Operational Acceptance Test(s), in accordance with GCC Clause 27.2.
- 10.12 **Unless otherwise specified in the SCC** the Purchaser shall have no other Purchaser responsibilities.

C. PAYMENT

- 11. Contract Price**
- 11.1 The Contract Price shall be as specified in Article 2 (Contract Price and Terms of Payment) of the Contract Agreement.
- 11.2 Unless an adjustment clause is **provided for in the SCC**, the Contract Price shall be a firm lump sum not subject to any alteration, except in the event of a Change in the System pursuant to GCC Clause 39 or to other clauses in the Contract;
- 11.3 The Supplier shall be deemed to have satisfied itself as to the correctness and sufficiency of the Contract Price, which shall, except as otherwise provided for in the Contract, cover all its obligations under the Contract.

12. Terms of Payment

- 12.1 The Supplier's request for payment shall be made to the Purchaser in writing, accompanied by an invoice describing, as appropriate, the System or Subsystem(s), Delivered, Pre-commissioned, Installed, and Operationally Accepted, and by documents submitted pursuant to GCC Clause 22.5 and upon fulfillment of other obligations stipulated in the Contract.

The Contract Price shall be paid as **specified in the SCC**.

- 12.2 No payment made by the Purchaser herein shall be deemed to constitute acceptance by the Purchaser of the System or any Subsystem(s).
- 12.3 Payments shall be made promptly by the Purchaser, but in no case later than forty five (45) days after submission of a valid invoice by the Supplier. In the event that the Purchaser fails to make any payment by its respective due date or within the period set forth in the Contract, the Purchaser shall pay to the Supplier interest on the amount of such delayed payment at the rate(s) **specified in the SCC** for the period of delay until payment has been made in full, whether before or after judgment or arbitration award.
- 12.4 Payments shall be made in the currency(ies) specified in the Contract Agreement, pursuant to GCC Clause 11. For Goods and Services supplied locally, payments shall be made **as specified in the SCC**.
- 12.5 **Unless otherwise specified in the SCC**, payment of the foreign currency portion of the Contract Price for Goods supplied from outside the Purchaser's Country shall be made to the Supplier through an irrevocable letter of credit opened by an authorized bank in the Supplier's Country and will be payable on presentation of the appropriate documents. It is agreed that the letter of credit will be subject to Article 10 of the latest revision of *Uniform Customs and Practice for Documentary Credits*, published by the International Chamber of Commerce, Paris.
- 12.6 **As specified in the SCC**, if the Supplier fails to perform its cyber security obligations under the Contract, an assessed amount, as determined by the Contract Manager, may be withheld until the obligation has been performed.

13. Securities

13.1 Issuance of Securities

The Supplier shall provide the securities specified below in favor of the Purchaser at the times and in the amount, manner, and form specified below.

13.2 Advance Payment Security

13.2.1 The Supplier shall provide within twenty-eight (28) days of the notification of Contract award an Advance Payment Security in the amount and currency of the Advance Payment specified in SCC for GCC Clause 12.1 above and valid until the System is Operationally Accepted.

13.2.2 The security shall be in the form provided in the request for proposal document or in another form acceptable to the Purchaser. The amount of the security shall be reduced in proportion to the value of the System executed by and paid to the Supplier from time to time and shall automatically become null and void when the full amount of the advance payment has been recovered by the Purchaser. **Unless otherwise specified in the SCC**, the reduction in value and expiration of the Advance Payment Security are calculated as follows:

$P*a/(100-a)$, where “P” is the sum of all payments effected so far to the Supplier (excluding the Advance Payment), and “a” is the Advance Payment expressed as a percentage of the Contract Price pursuant to the SCC for GCC Clause 12.1.

The security shall be returned to the Supplier immediately after its expiration.

13.3 Performance Security

13.3.1 The Supplier shall, within twenty-eight (28) days of the notification of Contract award, provide a security for the due performance of the Contract in the amount and currency **specified in the SCC**.

13.3.2 The security shall be a bank guarantee in the form provided in the Sample Contractual Forms Section of the request for proposal document, or it shall be in another form acceptable to the Purchaser.

13.3.3 The security shall automatically become null and void once all the obligations of the Supplier under the Contract have been fulfilled, including, but not limited to, any obligations during the Warranty Period and any

extensions to the period. The security shall be returned to the Supplier no later than twenty-eight (28) days after its expiration.

- 13.3.4 Upon Operational Acceptance of the entire System, the security shall be reduced to the amount **specified in the SCC**, on the date of the Operational Acceptance, so that the reduced security would only cover the remaining warranty obligations of the Supplier.

14. Taxes and Duties

- 14.1 For Goods or Services supplied from outside the Purchaser's country, the Supplier shall be entirely responsible for all taxes, stamp duties, license fees, and other such levies imposed outside the Purchaser's country. Any duties, such as importation or customs duties, and taxes and other levies, payable in the Purchaser's country for the supply of Goods and Services from outside the Purchaser's country are the responsibility of the Purchaser unless these duties or taxes have been made part of the Contract Price in Article 2 of the Contract Agreement and the Price Schedule it refers to, in which case the duties and taxes will be the Supplier's responsibility.
- 14.2 For Goods or Services supplied locally, the Supplier shall be entirely responsible for all taxes, duties, license fees, etc., incurred until delivery of the contracted Goods or Services to the Purchaser. The only exception are taxes or duties, such as value-added or sales tax or stamp duty as apply to, or are clearly identifiable, on the invoices and provided they apply in the Purchaser's country, and only if these taxes, levies and/or duties are also excluded from the Contract Price in Article 2 of the Contract Agreement and the Price Schedule it refers to.
- 14.3 If any tax exemptions, reductions, allowances, or privileges may be available to the Supplier in the Purchaser's Country, the Purchaser shall use its best efforts to enable the Supplier to benefit from any such tax savings to the maximum allowable extent.
- 14.4 For the purpose of the Contract, it is agreed that the Contract Price specified in Article 2 (Contract Price and Terms of Payment) of the Contract Agreement is based on the taxes, duties, levies, and charges prevailing at the date twenty-eight (28) days prior to the date of proposal submission in the Purchaser's Country (also called "Tax" in this GCC Clause 14.4). If any Tax rates are increased or decreased, a new Tax is introduced, an existing Tax is

abolished, or any change in interpretation or application of any Tax occurs in the course of the performance of the Contract, which was or will be assessed on the Supplier, its Subcontractors, or their employees in connection with performance of the Contract, an equitable adjustment to the Contract Price shall be made to fully take into account any such change by addition to or reduction from the Contract Price, as the case may be.

D. INTELLECTUAL PROPERTY

15. Copyright

- 15.1 The Intellectual Property Rights in all Standard Software and Standard Materials shall remain vested in the owner of such rights.
- 15.2 The Purchaser agrees to restrict use, copying, or duplication of the Standard Software and Standard Materials in accordance with GCC Clause 16, except that additional copies of Standard Materials may be made by the Purchaser for use within the scope of the project of which the System is a part, in the event that the Supplier does not deliver copies within thirty (30) days from receipt of a request for such Standard Materials.
- 15.3 The Purchaser's contractual rights to use the Standard Software or elements of the Standard Software may not be assigned, licensed, or otherwise transferred voluntarily except in accordance with the relevant license agreement or **unless otherwise specified in the SCC** to a legally constituted successor organization (e.g., a reorganization of a public entity formally authorized by the government or through a merger or acquisition of a private entity).
- 15.4 **Unless otherwise specified in the SCC**, the Intellectual Property Rights in all Custom Software and Custom Materials specified in Appendices 4 and 5 of the Contract Agreement (if any) shall, at the date of this Contract or on creation of the rights (if later than the date of this Contract), vest in the Purchaser. The Supplier shall do and execute or arrange for the doing and executing of each necessary act, document, and thing (as legally sufficient) that the Purchaser may consider necessary or desirable to perfect the right, title, and interest of the Purchaser in and to those rights. In respect of such Custom Software and Custom Materials, the Supplier shall ensure that the holder of a moral right in such an item does not assert it, and the Supplier shall, if requested to do so by the Purchaser and

where permitted by applicable law, ensure that the holder of such a moral right waives it.

- 15.5 **Unless otherwise specified in the SCC**, escrow arrangements shall NOT be required.

16. Software License Agreements

- 16.1 Except to the extent that the Intellectual Property Rights in the Software vest in the Purchaser, the Supplier hereby grants to the Purchaser license to access and use the Software, including all inventions, designs, and marks embodied in the Software.

Such license to access and use the Software shall:

- (a) be:
 - (i) nonexclusive;
 - (ii) fully paid up and irrevocable (except that it shall terminate if the Contract terminates under GCC Clauses 41.1 or 41.3);
 - (iii) **unless otherwise specified in the SCC** valid throughout the territory of the Purchaser's Country;
 - (iv) **unless otherwise specified in the SCC** subject to NO additional restrictions.
- (b) permit the Software to be:
 - (i) used or copied for use on or with the computer(s) for which it was acquired (if specified in the Technical Requirements and/or the Supplier's proposal), plus a backup computer(s) of the same or similar capacity, if the primary is(are) inoperative, and during a reasonable transitional period when use is being transferred between primary and backup;
 - (ii) used or copied for use on or transferred to a replacement computer(s), (and use on the original and replacement computer(s) may be simultaneous during a reasonable transitional period) provided that, if the Technical Requirements and/or the Supplier's proposal specifies a class of computer to which the license is restricted, the replacement computer(s) is(are) within that class;
 - (iii) if the nature of the System is such as to permit such access, accessed from other computers connected to the primary and/or backup computer(s) by

means of a local or wide-area network or similar arrangement, and used on or copied for use on those other computers to the extent necessary to that access;

- (iv) reproduced for safekeeping or backup purposes;
- (v) customized, adapted, or combined with other computer software for use by the Purchaser, provided that derivative software incorporating any substantial part of the delivered, restricted Software shall be subject to same restrictions as are set forth in this Contract;
- (vi) **unless otherwise specified in the SCC**, disclosed to, and reproduced for use by, support service suppliers and their subcontractors, to the extent reasonably necessary to the performance of their support service contracts, subject to the same restrictions as are set forth in this Contract; and
- (vii) **unless otherwise specified in the SCC** disclosed to, and reproduced for use by, NO other parties.

- 16.2 The Supplier has the right to audit the Standard Software to verify compliance with the above license agreements. **Unless otherwise specified in the SCC**, the Purchaser will make available to the Supplier, within seven (7) days of a written request, accurate and up-to-date records of the number and location of copies, the number of authorized users, or any other relevant data required to demonstrate use of the Standard Software as per the license agreement. If and only if, expressly agreed in writing between the Purchaser and the Supplier, Purchaser will allow, under a pre-specified agreed procedure, the execution of embedded software functions under Supplier's control, and unencumbered transmission of resulting information on software usage.

17. Confidential Information

- 17.1 **Unless otherwise specified in the SCC**, the "Receiving Party" (either the Purchaser or the Supplier) shall keep confidential and shall not, without the written consent of the other party to this Contract ("the Disclosing Party"), divulge to any third party any documents, data, or other information of a confidential nature ("Confidential Information") connected with this Contract, and furnished directly or indirectly by the Disclosing Party prior to or during performance, or following termination, of this Contract.
- 17.2 For the purposes of GCC Clause 17.1, the Supplier is also deemed to be the Receiving Party of Confidential Information generated by the Supplier itself in the course of the performance of its obligations under the Contract and relating to the businesses, finances, suppliers, employees, or other contacts of the Purchaser or the Purchaser's use of the System.
- 17.3 Notwithstanding GCC Clauses 17.1 and 17.2:
- (a) the Supplier may furnish to its Subcontractor Confidential Information of the Purchaser to the extent reasonably required for the Subcontractor to perform its work under the Contract; and
 - (b) the Purchaser may furnish Confidential Information of the Supplier: (i) to its support service suppliers and their subcontractors to the extent reasonably required for them to perform their work under their support service contracts; and (ii) to its affiliates and subsidiaries,
- in which event the Receiving Party shall ensure that the person to whom it furnishes Confidential Information of the Disclosing Party is aware of and abides by the Receiving Party's obligations under this GCC Clause 17 as if that person were party to the Contract in place of the Receiving Party.
- 17.4 The Purchaser shall not, without the Supplier's prior written consent, use any Confidential Information received from the Supplier for any purpose other than the operation, maintenance and further development of the System. Similarly, the Supplier shall not, without the Purchaser's prior written consent, use any Confidential Information received from the Purchaser for any purpose other than those that are required for the performance of the Contract.

- 17.5 The obligation of a party under GCC Clauses 17.1 through 17.4 above, however, shall not apply to that information which:
- (a) now or hereafter enters the public domain through no fault of the Receiving Party;
 - (b) can be proven to have been possessed by the Receiving Party at the time of disclosure and that was not previously obtained, directly or indirectly, from the Disclosing Party;
 - (c) otherwise lawfully becomes available to the Receiving Party from a third party that has no obligation of confidentiality;
 - (d) is being provided to the Bank.
- 17.6 The above provisions of this GCC Clause 17 shall not in any way modify any undertaking of confidentiality given by either of the parties to this Contract prior to the date of the Contract in respect of the System or any part thereof.
- 17.7 **Unless otherwise specified in the SCC**, the provisions of this GCC Clause 17 shall survive the termination, for whatever reason, of the Contract for three (3) years.

E. SUPPLY, INSTALLATION, TESTING, COMMISSIONING, AND ACCEPTANCE OF THE SYSTEM

18. Representatives 18.1 Contract Manager

If the Contract Manager is not named in the Contract, then within fourteen (14) days of the Effective Date, the Purchaser shall appoint and notify the Supplier in writing of the name of the Contract Manager. The Purchaser may from time to time appoint some other person as the Contract Manager in place of the person previously so appointed and shall give a notice of the name of such other person to the Supplier without delay. No such appointment shall be made at such a time or in such a manner as to impede the progress of work on the System. Such appointment shall take effect only upon receipt of such notice by the Supplier. **Unless otherwise specified in the SCC** (if any), the Contract Manager shall have the authority to represent the Purchaser on all day-to-day matters relating to the System or arising from the Contract, and shall normally be the person giving or receiving notices on behalf of the Purchaser pursuant to GCC Clause 4.

18.2 Supplier's Representative

- 18.2.1 If the Supplier's Representative is not named in the Contract, then within fourteen (14) days of the Effective Date, the Supplier shall appoint the Supplier's Representative and shall request the Purchaser in writing to approve the person so appointed. The request must be accompanied by a detailed curriculum vitae for the nominee, as well as a description of any other System or non-System responsibilities the nominee would retain while performing the duties of the Supplier's Representative. If the Purchaser does not object to the appointment within fourteen (14) days, the Supplier's Representative shall be deemed to have been approved. If the Purchaser objects to the appointment within fourteen (14) days giving the reason therefor, then the Supplier shall appoint a replacement within fourteen (14) days of such objection in accordance with this GCC Clause 18.2.1.
- 18.2.2 **Unless otherwise specified in the SCC** (if any), the Supplier's Representative shall have the authority to represent the Supplier on all day-to-day matters relating to the System or arising from the Contract, and shall normally be the person giving or receiving notices on behalf of the Supplier pursuant to GCC Clause 4.
- 18.2.3 The Supplier shall not revoke the appointment of the Supplier's Representative without the Purchaser's prior written consent, which shall not be unreasonably withheld. If the Purchaser consents to such an action, the Supplier shall appoint another person of equal or superior qualifications as the Supplier's Representative, pursuant to the procedure set out in GCC Clause 18.2.1.
- 18.2.4 The Supplier's Representative and staff are obliged to work closely with the Purchaser's Contract Manager and staff, act within their own authority, and abide by directives issued by the Purchaser that are consistent with the terms of the Contract. The Supplier's Representative is responsible for managing the activities of the Supplier's Personnel.
- 18.2.5 The Supplier's Representative may, subject to the approval of the Purchaser (which shall not be unreasonably withheld), at any time delegate to any person any of the powers, functions, and authorities vested in him or her. Any such delegation may be

revoked at any time. Any such delegation or revocation shall be subject to a prior notice signed by the Supplier's Representative and shall specify the powers, functions, and authorities thereby delegated or revoked. No such delegation or revocation shall take effect unless and until the notice of it has been delivered.

18.2.6 Any act or exercise by any person of powers, functions and authorities so delegated to him or her in accordance with GCC Clause 18.2.5 shall be deemed to be an act or exercise by the Supplier's Representative.

18.3 Removal of Supplier's Personnel

18.3.1 The Contract Manager may require the Supplier to remove (or cause to be removed) the Supplier's Representative or any other person employed by the Supplier in the execution of the Contract, who:

- (a) persists in any misconduct or lack of care;
- (b) carries out duties incompetently or negligently;
- (c) fails to comply with any provision of the Contract;
- (d) persists in any conduct which is prejudicial to safety, health, or the protection of the environment;
- (e) based on reasonable evidence, is determined to have engaged in Fraud and Corruption during the execution of the Contract;
- (f) has been recruited from the Purchaser's Personnel;
- (g) engages in any other behaviour which breaches the Code of Conduct, as applicable;

If appropriate, the Supplier shall then promptly appoint (or cause to be appointed) a suitable replacement with equivalent skills and experience.

Notwithstanding any requirement from the Contract Manager to remove or cause to remove any person, the Supplier shall take immediate action as appropriate in response to any violation of (a) through (g) above. Such immediate action shall include removing (or causing to be removed) from work on the System, any person Employed by the Supplier in the execution of the Contract who engages in (a), (b), (c), (d), (e) or (g) above or has been recruited as stated in (f) above.

18.3.2 If any representative or person employed by the Supplier is removed in accordance with GCC Clause 18.3.1, the Supplier shall, where required, promptly appoint a suitable replacement with equivalent skills and experience.

19. Project Plan

19.1 In close cooperation with the Purchaser and based on the Preliminary Project Plan included in the Supplier's proposal, the Supplier shall develop a Project Plan encompassing the activities specified in the Contract. The contents of the Project Plan shall be as **specified in the SCC** and/or Technical Requirements.

19.2 **Unless otherwise specified in the SCC**, within thirty (30) days from the Effective Date of the Contract, the Supplier shall present a Project Plan to the Purchaser. Such submission to the Purchaser shall include any applicable environmental and social management plan to manage environmental and social risks and impacts. The Purchaser shall, within fourteen (14) days of receipt of the Project Plan, notify the Supplier of any respects in which it considers that the Project Plan does not adequately ensure that the proposed program of work, proposed methods, and/or proposed Information Technologies will satisfy the Technical Requirements and/or the SCC (in this Clause 19.2 called "non-conformities" below). The Supplier shall, within five (5) days of receipt of such notification, correct the Project Plan and resubmit to the Purchaser. The Purchaser shall, within five (5) days of resubmission of the Project Plan, notify the Supplier of any remaining non-conformities. This procedure shall be repeated as necessary until the Project Plan is free from non-conformities. When the Project Plan is free from non-conformities, the Purchaser shall provide confirmation in writing to the Supplier. This approved Project Plan ("the Agreed Project Plan") shall be contractually binding on the Purchaser and the Supplier.

19.3 If required, the impact on the Implementation Schedule of modifications agreed during finalization of the Agreed Project Plan shall be incorporated in the Contract by amendment, in accordance with GCC Clauses 39 and 40.

19.4 The Supplier shall undertake to supply, install, test, and commission the System in accordance with the Agreed Project Plan and the Contract.

19.5 **Unless otherwise specified in the SCC**, the Supplier shall submit to the Purchaser Monthly Progress Reports summarizing:

- (i) results accomplished during the prior period;
- (ii) cumulative deviations to date from schedule of progress milestones as specified in the Agreed Project Plan;
- (iii) corrective actions to be taken to return to planned schedule of progress; proposed revisions to planned schedule;
- (iv) other issues and outstanding problems; proposed actions to be taken;
- (v) resources that the Supplier expects to be provided by the Purchaser and/or actions to be taken by the Purchaser in the next reporting period;
- (vi) status of compliance to environmental and social requirements, as applicable;
- (vii) other issues or potential problems the Supplier foresees that could impact on project progress and/or effectiveness.

19.6 The Supplier shall submit to the Purchaser other (periodic) reports as specified in the SCC.

19.7 Immediate Reporting requirement

The Supplier shall inform the Contract Manager immediately of any allegation, incident or accident in Project Site/s, which has or is likely to have a significant adverse effect on the environment, the affected communities, the public, Purchaser's Personnel or Supplier's Personnel. This includes, but is not limited to, any incident or accident causing fatality or serious injury; significant adverse effects or damage to private property; any cyber security incidents as **specified in the SCC**; or any allegation of SEA and/or SH. In case of SEA and/or SH, while maintaining confidentiality as appropriate, the type of allegation (sexual exploitation, sexual abuse or sexual harassment), gender and age of the person who experienced the alleged incident should be included in the information.

The Supplier, upon becoming aware of the allegation, incident or accident, shall also immediately inform the Purchaser of any such incident or accident on the

Subcontractors' or suppliers' premises relating to the Contract which has or is likely to have a significant adverse effect on the environment, the affected communities, the public, Purchaser's Personnel or Supplier's Personnel. The notification shall provide sufficient detail regarding such incidents or accidents.

The Supplier shall provide full details of such incidents or accidents to the Contract Manager within the timeframe agreed with the Purchaser.

The Purchaser shall require its Subcontractors to immediately notify it of any incidents or accidents referred to in this Sub- Clause.

- 20. Subcontracting**
- 20.1 Appendix 3 (List of Approved Subcontractors) to the Contract Agreement specifies critical items of supply or services and a list of Subcontractors for each item that are considered acceptable by the Purchaser. If no Subcontractors are listed for an item, the Supplier shall prepare a list of Subcontractors it considers qualified and wishes to be added to the list for such items. The Supplier may from time to time propose additions to or deletions from any such list. The Supplier shall submit any such list or any modification to the list to the Purchaser for its approval in sufficient time so as not to impede the progress of work on the System. Submission by the Supplier, for addition of any Subcontractor not named in the Contract, shall also include the Subcontractor's declaration in accordance with Appendix 2 to the GCC- Sexual exploitation and Abuse (SEA) and/or Sexual Harassment (SH) Performance Declaration. Approval by the Purchaser of a Subcontractor(s) shall not relieve the Supplier from any of its obligations, duties, or responsibilities under the Contract.
- 20.2 The Supplier may, at its discretion, select and employ Subcontractors for such critical items from those Subcontractors listed pursuant to GCC Clause 20.1. If the Supplier wishes to employ a Subcontractor not so listed, or subcontract an item not so listed, it must seek the Purchaser's prior approval under GCC Clause 20.3.
- 20.3 For items for which pre-approved Subcontractor lists have not been specified in Appendix 3 to the Contract Agreement, the Supplier may employ such Subcontractors as it may select, provided: (i) the Supplier notifies the Purchaser in writing at least twenty-eight (28) days prior to

the proposed mobilization date for such Subcontractor, including by providing the Subcontractor's declaration in accordance with Appendix 2 to the GCC- Sexual exploitation and Abuse (SEA) and/or Sexual Harassment (SH) Performance Declaration; and (ii) by the end of this period either the Purchaser has granted its approval in writing or fails to respond. The Supplier shall not engage any Subcontractor to which the Purchaser has objected in writing prior to the end of the notice period. The absence of a written objection by the Purchaser during the above specified period shall constitute formal acceptance of the proposed Subcontractor. Except to the extent that it permits the deemed approval of the Purchaser of Subcontractors not listed in the Contract Agreement, nothing in this Clause, however, shall limit the rights and obligations of either the Purchaser or Supplier as they are specified in GCC Clauses 20.1 and 20.2, or in Appendix 3 of the Contract Agreement.

- 20.4 The Supplier shall ensure that its Subcontractors comply with the relevant ES requirements and the obligations set out in GCC Clause 9.9.

21. Design and Engineering

21.1 Technical Specifications and Drawings

- 21.1.1 The Supplier shall execute the basic and detailed design and the implementation activities necessary for successful installation of the System in compliance with the provisions of the Contract or, where not so specified, in accordance with good industry practice.

The Supplier shall be responsible for any discrepancies, errors or omissions in the specifications, drawings, and other technical documents that it has prepared, whether such specifications, drawings, and other documents have been approved by the Contract Manager or not, provided that such discrepancies, errors, or omissions are not because of inaccurate information furnished in writing to the Supplier by or on behalf of the Purchaser.

- 21.1.2 The Supplier shall be entitled to disclaim responsibility for any design, data, drawing, specification, or other document, or any modification of such design, drawings, specification, or other documents provided or designated by or on behalf of the Purchaser, by giving a notice of such disclaimer to the Contract Manager.

21.2 Codes and Standards

Wherever references are made in the Contract to codes and standards in accordance with which the Contract shall be executed, the edition or the revised version of such codes and standards current at the date twenty-eight (28) days prior to date of proposal submission shall apply. During Contract execution, any changes in such codes and standards shall be applied after approval by the Purchaser and shall be treated in accordance with GCC Clause 39.3.

21.3 Approval/Review of Controlling Technical Documents by the Contract Manager

21.3.1 Unless otherwise specified in the SCC, there will NO Controlling Technical Documents required. However, **if the SCC specifies** Controlling Technical Documents, the Supplier shall prepare and furnish such documents for the Contract Manager's approval or review.

Any part of the System covered by or related to the documents to be approved by the Contract Manager shall be executed only after the Contract Manager's approval of these documents.

GCC Clauses 21.3.2 through 21.3.7 shall apply to those documents requiring the Contract Manager's approval, but not to those furnished to the Contract Manager for its review only.

21.3.2 Within fourteen (14) days after receipt by the Contract Manager of any document requiring the Contract Manager's approval in accordance with GCC Clause 21.3.1, the Contract Manager shall either return one copy of the document to the Supplier with its approval endorsed on the document or shall notify the Supplier in writing of its disapproval of the document and the reasons for disapproval and the modifications that the Contract Manager proposes. If the Contract Manager fails to take such action within the fourteen (14) days, then the document shall be deemed to have been approved by the Contract Manager.

21.3.3 The Contract Manager shall not disapprove any document except on the grounds that the document does not comply with some specified provision of the Contract or that it is contrary to good industry practice.

21.3.4 If the Contract Manager disapproves the document, the Supplier shall modify the document and resubmit it for

the Contract Manager's approval in accordance with GCC Clause 21.3.2. If the Contract Manager approves the document subject to modification(s), the Supplier shall make the required modification(s), and the document shall then be deemed to have been approved, subject to GCC Clause 21.3.5. The procedure set out in GCC Clauses 21.3.2 through 21.3.4 shall be repeated, as appropriate, until the Contract Manager approves such documents.

21.3.5 If any dispute occurs between the Purchaser and the Supplier in connection with or arising out of the disapproval by the Contract Manager of any document and/or any modification(s) to a document that cannot be settled between the parties within a reasonable period, then, in case the Contract Agreement includes and names an Adjudicator, such dispute may be referred to the Adjudicator for determination in accordance with GCC Clause 43.1 (Adjudication). If such dispute is referred to an Adjudicator, the Contract Manager shall give instructions as to whether and if so, how, performance of the Contract is to proceed. The Supplier shall proceed with the Contract in accordance with the Contract Manager's instructions, provided that if the Adjudicator upholds the Supplier's view on the dispute and if the Purchaser has not given notice under GCC Clause 43.1.2, then the Supplier shall be reimbursed by the Purchaser for any additional costs incurred by reason of such instructions and shall be relieved of such responsibility or liability in connection with the dispute and the execution of the instructions as the Adjudicator shall decide, and the Time for Achieving Operational Acceptance shall be extended accordingly.

21.3.6 The Contract Manager's approval, with or without modification of the document furnished by the Supplier, shall not relieve the Supplier of any responsibility or liability imposed upon it by any provisions of the Contract except to the extent that any subsequent failure results from modifications required by the Contract Manager or inaccurate information furnished in writing to the Supplier by or on behalf of the Purchaser.

21.3.7 The Supplier shall not depart from any approved document unless the Supplier has first submitted to the Contract Manager an amended document and obtained

the Contract Manager's approval of the document, pursuant to the provisions of this GCC Clause 21.3. If the Contract Manager requests any change in any already approved document and/or in any document based on such an approved document, the provisions of GCC Clause 39 (Changes to the System) shall apply to such request.

**22. Procurement,
Delivery, and
Transport**

22.1 Subject to related Purchaser's responsibilities pursuant to GCC Clauses 10 and 14, the Supplier shall manufacture or procure and transport all the Information Technologies, Materials, and other Goods in an expeditious and orderly manner to the Project Site.

22.2 Delivery of the Information Technologies, Materials, and other Goods shall be made by the Supplier in accordance with the Technical Requirements.

22.3 Early or partial deliveries require the explicit written consent of the Purchaser, which consent shall not be unreasonably withheld.

22.4 Transportation

22.4.1 The Supplier shall provide such packing of the Goods as is required to prevent their damage or deterioration during shipment. The packing, marking, and documentation within and outside the packages shall comply strictly with the Purchaser's instructions to the Supplier.

22.4.2 The Supplier will bear responsibility for and cost of transport to the Project Sites in accordance with the terms and conditions used in the specification of prices in the Price Schedules, including the terms and conditions of the associated Incoterms.

22.4.3 **Unless otherwise specified in the SCC**, the Supplier shall be free to use transportation through carriers registered in any eligible country and to obtain insurance from any eligible source country.

22.5 **Unless otherwise specified in the SCC**, the Supplier will provide the Purchaser with shipping and other documents, as specified below:

22.5.1 For Goods supplied from outside the Purchaser's Country:

Upon shipment, the Supplier shall notify the Purchaser and the insurance company contracted by the Supplier

to provide cargo insurance by cable, facsimile, electronic mail, or EDI with the full details of the shipment. The Supplier shall promptly send the following documents to the Purchaser by mail or courier, as appropriate, with a copy to the cargo insurance company:

- (a) two copies of the Supplier's invoice showing the description of the Goods, quantity, unit price, and total amount;
- (b) usual transportation documents;
- (c) insurance certificate;
- (d) certificate(s) of origin; and
- (e) estimated time and point of arrival in the Purchaser's Country and at the site.

22.5.2 For Goods supplied locally (i.e., from within the Purchaser's country):

Upon shipment, the Supplier shall notify the Purchaser by cable, facsimile, electronic mail, or EDI with the full details of the shipment. The Supplier shall promptly send the following documents to the Purchaser by mail or courier, as appropriate:

- (a) two copies of the Supplier's invoice showing the Goods' description, quantity, unit price, and total amount;
- (b) delivery note, railway receipt, or truck receipt;
- (c) certificate of insurance;
- (d) certificate(s) of origin; and
- (e) estimated time of arrival at the site.

22.6 Customs Clearance

- (a) The Purchaser will bear responsibility for, and cost of, customs clearance into the Purchaser's country in accordance the particular Incoterm(s) used for Goods supplied from outside the Purchaser's country in the Price Schedules referred to by Article 2 of the Contract Agreement.
- (b) At the request of the Purchaser, the Supplier will make available a representative or agent during the process of customs clearance in the Purchaser's country for goods supplied from outside the Purchaser's country. In the event of delays in

customs clearance that are not the fault of the Supplier:

- (i) the Supplier shall be entitled to an extension in the Time for Achieving Operational Acceptance, pursuant to GCC Clause 40;
- (ii) the Contract Price shall be adjusted to compensate the Supplier for any additional storage charges that the Supplier may incur as a result of the delay.

23. Product Upgrades

- 23.1 At any point during performance of the Contract, should technological advances be introduced by the Supplier for Information Technologies originally offered by the Supplier in its proposal and still to be delivered, the Supplier shall be obligated to offer to the Purchaser the latest versions of the available Information Technologies having equal or better performance or functionality at the same or lesser unit prices, pursuant to GCC Clause 39 (Changes to the System).
- 23.2 At any point during performance of the Contract, for Information Technologies still to be delivered, the Supplier will also pass on to the Purchaser any cost reductions and additional and/or improved support and facilities that it offers to other clients of the Supplier in the Purchaser's Country, pursuant to GCC Clause 39 (Changes to the System).
- 23.3 During performance of the Contract, the Supplier shall offer to the Purchaser all new versions, releases, and updates of Standard Software, as well as related documentation and technical support services, within thirty (30) days of their availability from the Supplier to other clients of the Supplier in the Purchaser's Country, and no later than twelve (12) months after they are released in the country of origin. In no case will the prices for these Software exceed those quoted by the Supplier in the Recurrent Costs tables in its proposal.
- 23.4 **Unless otherwise specified in the SCC**, during the Warranty Period, the Supplier will provide at no additional cost to the Purchaser all new versions, releases, and updates for all Standard Software that are used in the System, within thirty (30) days of their availability from the Supplier to other clients of the Supplier in the Purchaser's country, and

no later than twelve (12) months after they are released in the country of origin of the Software.

- 23.5 The Purchaser shall introduce all new versions, releases or updates of the Software within eighteen (18) months of receipt of a production-ready copy of the new version, release, or update, provided that the new version, release, or update does not adversely affect System operation or performance or require extensive reworking of the System. In cases where the new version, release, or update adversely affects System operation or performance, or requires extensive reworking of the System, the Supplier shall continue to support and maintain the version or release previously in operation for as long as necessary to allow introduction of the new version, release, or update. In no case shall the Supplier stop supporting or maintaining a version or release of the Software less than twenty four (24) months after the Purchaser receives a production-ready copy of a subsequent version, release, or update. The Purchaser shall use all reasonable endeavors to implement any new version, release, or update as soon as practicable, subject to the twenty-four-month-long stop date.

**24. Implementation,
Installation, and
Other Services**

- 24.1 The Supplier shall provide all Services specified in the Contract and Agreed Project Plan in accordance with the highest standards of professional competence and integrity.
- 24.2 Prices charged by the Supplier for Services, if not included in the Contract, shall be agreed upon in advance by the parties (including, but not restricted to, any prices submitted by the Supplier in the Recurrent Cost Schedules of its proposal) and shall not exceed the prevailing rates charged by the Supplier to other purchasers in the Purchaser's Country for similar services.

**25. Inspections and
Tests**

- 25.1 The Purchaser or its representative shall have the right to inspect and/or test any components of the System, as specified in the Technical Requirements, to confirm their good working order and/or conformity to the Contract at the point of delivery and/or at the Project Site.
- 25.2 The Purchaser or its representative shall be entitled to attend any such inspections and/or tests of the components, provided that the Purchaser shall bear all costs and expenses incurred in connection with such attendance, including but not limited to all inspection agent fees, travel, and related expenses.

- 25.3 Should the inspected or tested components fail to conform to the Contract, the Purchaser may reject the component(s), and the Supplier shall either replace the rejected component(s), or make alterations as necessary so that it meets the Contract requirements free of cost to the Purchaser.
- 25.4 The Contract Manager may require the Supplier to carry out any inspection and/or test not specified in the Contract, provided that the Supplier's reasonable costs and expenses incurred in the carrying out of such inspection and/or test shall be added to the Contract Price. Further, if such inspection and/or test impedes the progress of work on the System and/or the Supplier's performance of its other obligations under the Contract, due allowance will be made in respect of the Time for Achieving Operational Acceptance and the other obligations so affected.
- 25.5 If any dispute shall arise between the parties in connection with or caused by an inspection and/or with regard to any component to be incorporated in the System that cannot be settled amicably between the parties within a reasonable period of time, either party may invoke the process pursuant to GCC Clause 43 (Settlement of Disputes), starting with referral of the matter to the Adjudicator in case an Adjudicator is included and named in the Contract Agreement.
- 26. Installation of the System**
- 26.1 As soon as the System, or any Subsystem, has, in the opinion of the Supplier, been delivered, Pre-commissioned, and made ready for Commissioning and Operational Acceptance Testing in accordance with the Technical Requirements, the SCC and the Agreed Project Plan, the Supplier shall so notify the Purchaser in writing.
- 26.2 The Contract Manager shall, within fourteen (14) days after receipt of the Supplier's notice under GCC Clause 26.1, either issue an Installation Certificate in the form specified in the Sample Contractual Forms Section in the request for proposals document, stating that the System, or major component or Subsystem (if Acceptance by major component or Subsystem is specified pursuant to the SCC for GCC Clause 27.2.1), has achieved Installation by the date of the Supplier's notice under GCC Clause 26.1, or notify the Supplier in writing of any defects and/or deficiencies, including, but not limited to, defects or deficiencies in the interoperability or integration of the various components and/or Subsystems making up the

System. The Supplier shall use all reasonable endeavors to promptly remedy any defect and/or deficiencies that the Contract Manager has notified the Supplier of. The Supplier shall then promptly carry out retesting of the System or Subsystem and, when in the Supplier's opinion the System or Subsystem is ready for Commissioning and Operational Acceptance Testing, notify the Purchaser in writing, in accordance with GCC Clause 26.1. The procedure set out in this GCC Clause 26.2 shall be repeated, as necessary, until an Installation Certificate is issued.

- 26.3 If the Contract Manager fails to issue the Installation Certificate and fails to inform the Supplier of any defects and/or deficiencies within fourteen (14) days after receipt of the Supplier's notice under GCC Clause 26.1, or if the Purchaser puts the System or a Subsystem into production operation, then the System (or Subsystem) shall be deemed to have achieved successful Installation as of the date of the Supplier's notice or repeated notice, or when the Purchaser put the System into production operation, as the case may be.

**27. Commissioning
and Operational
Acceptance**

27.1 Commissioning

27.1.1 Commissioning of the System (or Subsystem if specified pursuant to the SCC for GCC Clause 27.2.1) shall be commenced by the Supplier:

- (a) immediately after the Installation Certificate is issued by the Contract Manager, pursuant to GCC Clause 26.2; or
- (b) as otherwise specified in the Technical Requirement or the Agreed Project Plan; or
- (c) immediately after Installation is deemed to have occurred, under GCC Clause 26.3.

27.1.2 The Purchaser shall supply the operating and technical personnel and all materials and information reasonably required to enable the Supplier to carry out its obligations with respect to Commissioning.

Production use of the System or Subsystem(s) shall not commence prior to the start of formal Operational Acceptance Testing.

27.2 Operational Acceptance Tests

27.2.1 The Operational Acceptance Tests (and repeats of such tests) shall be the primary responsibility of the

Purchaser (in accordance with GCC Clause 10.9), but shall be conducted with the full cooperation of the Supplier during Commissioning of the System (or major components or Subsystem[s]), to ascertain whether the System (or major component or Subsystem[s]) conforms to the Technical Requirements and meets the standard of performance quoted in the Supplier's proposal, including, but not restricted to, the functional and technical performance requirements. **Unless otherwise specified in the SCC**, the Operational Acceptance Tests during Commissioning will be conducted as specified in the Technical Requirements and/or the Agreed Project Plan.

At the Purchaser's discretion, Operational Acceptance Tests may also be performed on replacement Goods, upgrades and new version releases, and Goods that are added or field-modified after Operational Acceptance of the System.

27.2.2 If for reasons attributable to the Purchaser, the Operational Acceptance Test of the System (or Subsystem[s] or major components, pursuant to the SCC for GCC Clause 27.2.1) cannot be successfully completed within ninety (90) days from the date of Installation or any other period agreed upon in writing by the Purchaser and the Supplier, the Supplier shall be deemed to have fulfilled its obligations with respect to the technical and functional aspects of the Technical Specifications, SCC and/or the Agreed Project Plan, and GCC Clause 28.2 and 28.3 shall not apply.

27.3 Operational Acceptance

27.3.1 Subject to GCC Clause 27.4 (Partial Acceptance) below, Operational Acceptance shall occur in respect of the System, when

- (a) the Operational Acceptance Tests, as specified in the Technical Requirements, and/or SCC and/or the Agreed Project Plan have been successfully completed; or
- (b) the Operational Acceptance Tests have not been successfully completed or have not been carried out for reasons that are attributable to the Purchaser within the period from the date of Installation or any other agreed-upon period as specified in GCC Clause 27.2.2 above; or

- (c) the Purchaser has put the System into production or use for sixty (60) consecutive days. If the System is put into production or use in this manner, the Supplier shall notify the Purchaser and document such use.
- 27.3.2 At any time after any of the events set out in GCC Clause 27.3.1 have occurred, the Supplier may give a notice to the Contract Manager requesting the issue of an Operational Acceptance Certificate.
- 27.3.3 After consultation with the Purchaser, and within fourteen (14) days after receipt of the Supplier's notice, the Contract Manager shall:
 - (a) issue an Operational Acceptance Certificate; or
 - (b) notify the Supplier in writing of any defect or deficiencies or other reason for the failure of the Operational Acceptance Tests; or
 - (c) issue the Operational Acceptance Certificate, if the situation covered by GCC Clause 27.3.1 (b) arises.
- 27.3.4 The Supplier shall use all reasonable endeavors to promptly remedy any defect and/or deficiencies and/or other reasons for the failure of the Operational Acceptance Test that the Contract Manager has notified the Supplier of. Once such remedies have been made by the Supplier, the Supplier shall notify the Purchaser, and the Purchaser, with the full cooperation of the Supplier, shall use all reasonable endeavors to promptly carry out retesting of the System or Subsystem. Upon the successful conclusion of the Operational Acceptance Tests, the Supplier shall notify the Purchaser of its request for Operational Acceptance Certification, in accordance with GCC Clause 27.3.3. The Purchaser shall then issue to the Supplier the Operational Acceptance Certification in accordance with GCC Clause 27.3.3 (a), or shall notify the Supplier of further defects, deficiencies, or other reasons for the failure of the Operational Acceptance Test. The procedure set out in this GCC Clause 27.3.4 shall be repeated, as necessary, until an Operational Acceptance Certificate is issued.
- 27.3.5 If the System or Subsystem fails to pass the Operational Acceptance Test(s) in accordance with GCC Clause 27.2, then either:

- (a) the Purchaser may consider terminating the Contract, pursuant to GCC Clause 41.2.2;

or

- (b) if the failure to achieve Operational Acceptance within the specified time period is a result of the failure of the Purchaser to fulfill its obligations under the Contract, then the Supplier shall be deemed to have fulfilled its obligations with respect to the relevant technical and functional aspects of the Contract, and GCC Clauses 30.3 and 30.4 shall not apply.

27.3.6 If within fourteen (14) days after receipt of the Supplier's notice the Contract Manager fails to issue the Operational Acceptance Certificate or fails to inform the Supplier in writing of the justifiable reasons why the Contract Manager has not issued the Operational Acceptance Certificate, the System or Subsystem shall be deemed to have been accepted as of the date of the Supplier's said notice.

27.4 Partial Acceptance

27.4.1 If so specified in the SCC for GCC Clause 27.2.1, Installation and Commissioning shall be carried out individually for each identified major component or Subsystem(s) of the System. In this event, the provisions in the Contract relating to Installation and Commissioning, including the Operational Acceptance Test, shall apply to each such major component or Subsystem individually, and Operational Acceptance Certificate(s) shall be issued accordingly for each such major component or Subsystem of the System, subject to the limitations contained in GCC Clause 27.4.2.

27.4.2 The issuance of Operational Acceptance Certificates for individual major components or Subsystems pursuant to GCC Clause 27.4.1 shall not relieve the Supplier of its obligation to obtain an Operational Acceptance Certificate for the System as an integrated whole (if so specified in the SCC for GCC Clauses 12.1 and 27.2.1) once all major components and Subsystems have been supplied, installed, tested, and commissioned.

27.4.3 In the case of minor components for the System that by their nature do not require Commissioning or an Operational Acceptance Test (e.g., minor fittings, furnishings or site works, etc.), the Contract Manager

shall issue an Operational Acceptance Certificate within fourteen (14) days after the fittings and/or furnishings have been delivered and/or installed or the site works have been completed. The Supplier shall, however, use all reasonable endeavors to promptly remedy any defects or deficiencies in such minor components detected by the Purchaser or Supplier.

F. GUARANTEES AND LIABILITIES

28. Operational Acceptance Time Guarantee

- 28.1 The Supplier guarantees that it shall complete the supply, Installation, Commissioning, and achieve Operational Acceptance of the System (or Subsystems, pursuant to the SCC for GCC Clause 27.2.1) within the time periods specified in the Implementation Schedule and/or the Agreed Project Plan pursuant to GCC Clause 8.2, or within such extended time to which the Supplier shall be entitled under GCC Clause 40 (Extension of Time for Achieving Operational Acceptance).
- 28.2 **Unless otherwise specified in the SCC**, if the Supplier fails to supply, install, commission, and achieve Operational Acceptance of the System (or Subsystems pursuant to the SCC for GCC Clause 27.2.1) within the time for achieving Operational Acceptance specified in the Implementation Schedule or the Agreed Project Plan, or any extension of the time for achieving Operational Acceptance previously granted under GCC Clause 40 (Extension of Time for Achieving Operational Acceptance), the Supplier shall pay to the Purchaser liquidated damages at the rate of one half of one percent per week as a percentage of the Contract Price (exclusive of Recurrent Costs if any), or the relevant part of the Contract Price if a Subsystem has not achieved Operational Acceptance. The aggregate amount of such liquidated damages shall in no event exceed the amount of ten (10) percent of the Contract Price (exclusive of Recurrent Costs if any). Once the Maximum is reached, the Purchaser may consider termination of the Contract, pursuant to GCC Clause 41.2.2.
- 28.3 **Unless otherwise specified in the SCC**, liquidated damages payable under GCC Clause 28.2 shall apply only to the failure to achieve Operational Acceptance of the System (and Subsystems) as specified in the Implementation Schedule and/or Agreed Project Plan. This Clause 28.3 shall not limit, however, any other rights or

remedies the Purchaser may have under the Contract for other delays.

- 28.4 If liquidated damages are claimed by the Purchaser for the System (or Subsystem), the Supplier shall have no further liability whatsoever to the Purchaser in respect to the Operational Acceptance time guarantee for the System (or Subsystem). However, the payment of liquidated damages shall not in any way relieve the Supplier from any of its obligations to complete the System or from any other of its obligations and liabilities under the Contract.

29. Defect Liability

- 29.1 The Supplier warrants that the System, including all Information Technologies, Materials, and other Goods supplied and Services provided, shall be free from defects in the design, engineering, Materials, and workmanship that prevent the System and/or any of its components from fulfilling the Technical Requirements or that limit in a material fashion the performance, reliability, or extensibility of the System and/or Subsystems. **Unless otherwise specified in the SCC**, there will be NO exceptions and/or limitations to this warranty with respect to Software (or categories of Software). Commercial warranty provisions of products supplied under the Contract shall apply to the extent that they do not conflict with the provisions of this Contract.
- 29.2 The Supplier also warrants that the Information Technologies, Materials, and other Goods supplied under the Contract are new, unused, and incorporate all recent improvements in design that materially affect the System's or Subsystem's ability to fulfill the Technical Requirements.
- 29.3 **Unless otherwise specified in the SCC**, the Supplier warrants that: (i) all Goods components to be incorporated into the System form part of the Supplier's and/or Subcontractor's current product lines, and (ii) they have been previously released to the market.
- 29.4 **Unless otherwise specified in the SCC**, the Warranty Period shall commence from the date of Operational Acceptance of the System (or of any major component or Subsystem for which separate Operational Acceptance is provided for in the Contract) and shall extend for thirty-six (36) months.
- 29.5 If during the Warranty Period any defect as described in GCC Clause 29.1 should be found in the design,

engineering, Materials, and workmanship of the Information Technologies and other Goods supplied or of the Services provided by the Supplier, the Supplier shall promptly, in consultation and agreement with the Purchaser regarding appropriate remedying of the defects, and at its sole cost, repair, replace, or otherwise make good (as the Supplier shall, at its discretion, determine) such defect as well as any damage to the System caused by such defect. Any defective Information Technologies or other Goods that have been replaced by the Supplier shall remain the property of the Supplier.

- 29.6 The Supplier shall not be responsible for the repair, replacement, or making good of any defect, or of any damage to the System arising out of or resulting from any of the following causes:
- (a) improper operation or maintenance of the System by the Purchaser;
 - (b) normal wear and tear;
 - (c) use of the System with items not supplied by the Supplier, unless otherwise identified in the Technical Requirements, or approved by the Supplier; or
 - (d) modifications made to the System by the Purchaser, or a third party, not approved by the Supplier.
- 29.7 The Supplier's obligations under this GCC Clause 29 shall not apply to:
- (a) any materials that are normally consumed in operation or have a normal life shorter than the Warranty Period; or
 - (b) any designs, specifications, or other data designed, supplied, or specified by or on behalf of the Purchaser or any matters for which the Supplier has disclaimed responsibility, in accordance with GCC Clause 21.1.2.
- 29.8 The Purchaser shall give the Supplier a notice promptly following the discovery of such defect, stating the nature of any such defect together with all available evidence. The Purchaser shall afford all reasonable opportunity for the Supplier to inspect any such defect. The Purchaser shall afford the Supplier all necessary access to the System and the site to enable the Supplier to perform its obligations under this GCC Clause 29.

- 29.9 The Supplier may, with the consent of the Purchaser, remove from the site any Information Technologies and other Goods that are defective, if the nature of the defect, and/or any damage to the System caused by the defect, is such that repairs cannot be expeditiously carried out at the site. If the repair, replacement, or making good is of such a character that it may affect the efficiency of the System, the Purchaser may give the Supplier notice requiring that tests of the defective part be made by the Supplier immediately upon completion of such remedial work, whereupon the Supplier shall carry out such tests.

If such part fails the tests, the Supplier shall carry out further repair, replacement, or making good (as the case may be) until that part of the System passes such tests. The tests shall be agreed upon by the Purchaser and the Supplier.

- 29.10 **Unless otherwise specified in the SCC**, the response times and repair/replacement times for Warranty Defect Repair are specified in the Technical Requirements. Nevertheless, if the Supplier fails to commence the work necessary to remedy such defect or any damage to the System caused by such defect within two weeks the Purchaser may, following notice to the Supplier, proceed to do such work or contract a third party (or parties) to do such work, and the reasonable costs incurred by the Purchaser in connection with such work shall be paid to the Purchaser by the Supplier or may be deducted by the Purchaser from any monies due the Supplier or claimed under the Performance Security.
- 29.11 If the System or Subsystem cannot be used by reason of such defect and/or making good of such defect, the Warranty Period for the System shall be extended by a period equal to the period during which the System or Subsystem could not be used by the Purchaser because of such defect and/or making good of such defect.
- 29.12 Items substituted for defective parts of the System during the Warranty Period shall be covered by the Defect Liability Warranty for the remainder of the Warranty Period applicable for the part replaced or three (3) months, whichever is greater. For reasons of information security, the Purchaser may choose to retain physical possession of any replaced defective information storage devices.
- 29.13 At the request of the Purchaser and without prejudice to any other rights and remedies that the Purchaser may have

against the Supplier under the Contract, the Supplier will offer all possible assistance to the Purchaser to seek warranty services or remedial action from any subcontracted third-party producers or licensor of Goods included in the System, including without limitation assignment or transfer in favor of the Purchaser of the benefit of any warranties given by such producers or licensors to the Supplier.

**30. Functional
Guarantees**

- 30.1 The Supplier guarantees that, once the Operational Acceptance Certificate(s) has been issued, the System represents a complete, integrated solution to the Purchaser's requirements set forth in the Technical Requirements and it conforms to all other aspects of the Contract. The Supplier acknowledges that GCC Clause 27 regarding Commissioning and Operational Acceptance governs how technical conformance of the System to the Contract requirements will be determined.
- 30.2 If, for reasons attributable to the Supplier, the System does not conform to the Technical Requirements or does not conform to all other aspects of the Contract, the Supplier shall at its cost and expense make such changes, modifications, and/or additions to the System as may be necessary to conform to the Technical Requirements and meet all functional and performance standards. The Supplier shall notify the Purchaser upon completion of the necessary changes, modifications, and/or additions and shall request the Purchaser to repeat the Operational Acceptance Tests until the System achieves Operational Acceptance.
- 30.3 If the System (or Subsystem[s]) fails to achieve Operational Acceptance, the Purchaser may consider termination of the Contract, pursuant to GCC Clause 41.2.2, and forfeiture of the Supplier's Performance Security in accordance with GCC Clause 13.3 in compensation for the extra costs and delays likely to result from this failure.

**31. Intellectual
Property Rights
Warranty**

- 31.1 The Supplier hereby represents and warrants that:
- (a) the System as supplied, installed, tested, and accepted;
 - (b) use of the System in accordance with the Contract; and

- (c) copying of the Software and Materials provided to the Purchaser in accordance with the Contract

do not and will not infringe any Intellectual Property Rights held by any third party and that it has all necessary rights or at its sole expense shall have secured in writing all transfers of rights and other consents necessary to make the assignments, licenses, and other transfers of Intellectual Property Rights and the warranties set forth in the Contract, and for the Purchaser to own or exercise all Intellectual Property Rights as provided in the Contract. Without limitation, the Supplier shall secure all necessary written agreements, consents, and transfers of rights from its employees and other persons or entities whose services are used for development of the System.

**32. Intellectual
Property Rights
Indemnity**

- 32.1 The Supplier shall indemnify and hold harmless the Purchaser and its employees and officers from and against any and all losses, liabilities, and costs (including losses, liabilities, and costs incurred in defending a claim alleging such a liability), that the Purchaser or its employees or officers may suffer as a result of any infringement or alleged infringement of any Intellectual Property Rights by reason of:
 - (a) installation of the System by the Supplier or the use of the System, including the Materials, in the country where the site is located;
 - (b) copying of the Software and Materials provided the Supplier in accordance with the Agreement; and
 - (c) sale of the products produced by the System in any country, except to the extent that such losses, liabilities, and costs arise as a result of the Purchaser's breach of GCC Clause 32.2.
- 32.2 Such indemnity shall not cover any use of the System, including the Materials, other than for the purpose indicated by or to be reasonably inferred from the Contract, any infringement resulting from the use of the System, or any products of the System produced thereby in association or combination with any other goods or services not supplied by the Supplier, where the infringement arises because of such association or combination and not because of use of the System in its own right.

32.3 Such indemnities shall also not apply if any claim of infringement:

- (a) is asserted by a parent, subsidiary, or affiliate of the Purchaser's organization;
- (b) is a direct result of a design mandated by the Purchaser's Technical Requirements and the possibility of such infringement was duly noted in the Supplier's Proposal; or
- (c) results from the alteration of the System, including the Materials, by the Purchaser or any persons other than the Supplier or a person authorized by the Supplier.

32.4 If any proceedings are brought or any claim is made against the Purchaser arising out of the matters referred to in GCC Clause 32.1, the Purchaser shall promptly give the Supplier notice of such proceedings or claims, and the Supplier may at its own expense and in the Purchaser's name conduct such proceedings or claim and any negotiations for the settlement of any such proceedings or claim.

If the Supplier fails to notify the Purchaser within twenty-eight (28) days after receipt of such notice that it intends to conduct any such proceedings or claim, then the Purchaser shall be free to conduct the same on its own behalf. Unless the Supplier has so failed to notify the Purchaser within the twenty-eight (28) days, the Purchaser shall make no admission that may be prejudicial to the defense of any such proceedings or claim. The Purchaser shall, at the Supplier's request, afford all available assistance to the Supplier in conducting such proceedings or claim and shall be reimbursed by the Supplier for all reasonable expenses incurred in so doing.

32.5 The Purchaser shall indemnify and hold harmless the Supplier and its employees, officers, and Subcontractors from and against any and all losses, liabilities, and costs (including losses, liabilities, and costs incurred in defending a claim alleging such a liability) that the Supplier or its employees, officers, or Subcontractors may suffer as a result of any infringement or alleged infringement of any Intellectual Property Rights arising out of or in connection with any design, data, drawing, specification, or other documents or materials provided to the Supplier in connection with this Contract by the Purchaser or any persons (other than the Supplier) contracted by the

Purchaser, except to the extent that such losses, liabilities, and costs arise as a result of the Supplier's breach of GCC Clause 32.8.

32.6 Such indemnity shall not cover

- (a) any use of the design, data, drawing, specification, or other documents or materials, other than for the purpose indicated by or to be reasonably inferred from the Contract;
- (b) any infringement resulting from the use of the design, data, drawing, specification, or other documents or materials, or any products produced thereby, in association or combination with any other Goods or Services not provided by the Purchaser or any other person contracted by the Purchaser, where the infringement arises because of such association or combination and not because of the use of the design, data, drawing, specification, or other documents or materials in its own right.

32.7 Such indemnities shall also not apply:

- (a) if any claim of infringement is asserted by a parent, subsidiary, or affiliate of the Supplier's organization;
- (b) to the extent that any claim of infringement is caused by the alteration, by the Supplier, or any persons contracted by the Supplier, of the design, data, drawing, specification, or other documents or materials provided to the Supplier by the Purchaser or any persons contracted by the Purchaser.

32.8 If any proceedings are brought or any claim is made against the Supplier arising out of the matters referred to in GCC Clause 32.5, the Supplier shall promptly give the Purchaser notice of such proceedings or claims, and the Purchaser may at its own expense and in the Supplier's name conduct such proceedings or claim and any negotiations for the settlement of any such proceedings or claim. If the Purchaser fails to notify the Supplier within twenty-eight (28) days after receipt of such notice that it intends to conduct any such proceedings or claim, then the Supplier shall be free to conduct the same on its own behalf. Unless the Purchaser has so failed to notify the Supplier within the twenty-eight (28) days, the Supplier shall make no admission that may be prejudicial to the defense of any such proceedings or claim. The Supplier shall, at the Purchaser's request, afford all available assistance to the Purchaser in

conducting such proceedings or claim and shall be reimbursed by the Purchaser for all reasonable expenses incurred in so doing.

33. Limitation of Liability

33.1 Provided the following does not exclude or limit any liabilities of either party in ways not permitted by applicable law:

- (a) the Supplier shall not be liable to the Purchaser, whether in contract, tort, or otherwise, for any indirect or consequential loss or damage, loss of use, loss of production, or loss of profits or interest costs, provided that this exclusion shall not apply to any obligation of the Supplier to pay liquidated damages to the Purchaser; and
- (b) the aggregate liability of the Supplier to the Purchaser, whether under the Contract, in tort or otherwise, shall not exceed the total Contract Price, provided that this limitation shall not apply to any obligation of the Supplier to indemnify the Purchaser with respect to intellectual property rights infringement.

G. RISK DISTRIBUTION

34. Transfer of Ownership

34.1 With the exception of Software and Materials, the ownership of the Information Technologies and other Goods shall be transferred to the Purchaser at the time of Delivery or otherwise under terms that may be agreed upon and specified in the Contract Agreement.

34.2 Ownership and the terms of usage of the Software and Materials supplied under the Contract shall be governed by GCC Clause 15 (Copyright) Clause 16 (Software License Agreements), and any elaboration in the Technical Requirements.

34.3 Ownership of the Supplier's Equipment used by the Supplier and its Subcontractors in connection with the Contract shall remain with the Supplier or its Subcontractors.

35. Care of the System

35.1 The Purchaser shall become responsible for the care and custody of the System or Subsystems upon their Delivery. The Purchaser shall make good at its own cost any loss or damage that may occur to the System or Subsystems from

any cause from the date of Delivery until the date of Operational Acceptance of the System or Subsystems, pursuant to GCC Clause 27 (Commissioning and Operational Acceptance), excepting such loss or damage arising from acts or omissions of the Supplier, its employees, or subcontractors.

35.2 If any loss or damage occurs to the System or any part of the System by reason of:

- (a) (insofar as they relate to the country where the Project Site is located) nuclear reaction, nuclear radiation, radioactive contamination, a pressure wave caused by aircraft or other aerial objects, or any other occurrences that an experienced Supplier could not reasonably foresee, or if reasonably foreseeable could not reasonably make provision for or insure against, insofar as such risks are not normally insurable on the insurance market and are mentioned in the general exclusions of the policy of insurance taken out under GCC Clause 37;
- (b) any use not in accordance with the Contract, by the Purchaser or any third party;
- (c) any use of or reliance upon any design, data, or specification provided or designated by or on behalf of the Purchaser, or any such matter for which the Supplier has disclaimed responsibility in accordance with GCC Clause 21.1.2,

the Purchaser shall pay to the Supplier all sums payable in respect of the System or Subsystems that have achieved Operational Acceptance, notwithstanding that the same be lost, destroyed, or damaged. If the Purchaser requests the Supplier in writing to make good any loss or damage to the System thereby occasioned, the Supplier shall make good the same at the cost of the Purchaser in accordance with GCC Clause 39. If the Purchaser does not request the Supplier in writing to make good any loss or damage to the System thereby occasioned, the Purchaser shall either request a change in accordance with GCC Clause 39, excluding the performance of that part of the System thereby lost, destroyed, or damaged, or, where the loss or damage affects a substantial part of the System, the Purchaser shall terminate the Contract pursuant to GCC Clause 41.1.

35.3 The Purchaser shall be liable for any loss of or damage to any Supplier's Equipment which the Purchaser has

- authorized to locate within the Purchaser's premises for use in fulfillment of Supplier's obligations under the Contract, except where such loss or damage arises from acts or omissions of the Supplier, its employees, or subcontractors.
- 36. Loss of or Damage to Property; Accident or Injury to Workers; Indemnification**
- 36.1 The Supplier and each and every Subcontractor shall abide by the job safety, insurance, customs, and immigration measures prevalent and laws in force in the Purchaser's Country.
- 36.2 Subject to GCC Clause 36.3, the Supplier shall indemnify and hold harmless the Purchaser and its employees and officers from and against any and all losses, liabilities and costs (including losses, liabilities, and costs incurred in defending a claim alleging such a liability) that the Purchaser or its employees or officers may suffer as a result of the death or injury of any person or loss of or damage to any property (other than the System, whether accepted or not) arising in connection with the supply, installation, testing, and Commissioning of the System and by reason of the negligence of the Supplier or its Subcontractors, or their employees, officers or agents, except any injury, death, or property damage caused by the negligence of the Purchaser, its contractors, employees, officers, or agents.
- 36.3 If any proceedings are brought or any claim is made against the Purchaser that might subject the Supplier to liability under GCC Clause 36.2, the Purchaser shall promptly give the Supplier notice of such proceedings or claims, and the Supplier may at its own expense and in the Purchaser's name conduct such proceedings or claim and any negotiations for the settlement of any such proceedings or claim. If the Supplier fails to notify the Purchaser within twenty-eight (28) days after receipt of such notice that it intends to conduct any such proceedings or claim, then the Purchaser shall be free to conduct the same on its own behalf. Unless the Supplier has so failed to notify the Purchaser within the twenty-eight (28) day period, the Purchaser shall make no admission that may be prejudicial to the defense of any such proceedings or claim. The Purchaser shall, at the Supplier's request, afford all available assistance to the Supplier in conducting such proceedings or claim and shall be reimbursed by the Supplier for all reasonable expenses incurred in so doing.
- 36.4 The Purchaser shall indemnify and hold harmless the Supplier and its employees, officers, and Subcontractors from any and all losses, liabilities, and costs (including

losses, liabilities, and costs incurred in defending a claim alleging such a liability) that the Supplier or its employees, officers, or Subcontractors may suffer as a result of the death or personal injury of any person or loss of or damage to property of the Purchaser, other than the System not yet achieving Operational Acceptance, that is caused by fire, explosion, or any other perils, in excess of the amount recoverable from insurances procured under GCC Clause 37 (Insurances), provided that such fire, explosion, or other perils were not caused by any act or failure of the Supplier.

- 36.5 If any proceedings are brought or any claim is made against the Supplier that might subject the Purchaser to liability under GCC Clause 36.4, the Supplier shall promptly give the Purchaser notice of such proceedings or claims, and the Purchaser may at its own expense and in the Supplier's name conduct such proceedings or claim and any negotiations for the settlement of any such proceedings or claim. If the Purchaser fails to notify the Supplier within twenty-eight (28) days after receipt of such notice that it intends to conduct any such proceedings or claim, then the Supplier shall be free to conduct the same on its own behalf. Unless the Purchaser has so failed to notify the Supplier within the twenty-eight (28) days, the Supplier shall make no admission that may be prejudicial to the defense of any such proceedings or claim. The Supplier shall, at the Purchaser's request, afford all available assistance to the Purchaser in conducting such proceedings or claim and shall be reimbursed by the Purchaser for all reasonable expenses incurred in so doing.
- 36.6 The party entitled to the benefit of an indemnity under this GCC Clause 36 shall take all reasonable measures to mitigate any loss or damage that has occurred. If the party fails to take such measures, the other party's liabilities shall be correspondingly reduced.

37. Insurances

- 37.1 The Supplier shall at its expense take out and maintain in effect, or cause to be taken out and maintained in effect, during the performance of the Contract, the insurance set forth below. The identity of the insurers and the form of the policies shall be subject to the approval of the Purchaser, who should not unreasonably withhold such approval.

(a) Cargo Insurance During Transport

as applicable, 110 percent of the price of the Information Technologies and other Goods in a freely convertible currency, covering the Goods from physical loss or damage during shipment through receipt at the Project Site.

(b) Installation “All Risks” Insurance

as applicable, 110 percent of the price of the Information Technologies and other Goods covering the Goods at the site from all risks of physical loss or damage (excluding only perils commonly excluded under “all risks” insurance policies of this type by reputable insurers) occurring prior to Operational Acceptance of the System.

(c) Third-Party Liability Insurance

On terms as **specified in the SCC**, covering bodily injury or death suffered by third parties (including the Purchaser’s personnel) and loss of or damage to property (including the Purchaser’s property and any Subsystems that have been accepted by the Purchaser) occurring in connection with the supply and installation of the Information System.

(d) Automobile Liability Insurance

In accordance with the statutory requirements prevailing in the Purchaser’s Country, covering use of all vehicles used by the Supplier or its Subcontractors (whether or not owned by them) in connection with the execution of the Contract.

(e) Other Insurance (if any), as **specified in the SCC**.

37.2 The Purchaser shall be named as co-insured under all insurance policies taken out by the Supplier pursuant to GCC Clause 37.1, except for the Third-Party Liability, and the Supplier’s Subcontractors shall be named as co-insured under all insurance policies taken out by the Supplier pursuant to GCC Clause 37.1 except for Cargo Insurance During Transport. All insurer’s rights of subrogation against such co-insured for losses or claims arising out of the performance of the Contract shall be waived under such policies.

37.3 The Supplier shall deliver to the Purchaser certificates of insurance (or copies of the insurance policies) as evidence that the required policies are in full force and effect.

- 37.4 The Supplier shall ensure that, where applicable, its Subcontractor(s) shall take out and maintain in effect adequate insurance policies for their personnel and vehicles and for work executed by them under the Contract, unless such Subcontractors are covered by the policies taken out by the Supplier.
- 37.5 If the Supplier fails to take out and/or maintain in effect the insurance referred to in GCC Clause 37.1, the Purchaser may take out and maintain in effect any such insurance and may from time to time deduct from any amount due the Supplier under the Contract any premium that the Purchaser shall have paid to the insurer or may otherwise recover such amount as a debt due from the Supplier.
- 37.6 Unless otherwise provided in the Contract, the Supplier shall prepare and conduct all and any claims made under the policies affected by it pursuant to this GCC Clause 37, and all monies payable by any insurers shall be paid to the Supplier. The Purchaser shall give to the Supplier all such reasonable assistance as may be required by the Supplier in connection with any claim under the relevant insurance policies. With respect to insurance claims in which the Purchaser's interest is involved, the Supplier shall not give any release or make any compromise with the insurer without the prior written consent of the Purchaser. With respect to insurance claims in which the Supplier's interest is involved, the Purchaser shall not give any release or make any compromise with the insurer without the prior written consent of the Supplier.
- 38. Force Majeure**
- 38.1 "Force Majeure" shall mean any event beyond the reasonable control of the Purchaser or of the Supplier, as the case may be, and which is unavoidable notwithstanding the reasonable care of the party affected and shall include, without limitation, the following:
- (a) war, hostilities, or warlike operations (whether a state of war be declared or not), invasion, act of foreign enemy, and civil war;
 - (b) rebellion, revolution, insurrection, mutiny, usurpation of civil or military government, conspiracy, riot, civil commotion, and terrorist acts;
 - (c) confiscation, nationalization, mobilization, commandeering or requisition by or under the order of any government or de jure or de facto authority or

- ruler, or any other act or failure to act of any local state or national government authority;
- (d) strike, sabotage, lockout, embargo, import restriction, port congestion, lack of usual means of public transportation and communication, industrial dispute, shipwreck, shortage or restriction of power supply, epidemics, quarantine, and plague;
 - (e) earthquake, landslide, volcanic activity, fire, flood or inundation, tidal wave, typhoon or cyclone, hurricane, storm, lightning, or other inclement weather condition, nuclear and pressure waves, or other natural or physical disaster;
 - (f) failure, by the Supplier, to obtain the necessary export permit(s) from the governments of the Country(s) of Origin of the Information Technologies or other Goods, or Supplier's Equipment provided that the Supplier has made all reasonable efforts to obtain the required export permit(s), including the exercise of due diligence in determining the eligibility of the System and all of its components for receipt of the necessary export permits.
- 38.2 If either party is prevented, hindered, or delayed from or in performing any of its obligations under the Contract by an event of Force Majeure, then it shall notify the other in writing of the occurrence of such event and the circumstances of the event of Force Majeure within fourteen (14) days after the occurrence of such event.
- 38.3 The party who has given such notice shall be excused from the performance or punctual performance of its obligations under the Contract for so long as the relevant event of Force Majeure continues and to the extent that such party's performance is prevented, hindered, or delayed. The Time for Achieving Operational Acceptance shall be extended in accordance with GCC Clause 40 (Extension of Time for Achieving Operational Acceptance).
- 38.4 The party or parties affected by the event of Force Majeure shall use reasonable efforts to mitigate the effect of the event of Force Majeure upon its or their performance of the Contract and to fulfill its or their obligations under the Contract, but without prejudice to either party's right to terminate the Contract under GCC Clause 38.6.

- 38.5 No delay or nonperformance by either party to this Contract caused by the occurrence of any event of Force Majeure shall:
- (a) constitute a default or breach of the Contract;
 - (b) (subject to GCC Clauses 35.2, 38.3, and 38.4) give rise to any claim for damages or additional cost or expense occasioned by the delay or nonperformance, if, and to the extent that, such delay or nonperformance is caused by the occurrence of an event of Force Majeure.
- 38.6 If the performance of the Contract is substantially prevented, hindered, or delayed for a single period of more than sixty (60) days or an aggregate period of more than one hundred and twenty (120) days on account of one or more events of Force Majeure during the time period covered by the Contract, the parties will attempt to develop a mutually satisfactory solution, failing which, either party may terminate the Contract by giving a notice to the other.
- 38.7 In the event of termination pursuant to GCC Clause 38.6, the rights and obligations of the Purchaser and the Supplier shall be as specified in GCC Clauses 41.1.2 and 41.1.3.
- 38.8 Notwithstanding GCC Clause 38.5, Force Majeure shall not apply to any obligation of the Purchaser to make payments to the Supplier under this Contract.

H. CHANGE IN CONTRACT ELEMENTS

39. Changes to the System

- 39.1 Introducing a Change
- 39.1.1 Subject to GCC Clauses 39.2.5 and 39.2.7, the Purchaser shall have the right to propose, and subsequently require, the Contract Manager to order the Supplier from time to time during the performance of the Contract to make any change, modification, addition, or deletion to, in, or from the System (interchangeably called “Change”), provided that such Change falls within the general scope of the System, does not constitute unrelated work, and is technically practicable, taking into account both the state of advancement of the System and the technical compatibility of the Change envisaged with the nature of the System as originally specified in the Contract.

A Change may involve, but is not restricted to, the substitution of updated Information Technologies and

related Services in accordance with GCC Clause 23 (Product Upgrades).

- 39.1.2 The Supplier may from time to time during its performance of the Contract propose to the Purchaser (with a copy to the Contract Manager) any Change that the Supplier considers necessary or desirable to improve the quality or efficiency of the System. The Purchaser may at its discretion approve or reject any Change proposed by the Supplier.
- 39.1.3 Notwithstanding GCC Clauses 39.1.1 and 39.1.2, no change made necessary because of any default of the Supplier in the performance of its obligations under the Contract shall be deemed to be a Change, and such change shall not result in any adjustment of the Contract Price or the Time for Achieving Operational Acceptance.
- 39.1.4 The procedure on how to proceed with and execute Changes is specified in GCC Clauses 39.2 and 39.3, and further details and sample forms are provided in the Sample Contractual Forms Section in the request for proposals document.
- 39.1.5 Moreover, the Purchaser and Supplier will agree, during development of the Project Plan, to a date prior to the scheduled date for Operational Acceptance, after which the Technical Requirements for the System shall be “frozen.” Any Change initiated after this time will be dealt with after Operational Acceptance.
- 39.2 Changes Originating from Purchaser
- 39.2.1 If the Purchaser proposes a Change pursuant to GCC Clauses 39.1.1, it shall send to the Supplier a “Request for Change Proposal,” requiring the Supplier to prepare and furnish to the Contract Manager as soon as reasonably practicable a “Change Proposal,” which shall include the following:
- (a) brief description of the Change;
 - (b) impact on the Time for Achieving Operational Acceptance;
 - (c) detailed estimated cost of the Change;
 - (d) effect on Functional Guarantees (if any);
 - (e) effect on any other provisions of the Contract; and

- (f) any additional documents as **specified in the SCC**.
- 39.2.2 Prior to preparing and submitting the “Change Proposal,” the Supplier shall submit to the Contract Manager a “Change Estimate Proposal,” which shall be an estimate of the cost of preparing the Change Proposal, plus a first approximation of the suggested approach and cost for implementing the changes. Upon receipt of the Supplier’s Change Estimate Proposal, the Purchaser shall do one of the following:
- (a) accept the Supplier’s estimate with instructions to the Supplier to proceed with the preparation of the Change Proposal;
 - (b) advise the Supplier of any part of its Change Estimate Proposal that is unacceptable and request the Supplier to review its estimate;
 - (c) advise the Supplier that the Purchaser does not intend to proceed with the Change.
- 39.2.3 Upon receipt of the Purchaser’s instruction to proceed under GCC Clause 39.2.2 (a), the Supplier shall, with proper expedition, proceed with the preparation of the Change Proposal, in accordance with GCC Clause 39.2.1. The Supplier, at its discretion, may specify a validity period for the Change Proposal, after which if the Purchaser and Supplier has not reached agreement in accordance with GCC Clause 39.2.6, then GCC Clause 39.2.7 shall apply.
- 39.2.4 The pricing of any Change shall, as far as practicable, be calculated in accordance with the rates and prices included in the Contract. If the nature of the Change is such that the Contract rates and prices are inequitable, the parties to the Contract shall agree on other specific rates to be used for valuing the Change.
- 39.2.5 If before or during the preparation of the Change Proposal it becomes apparent that the aggregate impact of compliance with the Request for Change Proposal and with all other Change Orders that have already become binding upon the Supplier under this GCC Clause 39 would be to increase or decrease the Contract Price as originally set forth in Article 2 (Contract Price) of the Contract Agreement by more than fifteen (15) percent, the Supplier may give a written notice of objection to this Request for Change Proposal prior to furnishing the Change Proposal. If

the Purchaser accepts the Supplier's objection, the Purchaser shall withdraw the proposed Change and shall notify the Supplier in writing of its acceptance.

The Supplier's failure to so object to a Request for Change Proposal shall neither affect its right to object to any subsequent requested Changes or Change Orders, nor affect its right to take into account, when making such subsequent objection, the percentage increase or decrease in the Contract Price that any Change not objected to by the Supplier represents.

39.2.6 Upon receipt of the Change Proposal, the Purchaser and the Supplier shall mutually agree upon all matters contained in the Change Proposal. Within fourteen (14) days after such agreement, the Purchaser shall, if it intends to proceed with the Change, issue the Supplier a Change Order. If the Purchaser is unable to reach a decision within fourteen (14) days, it shall notify the Supplier with details of when the Supplier can expect a decision. If the Purchaser decides not to proceed with the Change for whatever reason, it shall, within the said period of fourteen (14) days, notify the Supplier accordingly. Under such circumstances, the Supplier shall be entitled to reimbursement of all costs reasonably incurred by it in the preparation of the Change Proposal, provided that these do not exceed the amount given by the Supplier in its Change Estimate Proposal submitted in accordance with GCC Clause 39.2.2.

39.2.7 If the Purchaser and the Supplier cannot reach agreement on the price for the Change, an equitable adjustment to the Time for Achieving Operational Acceptance, or any other matters identified in the Change Proposal, the Change will not be implemented. However, this provision does not limit the rights of either party under GCC Clause 6 (Settlement of Disputes).

39.3 Changes Originating from Supplier

If the Supplier proposes a Change pursuant to GCC Clause 39.1.2, the Supplier shall submit to the Contract Manager a written "Application for Change Proposal," giving reasons for the proposed Change and including the information specified in GCC Clause 39.2.1. Upon receipt of the Application for Change Proposal, the parties shall follow the procedures outlined in GCC Clauses 39.2.6 and

39.2.7. However, should the Purchaser choose not to proceed or the Purchaser and the Supplier cannot come to agreement on the change during any validity period that the Supplier may specify in its Application for Change Proposal, the Supplier shall not be entitled to recover the costs of preparing the Application for Change Proposal, unless subject to an agreement between the Purchaser and the Supplier to the contrary.

39.4 Value engineering. The Supplier may prepare, at its own cost, a value engineering proposal at any time during the performance of the Contract. The value engineering proposal shall, at a minimum, include the following;

- (a) the proposed change(s), and a description of the difference to the existing Contract requirements;
- (b) a full cost/benefit analysis of the proposed change(s) including a description and estimate of costs (including life cycle costs) the Purchaser may incur in implementing the value engineering proposal; and
- (c) a description of any effect(s) of the change on performance/functionality.

The Purchaser may accept the value engineering proposal if the proposal demonstrates benefits that:

- (a) accelerates the delivery period; or
- (b) reduces the Contract Price or the life cycle costs to the Purchaser; or
- (c) improves the quality, efficiency, safety or sustainability of the systems; or
- (d) yields any other benefits to the Purchaser,

without compromising the necessary functions of the systems.

If the value engineering proposal is approved by the Purchaser and results in:

- (a) a reduction of the Contract Price; the amount to be paid to the Supplier shall be the percentage specified in the SCC of the reduction in the Contract Price; or
- (b) an increase in the Contract Price; but results in a reduction in life cycle costs due to any benefit described in (a) to (d) above,

the amount to be paid to the Supplier shall be the full increase in the Contract Price.

40. Extension of Time for Achieving Operational Acceptance

40.1 The time(s) for achieving Operational Acceptance specified in the Schedule of Implementation shall be extended if the Supplier is delayed or impeded in the performance of any of its obligations under the Contract by reason of any of the following:

- (a) any Change in the System as provided in GCC Clause 39 (Change in the Information System);
- (b) any occurrence of Force Majeure as provided in GCC Clause 38 (Force Majeure);
- (c) default of the Purchaser; or
- (d) any other matter specifically mentioned in the Contract;

by such period as shall be fair and reasonable in all the circumstances and as shall fairly reflect the delay or impediment sustained by the Supplier.

40.2 Except where otherwise specifically provided in the Contract, the Supplier shall submit to the Contract Manager a notice of a claim for an extension of the time for achieving Operational Acceptance, together with particulars of the event or circumstance justifying such extension as soon as reasonably practicable after the commencement of such event or circumstance. As soon as reasonably practicable after receipt of such notice and supporting particulars of the claim, the Purchaser and the Supplier shall agree upon the period of such extension. In the event that the Supplier does not accept the Purchaser's estimate of a fair and reasonable time extension, the Supplier shall be entitled to refer the matter to the provisions for the Settlement of Disputes pursuant to GCC Clause 43.

40.3 The Supplier shall at all times use its reasonable efforts to minimize any delay in the performance of its obligations under the Contract.

41. Termination

41.1 Termination for Purchaser's Convenience

41.1.1 The Purchaser may at any time terminate the Contract for any reason by giving the Supplier a notice of termination that refers to this GCC Clause 41.1.

41.1.2 Upon receipt of the notice of termination under GCC Clause 41.1.1, the Supplier shall either as soon as reasonably practical or upon the date specified in the notice of termination

- (a) cease all further work, except for such work as the Purchaser may specify in the notice of termination for the sole purpose of protecting that part of the System already executed, or any work required to leave the site in a clean and safe condition;
- (b) terminate all subcontracts, except those to be assigned to the Purchaser pursuant to GCC Clause 41.1.2 (d) (ii) below;
- (c) remove all Supplier's Equipment from the site, repatriate the Supplier's Personnel from the site, remove from the site any wreckage, rubbish, and debris of any kind;
- (d) in addition, the Supplier, subject to the payment specified in GCC Clause 41.1.3, shall
 - (i) deliver to the Purchaser the parts of the System executed by the Supplier up to the date of termination;
 - (ii) to the extent legally possible, assign to the Purchaser all right, title, and benefit of the Supplier to the System, or Subsystem, as at the date of termination, and, as may be required by the Purchaser, in any subcontracts concluded between the Supplier and its Subcontractors;
 - (iii) deliver to the Purchaser all nonproprietary drawings, specifications, and other documents prepared by the Supplier or its Subcontractors as of the date of termination in connection with the System.

41.1.3 In the event of termination of the Contract under GCC Clause 41.1.1, the Purchaser shall pay to the Supplier the following amounts:

- (a) the Contract Price, properly attributable to the parts of the System executed by the Supplier as of the date of termination;
- (b) the costs reasonably incurred by the Supplier in the removal of the Supplier's Equipment from the site and in the repatriation of the Supplier's Personnel;
- (c) any amount to be paid by the Supplier to its Subcontractors in connection with the

termination of any subcontracts, including any cancellation charges;

- (d) costs incurred by the Supplier in protecting the System and leaving the site in a clean and safe condition pursuant to GCC Clause 41.1.2 (a); and
- (e) the cost of satisfying all other obligations, commitments, and claims that the Supplier may in good faith have undertaken with third parties in connection with the Contract and that are not covered by GCC Clauses 41.1.3 (a) through (d) above.

41.2 Termination for Supplier's Default

41.2.1 The Purchaser, without prejudice to any other rights or remedies it may possess, may terminate the Contract forthwith in the following circumstances by giving a notice of termination and its reasons therefore to the Supplier, referring to this GCC Clause 41.2:

- (a) if the Supplier becomes bankrupt or insolvent, has a receiving order issued against it, compounds with its creditors, or, if the Supplier is a corporation, a resolution is passed or order is made for its winding up (other than a voluntary liquidation for the purposes of amalgamation or reconstruction), a receiver is appointed over any part of its undertaking or assets, or if the Supplier takes or suffers any other analogous action in consequence of debt;
- (b) if the Supplier assigns or transfers the Contract or any right or interest therein in violation of the provision of GCC Clause 42 (Assignment); or
- (c) if the Supplier, in the judgment of the Purchaser has engaged in Fraud and Corruption, as defined in paragraph 2.2 a. of the Appendix 1 to the GCC, in competing for or in executing the Contract, including but not limited to willful misrepresentation of facts concerning ownership of Intellectual Property Rights in, or proper authorization and/or licenses from the owner to offer, the hardware, software, or materials provided under this Contract.

41.2.2 If the Supplier:

- (a) has abandoned or repudiated the Contract;

- (b) has without valid reason failed to commence work on the System promptly;
- (c) persistently fails to execute the Contract in accordance with the Contract or persistently neglects to carry out its obligations under the Contract without just cause;
- (d) refuses or is unable to provide sufficient Materials, Services, or labor to execute and complete the System in the manner specified in the Agreed Project Plan furnished under GCC Clause 19 at rates of progress that give reasonable assurance to the Purchaser that the Supplier can attain Operational Acceptance of the System by the Time for Achieving Operational Acceptance as extended;

then the Purchaser may, without prejudice to any other rights it may possess under the Contract, give a notice to the Supplier stating the nature of the default and requiring the Supplier to remedy the same. If the Supplier fails to remedy or to take steps to remedy the same within thirty (30) days of its receipt of such notice, then the Purchaser may terminate the Contract forthwith by giving a notice of termination to the Supplier that refers to this GCC Clause 41.2.

41.2.3 Upon receipt of the notice of termination under GCC Clauses 41.2.1 or 41.2.2, the Supplier shall, either immediately or upon such date as is specified in the notice of termination:

- (a) cease all further work, except for such work as the Purchaser may specify in the notice of termination for the sole purpose of protecting that part of the System already executed or any work required to leave the site in a clean and safe condition;
- (b) terminate all subcontracts, except those to be assigned to the Purchaser pursuant to GCC Clause 41.2.3 (d) below;
- (c) deliver to the Purchaser the parts of the System executed by the Supplier up to the date of termination;
- (d) to the extent legally possible, assign to the Purchaser all right, title and benefit of the Supplier to the System or Subsystems as at the

date of termination, and, as may be required by the Purchaser, in any subcontracts concluded between the Supplier and its Subcontractors;

- (e) deliver to the Purchaser all drawings, specifications, and other documents prepared by the Supplier or its Subcontractors as at the date of termination in connection with the System.

41.2.4 The Purchaser may enter upon the site, expel the Supplier, and complete the System itself or by employing any third party. Upon completion of the System or at such earlier date as the Purchaser thinks appropriate, the Purchaser shall give notice to the Supplier that such Supplier's Equipment will be returned to the Supplier at or near the site and shall return such Supplier's Equipment to the Supplier in accordance with such notice. The Supplier shall thereafter without delay and at its cost remove or arrange removal of the same from the site.

41.2.5 Subject to GCC Clause 41.2.6, the Supplier shall be entitled to be paid the Contract Price attributable to the portion of the System executed as at the date of termination and the costs, if any, incurred in protecting the System and in leaving the site in a clean and safe condition pursuant to GCC Clause 41.2.3 (a). Any sums due the Purchaser from the Supplier accruing prior to the date of termination shall be deducted from the amount to be paid to the Supplier under this Contract.

41.2.6 If the Purchaser completes the System, the cost of completing the System by the Purchaser shall be determined. If the sum that the Supplier is entitled to be paid, pursuant to GCC Clause 41.2.5, plus the reasonable costs incurred by the Purchaser in completing the System, exceeds the Contract Price, the Supplier shall be liable for such excess. If such excess is greater than the sums due the Supplier under GCC Clause 41.2.5, the Supplier shall pay the balance to the Purchaser, and if such excess is less than the sums due the Supplier under GCC Clause 41.2.5, the Purchaser shall pay the balance to the Supplier. The Purchaser and the Supplier shall agree, in writing, on the computation described above and the manner in which any sums shall be paid.

41.3 Termination by Supplier

41.3.1 If:

- (a) the Purchaser has failed to pay the Supplier any sum due under the Contract within the specified period, has failed to approve any invoice or supporting documents without just cause **pursuant to the SCC**, or commits a substantial breach of the Contract, the Supplier may give a notice to the Purchaser that requires payment of such sum, with interest on this sum as stipulated in GCC Clause 12.3, requires approval of such invoice or supporting documents, or specifies the breach and requires the Purchaser to remedy the same, as the case may be. If the Purchaser fails to pay such sum together with such interest, fails to approve such invoice or supporting documents or give its reasons for withholding such approval, fails to remedy the breach or take steps to remedy the breach within fourteen (14) days after receipt of the Supplier's notice; or
- (b) the Supplier is unable to carry out any of its obligations under the Contract for any reason attributable to the Purchaser, including but not limited to the Purchaser's failure to provide possession of or access to the site or other areas or failure to obtain any governmental permit necessary for the execution and/or completion of the System;

then the Supplier may give a notice to the Purchaser of such events, and if the Purchaser has failed to pay the outstanding sum, to approve the invoice or supporting documents, to give its reasons for withholding such approval, or to remedy the breach within twenty-eight (28) days of such notice, or if the Supplier is still unable to carry out any of its obligations under the Contract for any reason attributable to the Purchaser within twenty-eight (28) days of the said notice, the Supplier may by a further notice to the Purchaser referring to this GCC Clause 41.3.1, forthwith terminate the Contract.

- 41.3.2 The Supplier may terminate the Contract immediately by giving a notice to the Purchaser to that effect, referring to this GCC Clause 41.3.2, if the Purchaser becomes bankrupt or insolvent, has a receiving order issued against it, compounds with its creditors, or,

being a corporation, if a resolution is passed or order is made for its winding up (other than a voluntary liquidation for the purposes of amalgamation or reconstruction), a receiver is appointed over any part of its undertaking or assets, or if the Purchaser takes or suffers any other analogous action in consequence of debt.

41.3.3 If the Contract is terminated under GCC Clauses 41.3.1 or 41.3.2, then the Supplier shall immediately:

- (a) cease all further work, except for such work as may be necessary for the purpose of protecting that part of the System already executed, or any work required to leave the site in a clean and safe condition;
- (b) terminate all subcontracts, except those to be assigned to the Purchaser pursuant to Clause 41.3.3 (d) (ii);
- (c) remove all Supplier's Equipment from the site and repatriate the Supplier's Personnel from the site.
- (d) In addition, the Supplier, subject to the payment specified in GCC Clause 41.3.4, shall:
 - (i) deliver to the Purchaser the parts of the System executed by the Supplier up to the date of termination;
 - (ii) to the extent legally possible, assign to the Purchaser all right, title, and benefit of the Supplier to the System, or Subsystems, as of the date of termination, and, as may be required by the Purchaser, in any subcontracts concluded between the Supplier and its Subcontractors;
 - (iii) to the extent legally possible, deliver to the Purchaser all drawings, specifications, and other documents prepared by the Supplier or its Subcontractors as of the date of termination in connection with the System.

41.3.4 If the Contract is terminated under GCC Clauses 41.3.1 or 41.3.2, the Purchaser shall pay to the Supplier all payments specified in GCC Clause 41.1.3 and reasonable compensation for all loss, except for

loss of profit, or damage sustained by the Supplier arising out of, in connection with, or in consequence of such termination.

41.3.5 Termination by the Supplier pursuant to this GCC Clause 41.3 is without prejudice to any other rights or remedies of the Supplier that may be exercised in lieu of or in addition to rights conferred by GCC Clause 41.3.

41.4 In this GCC Clause 41, the expression “portion of the System executed” shall include all work executed, Services provided, and all Information Technologies, or other Goods acquired (or subject to a legally binding obligation to purchase) by the Supplier and used or intended to be used for the purpose of the System, up to and including the date of termination.

41.5 In this GCC Clause 41, in calculating any monies due from the Purchaser to the Supplier, account shall be taken of any sum previously paid by the Purchaser to the Supplier under the Contract, including any advance payment paid **pursuant to the SCC.**

42. Assignment

42.1 Neither the Purchaser nor the Supplier shall, without the express prior written consent of the other, assign to any third party the Contract or any part thereof, or any right, benefit, obligation, or interest therein or thereunder, except that the Supplier shall be entitled to assign either absolutely or by way of charge any monies due and payable to it or that may become due and payable to it under the Contract.

I. SETTLEMENT OF DISPUTES

43. Settlement of Disputes

43.1 Adjudication

43.1.1 If any dispute of any kind whatsoever shall arise between the Purchaser and the Supplier in connection with or arising out of the Contract, including without prejudice to the generality of the foregoing, any question regarding its existence, validity, or termination, or the operation of the System (whether during the progress of implementation or after its achieving Operational Acceptance and whether before or after the termination, abandonment, or breach of the Contract), the parties shall seek to resolve any such dispute by mutual consultation. If

the parties fail to resolve such a dispute by mutual consultation within fourteen (14) days after one party has notified the other in writing of the dispute, then, if the Contract Agreement in Appendix 2 includes and names an Adjudicator, the dispute shall, within another fourteen (14) days, be referred in writing by either party to the Adjudicator, with a copy to the other party. If there is no Adjudicator specified in the Contract Agreement, the mutual consultation period stated above shall last twenty-eight (28) days (instead of fourteen), upon expiry of which either party may move to the notification of arbitration pursuant to GCC Clause 43.2.1.

- 43.1.2 The Adjudicator shall give his or her decision in writing to both parties within twenty-eight (28) days of the dispute being referred to the Adjudicator. If the Adjudicator has done so, and no notice of intention to commence arbitration has been given by either the Purchaser or the Supplier within fifty-six (56) days of such reference, the decision shall become final and binding upon the Purchaser and the Supplier. Any decision that has become final and binding shall be implemented by the parties forthwith.
- 43.1.3 The Adjudicator shall be paid an hourly fee at the rate specified in the Contract Agreement plus reasonable expenditures incurred in the execution of duties as Adjudicator, and these costs shall be divided equally between the Purchaser and the Supplier.
- 43.1.4 Should the Adjudicator resign or die, or should the Purchaser and the Supplier agree that the Adjudicator is not fulfilling his or her functions in accordance with the provisions of the Contract, a new Adjudicator shall be jointly appointed by the Purchaser and the Supplier. Failing agreement between the two within twenty-eight (28) days, the new Adjudicator shall be appointed at the request of either party by the Appointing Authority **specified in the SCC**, or, if no Appointing Authority is **specified in SCC**, the Contract shall, from this point onward and until the parties may otherwise agree on an Adjudicator or an Appointing Authority, be implemented as if there is no Adjudicator.

43.2 Arbitration

43.2.1 If

- (a) the Purchaser or the Supplier is dissatisfied with the Adjudicator's decision and acts before this decision has become final and binding pursuant to GCC Clause 43.1.2, or
- (b) the Adjudicator fails to give a decision within the allotted time from referral of the dispute pursuant to GCC Clause 43.1.2, and the Purchaser or the Supplier acts within the following fourteen (14) days, or
- (c) in the absence of an Adjudicator from the Contract Agreement, the mutual consultation pursuant to GCC Clause 43.1.1 expires without resolution of the dispute and the Purchaser or the Supplier acts within the following fourteen (14) days,

then either the Purchaser or the Supplier may act to give notice to the other party, with a copy for information to the Adjudicator in case an Adjudicator had been involved, of its intention to commence arbitration, as provided below, as to the matter in dispute, and no arbitration in respect of this matter may be commenced unless such notice is given.

43.2.2 Any dispute in respect of which a notice of intention to commence arbitration has been given, in accordance with GCC Clause 43.2.1, shall be finally settled by arbitration. Arbitration may be commenced prior to or after Installation of the Information System.

43.2.3 Arbitration proceedings shall be conducted in accordance with the rules of procedure **specified in the SCC**.

43.3 Notwithstanding any reference to the Adjudicator or arbitration in this clause,

- (a) the parties shall continue to perform their respective obligations under the Contract unless they otherwise agree;
- (b) the Purchaser shall pay the Supplier any monies due the Supplier.

J. CYBER SECURITY

44. Cyber Security

- 44.1 **Pursuant to the SCC**, the Supplier, including its Subcontractors/ suppliers/ manufacturers shall take all technical and organizational measures necessary to protect the information technology systems and data used in connection with the Contract. Without limiting the foregoing, the Supplier, including its Subcontractors/ suppliers/ manufacturers, shall use all reasonable efforts to establish, maintain, implement and comply with, reasonable information technology, information security, cyber security and data protection controls, policies and procedures, including oversight, access controls, encryption, technological and physical safeguards and business continuity/disaster recovery and security plans that are designed to protect against and prevent breach, destruction, loss, unauthorized distribution, use, access, disablement, misappropriation or modification, or other compromise or misuse of or relating to any information technology system or data used in connection with the Contract.

APPENDIX 1

Fraud and Corruption

(Text in this Appendix shall not be modified)

1. Purpose

- 1.1 The Bank's Anti-Corruption Guidelines and this annex apply with respect to procurement under Bank Investment Project Financing operations.

2. Requirements

- 2.1 The Bank requires that Borrowers (including beneficiaries of Bank financing); bidders (applicants/proposers), consultants, contractors and suppliers; any sub-contractors, sub-consultants, service providers or suppliers; any agents (whether declared or not); and any of their personnel, observe the highest standard of ethics during the procurement process, selection and contract execution of Bank-financed contracts, and refrain from Fraud and Corruption.

- 2.2 To this end, the Bank:

- a. Defines, for the purposes of this provision, the terms set forth below as follows:
 - i. "corrupt practice" is the offering, giving, receiving, or soliciting, directly or indirectly, of anything of value to influence improperly the actions of another party;
 - ii. "fraudulent practice" is any act or omission, including misrepresentation, that knowingly or recklessly misleads, or attempts to mislead, a party to obtain financial or other benefit or to avoid an obligation;
 - iii. "collusive practice" is an arrangement between two or more parties designed to achieve an improper purpose, including to influence improperly the actions of another party;
 - iv. "coercive practice" is impairing or harming, or threatening to impair or harm, directly or indirectly, any party or the property of the party to influence improperly the actions of a party;
 - v. "obstructive practice" is:
 - (a) deliberately destroying, falsifying, altering, or concealing of evidence material to the investigation or making false statements to investigators in order to materially impede a Bank investigation into allegations of a corrupt, fraudulent, coercive, or collusive practice; and/or threatening, harassing, or intimidating any party to prevent it from disclosing its knowledge of matters relevant to the investigation or from pursuing the investigation; or
 - (b) acts intended to materially impede the exercise of the Bank's inspection and audit rights provided for under paragraph 2.2 e. below.

- b. Rejects a proposal for award if the Bank determines that the firm or individual recommended for award, any of its personnel, or its agents, or its sub-consultants, sub-contractors, service providers, suppliers and/ or their employees, has, directly or indirectly, engaged in corrupt, fraudulent, collusive, coercive, or obstructive practices in competing for the contract in question;
- c. In addition to the legal remedies set out in the relevant Legal Agreement, may take other appropriate actions, including declaring misprocurement, if the Bank determines at any time that representatives of the Borrower or of a recipient of any part of the proceeds of the loan engaged in corrupt, fraudulent, collusive, coercive, or obstructive practices during the procurement process, selection and/or execution of the contract in question, without the Borrower having taken timely and appropriate action satisfactory to the Bank to address such practices when they occur, including by failing to inform the Bank in a timely manner at the time they knew of the practices;
- d. Pursuant to the Bank's Anti-Corruption Guidelines, and in accordance with the Bank's prevailing sanctions policies and procedures, may sanction a firm or individual, either indefinitely or for a stated period of time, including by publicly declaring such firm or individual ineligible (i) to be awarded or otherwise benefit from a Bank-financed contract, financially or in any other manner;¹ (ii) to be a nominated² sub-contractor, consultant, manufacturer or supplier, or service provider of an otherwise eligible firm being awarded a Bank-financed contract; and (iii) to receive the proceeds of any loan made by the Bank or otherwise to participate further in the preparation or implementation of any Bank-financed project;
- e. Requires that a clause be included in bidding/request for proposals documents and in contracts financed by a Bank loan, requiring (i) bidders (applicants/proposers), consultants, contractors, and suppliers, and their sub-contractors, sub-consultants, service providers, suppliers, agents, personnel, permit the Bank to inspect³ all accounts,

¹ For the avoidance of doubt, a sanctioned party's ineligibility to be awarded a contract shall include, without limitation, (i) applying for pre-qualification, expressing interest in a consultancy, and bidding, either directly or as a nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider, in respect of such contract, and (ii) entering into an addendum or amendment introducing a material modification to any existing contract.

² A nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider (different names are used depending on the particular bidding document) is one which has been: (i) included by the bidder in its pre-qualification application or bid because it brings specific and critical experience and know-how that allow the bidder to meet the qualification requirements for the particular bid; or (ii) appointed by the Borrower.

³ Inspections in this context usually are investigative (i.e., forensic) in nature. They involve fact-finding activities undertaken by the Bank or persons appointed by the Bank to address specific matters related to investigations/audits, such as evaluating the veracity of an allegation of possible Fraud and Corruption, through the appropriate mechanisms. Such activity includes but is not limited to: accessing and examining a firm's or individual's financial records and information, and making copies thereof as relevant; accessing and examining any other documents, data and information (whether in hard copy or electronic format) deemed relevant for the investigation/audit, and making copies thereof as relevant; interviewing staff and other relevant individuals; performing physical inspections and site visits; and obtaining third party verification of information.

records and other documents relating to the procurement process, selection and/or contract execution, and to have them audited by auditors appointed by the Bank.

APPENDIX 2

Sexual Exploitation and Abuse (SEA) and/or Sexual Harassment (SH) Performance Declaration for Subcontractors

[The following table shall be filled in by each subcontractor proposed by the Supplier, that was not named in the Contract]

Subcontractor's Name: *[insert full name]*

Date: *[insert day, month, year]*

Contract reference *[insert contract reference]*

Page *[insert page number]* of *[insert total number]* pages

SEA and/or SH Declaration
<p>We:</p> <p><input type="checkbox"/> (a) have not been subject to disqualification by the Bank for non-compliance with SEA/ SH obligations.</p> <p><input type="checkbox"/> (b) are subject to disqualification by the Bank for non-compliance with SEA/ SH obligations.</p> <p><input type="checkbox"/> (c) had been subject to disqualification by the Bank for non-compliance with SEA/ SH obligations, and were removed from the disqualification list. An arbitral award on the disqualification case has been made in our favor.</p>
<p><i>[If (c) above is applicable, attach evidence of an arbitral award reversing the findings on the issues underlying the disqualification.]</i></p>
<p>Period of disqualification: From: _____ To: _____</p>

Name of the Subcontractor _____

Name of the person duly authorized to sign on behalf of the Subcontractor _____

Title of the person signing on behalf of the Subcontractor _____

Signature of the person named above _____

Date signed _____ day of _____, _____

Countersignature of authorized representative of the Supplier:

Signature: _____

Date signed _____ day of _____, _____

SECTION IX - SPECIAL CONDITIONS OF CONTRACT

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Special Conditions of Contract

The following Special Conditions of Contract (SCC) shall supplement or amend the General Conditions of Contract (GCC). Whenever there is a conflict, the provisions of the SCC shall prevail over those in the General Conditions of Contract. For the purposes of clarity, any referenced GCC clause numbers are indicated in the left column of the SCC.

A. CONTRACT AND INTERPRETATION

Definitions (GCC Clause 1)

GCC 1.1 (a) (ix)	The applicable edition of the Procurement Regulation is dated: Procurement Regulations for IPF Borrowers” dated November 2020 (“Procurement Regulations”)
GCC 1.1 (b) (i)	The Purchaser is: The State Revenue Committee of the Republic of Armenia (SRC) and the Office of the Prime-Minister of the Republic of Armenia (PMO) The SRC shall be responsible for the substantive aspects of the Contract, including, but not limited to, receiving and accepting all deliverables related to the System or arising from the Contract, as delivered by the Supplier, as well as signing and approving the Acceptance Acts. The PMO shall be responsible for processing and executing payments to the Supplier based on the Acceptance Act issued and approved by the SRC, specifically by the Contract Manager identified under GCC 1.1(b)(ii).
GCC 1.1 (b) (ii)	The Contract Manager is: Rafayel Gevorgyan, Deputy Chairman of RA SRC.
GCC 1.1 (e) (i)	The Purchaser’s Country is: Republic of Armenia
GCC 1.1 (e) (x)	There are no Special Conditions associated with GCC 1.1 (e) (x).
GCC 1.1 (e) (xiii)	Not applicable

Interpretation (GCC Clause 3)

GCC 3.1.1	All Contract Documents and related correspondence exchanged between Purchaser and Supplier shall be written in English unless otherwise required in the Technical Requirements of this Contract and other Clauses of SCC.
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Notices (GCC Clause 4)

GCC 4.3	Address of the Contract Manager: RA State Revenue Committee 3 Movses Khorenatsi St., Yerevan 0015, Armenia
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Governing Law (GCC Clause 5)

GCC 5	The Contract shall be interpreted in accordance with the laws of: Republic of Armenia
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B. SUBJECT MATTER OF CONTRACT

Scope of the System (GCC Clause 7)

GCC 7.3	The Supplier's obligations under the Contract will include the following recurrent cost items, as identified in the Recurrent Cost tables in the Supplier's Proposal: <i>not applicable</i>
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Time for Commencement and Operational Acceptance (GCC Clause 8)

GCC 8.1	The Supplier shall commence work on the System from the Effective Date of the Contract.
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Supplier's Responsibilities (GCC Clause 9)

GCC 9.1	Health and safety manual is not required.
GCC 9.8	The following sustainable procurement contractual provisions, apply: <i>none.</i>
GCC 9.18	The Supplier is not required to make security arrangements for the Project Site/s.

C. PAYMENT

Contract Price (GCC Clause 11)

GCC 11.2	Adjustments to the Contract Price shall be as follows: not applicable.
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Terms of Payment (GCC Clause 12)

GCC 12.1	<p>Subject to the provisions of GCC Clause 12 (Terms of Payment), the Purchaser shall pay the Contract Price to the Supplier according to the categories and in the manner specified below. Only the categories Advance Payment and Complete System Integration relate to the entire Contract Price. In other payment categories, the term "total Contract Price" means the total cost of goods or services under the specific payment category.</p> <p>0. ADVANCE PAYMENT</p> <p>Ten percent (10%) of the entire Contract Price shall be paid against receipt of a claim accompanied by the Advance Payment Security specified in GCC Clause 13.2. The Advance Payment Security amount shall be reduced as per terms specified under GCC Clause 13.2.2.</p> <p>The Contract Price shall be paid in installments, based on the following payments schedule:</p> <p>1. PHASE 1. - STUDY OF THE OPERATING SYSTEM, DEVELOPING TECHNICAL DOCUMENTATION OF THE SYSTEM :</p> <p>A payment of ten percent (10%) of the total Contract Price, shall be made against acceptance of Phase 1, upon submission of claim supported by the Act of Acceptance issued by the Purchaser.</p> <p>2. PHASE 2: DEVELOPMENT, DEPLOYMENT AND CUSTOMIZATION:</p> <p>A payment of twenty percent (20%) of the total Contract Price shall be made against acceptance of Phase 2, upon submission of claim supported by the Act of Acceptance issued by the Purchaser.</p> <p>3. PHASE 3: INTEGRATION AND DATA EXCHANGE:</p> <p>A payment of thirty percent (30%) of the total Contract Price, excluding the price allocated for Phase 3, shall be made against acceptance of Phase 3, upon submission of claim supported by the Act of Acceptance issued by the Purchaser.</p> <p>4. PHASE 4: SYSTEM INTEROPERABILITY AND TECHNOLOGY TRANSFER:</p> <p>A payment of twenty percent (20%) of the total Contract Price, excluding the price allocated for Phase 4, shall be made against</p>
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	<p>acceptance of Phase 3, upon submission of claim supported by the Act of Acceptance issued by the Purchaser.</p> <p>5. COMPLETE SYSTEM INTEGRATION:</p> <p>A payment of twenty percent (20%) of the total Contract Price shall be made as the final payment upon Operational Acceptance of the System as an integrated whole, upon submission of claim supported by the Act of Acceptance issued by the Purchaser.</p> <p>The payment of the Contract price, excluding local taxes, shall be made using the funds from the Fourth Public Sector Modernization Project (LOAN NUMBER 9338-AM). Local taxes shall be paid using the funds from the RA State Budget.</p> <p>The Office of the Prime-Minister of RA shall process payments based on the Act of Acceptance, which should contain a description of the delivered Goods (with part numbers (if applicable)) and/or the services performed. The Act of Acceptance shall be issued by the Contract Manager identified under GCC 1.1 (b) (ii) and accompanied by the invoice submitted by the Supplier.</p>
GCC 12.3	The Purchaser shall pay to the Supplier interest on the delayed payments at a rate of: 6 % per annum.
GCC 12.4	The Supplier will invoice the Purchaser in the currency used in the Contract Agreement and the Price Schedules it refers to.
GCC 12.6	GCC Clause 12.6 applies.

Securities (GCC Clause 13)

GCC 13.3.1	The Performance Security shall be denominated in currency stated in the Contract Agreement for an amount equal to ten (10) percent of the Contract Price.
GCC 13.3.4	During the Warranty Period (i.e., after Operational Acceptance of the System), the Performance Security shall be reduced to 2.5 percent of the Contract Price.

D. INTELLECTUAL PROPERTY

Copyright (GCC Clause 15)

GCC 15.3	There are no Special Conditions of Contract applicable to GCC Clause 15.3
GCC 15.4	<p>The rights and obligations of the Purchaser and the Supplier regarding Custom Software or its elements are outlined as follows:</p> <p>All the software that is not qualified as third-party software shall be considered Custom Software under the present agreement.</p> <p>For the purposes of this Agreement third party Software shall mean software Intellectual Property Rights to which are existing at the time of entry into this Agreement and are not owned by the Supplier and are to have an assisting function in the operation of the final product without limiting Purchasers ability to further customize the product for other public service use cases.</p> <p>Within the scope of the project (during the provision of services), any material (document, software code, software package, or other) created and delivered by the Supplier according to the requirements will be considered "Works Made for Hire" and all exclusive economic rights to the Intellectual Property created shall be vested (transferred) to the Client, irrespective of whether the final product comprises pre-existing software developed by the Supplier. The term “all exclusive economic rights of Intellectual Property” shall have the meaning attributed to it under Armenian legislation. All rights, titles, and interests in such materials, except for those that may not be transferred according to the applicable law will be reserved for the Purchaser, as the sole and exclusive owner thereof.</p> <p>All results of the project are the property of the Purchaser.</p> <p>The Purchaser has the right to use, publish, transfer, relocate, and modify the materials specified in this TR at its discretion and without any limitations. All originals of the project results should be transferred to the Purchaser and placed in its server infrastructure.</p> <p>The Purchaser may modify, expand, transfer, copy, and develop derivative software, as well as configure individual workstations for using the system without any limitations.</p> <p>For the acceptance of the system, the Supplier must provide the Purchaser with all materials and documents related to the software.</p> <p>The Supplier guarantees and certifies to the Purchaser that:</p>

	<ul style="list-style-type: none"> • The software code and documentation provided to the Purchaser are complete, properly prepared, and accurate copies of the version of the software in use at the time of final acceptance. • After any update, the Supplier will provide the modified software code and corresponding documentation to the Purchaser within 15 days. These materials must also be complete, properly prepared, and correspond to the current version of the updated software. • Any software update must be implemented through automated deployment tools, eliminating the Supplier's access to the production environment and databases. <p>The software code must contain all necessary information in a readable format, without being encrypted, obfuscated, or hidden in any way, and must be provided to the Purchaser in a manner that allows another programmer or analyst to maintain, update, and improve the software.</p> <p>The software code and corresponding documentation must include all Supplier comments, information and process models, logic manuals, as well as process flow diagrams.</p> <p>The source code of any software packages (plugins) used in the system must be provided to the Purchaser via a GIT system, and the build of the software packages must be conducted in the Purchaser's appropriate environment.</p>
GCC 15.5	There are no Special Conditions of Contract applicable to GCC Clause 15.5

Software License Agreements (GCC Clause 16)

GCC 16	<p>The provisions of Section 16 of the GCC shall apply to Contract Agreement only to the extent they relate to third-party licenses that are required for the use or operation of the final product. As this is a Custom Software Solution, Section 16 of the GCC shall not apply to the Custom Software developed under Contract Agreement. All exclusive rights, including but not limited to intellectual property rights, in and to the Custom Software are hereby fully and irrevocably transferred to the Purchaser.</p> <p>The Supplier may use only such third-party software that is to have an assisting function in the operation of the final product without limiting Purchasers ability to further customize the product for other public service use cases.</p>
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GCC 16.1 (a) (iv)	There are no Special Conditions of Contract applicable to GCC Clause 16.1 (a) (iv)
GCC 16.1 (b) (vi)	<p>Third party licenses that are necessary to be used for the final product to operate must meet the following conditions as well:</p> <ul style="list-style-type: none"> - For additional off-the-shelf software solutions used within the system, the Purchaser must be granted time-unlimited licenses without additional costs or future payments. - The applied solutions must allow the software to be: <ul style="list-style-type: none"> - Used and copied, including on backup/server computers of similar or equivalent capacity - Used, copied, and transferred to replacement computers, or used on both primary and replacement computers simultaneously - Accessed from other computers to use or copy the software on primary or replacement computers as needed to ensure the required access - Reproduced for secure storage and replacement purposes - Adapted, customized, and integrated with other software by the Purchaser to the extent that derivative software includes any significant portion of the provided software - In the event the primary computers are decommissioned, the software must be transferable from the primary computer to a backup computer within a reasonable transition period.
GCC 16.1 (b) (vii)	<p>Third party licenses that are necessary to be used for the final product to operate must meet the following conditions as well:</p> <ul style="list-style-type: none"> - For additional off-the-shelf software solutions used within the system, the Purchaser must be granted time-unlimited licenses without additional costs or future payments. - The applied solutions must allow the software to be: <ul style="list-style-type: none"> - Used and copied, including on backup/server computers of similar or equivalent capacity - Used, copied, and transferred to replacement computers, or used on both primary and replacement computers simultaneously - Accessed from other computers to use or copy the software on primary or replacement computers as needed to ensure the required access - Reproduced for secure storage and replacement purposes

	<ul style="list-style-type: none"> - Adapted, customized, and integrated with other software by the Purchaser to the extent that derivative software includes any significant portion of the provided software - In the event the primary computers are decommissioned, the software must be transferable from the primary computer to a backup computer within a reasonable transition period.
GCC 16.2	There are no Special Conditions of Contract applicable to GCC Clause 16.2

Confidential Information (GCC Clause 17)

GCC 17.1	There are no Special Conditions of Contract applicable to GCC Clause 17.1
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E. SUPPLY, INSTALLATION, TESTING, COMMISSIONING, AND ACCEPTANCE OF THE SYSTEM

Representatives (GCC Clause 18)

GCC 18.1	There are no Special Conditions of Contract applicable to GCC Clause 18.1
GCC 18.2.2	There are no Special Conditions of Contract applicable to GCC Clause 18.2.2

Project Plan (GCC Clause 19)

GCC 19.1	<p>Chapters in the Project Plan shall address the following subject:</p> <ul style="list-style-type: none"> (i) <i>Project Organization and Management Sub-Plan, including management authorities, responsibilities, and contacts, as well as task, time and resource-bound schedules (in GANTT format);</i> (ii) <i>Implementation Sub-Plan;</i> (iii) <i>Delivery and Installation Sub-Plan;</i> (iv) <i>Warranty Defect Repair Service Sub-Plan;</i> (v) <i>Architectural design of the Proposed solution;</i>
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	<i>(vi) System Integration Sub-Plan.</i>
GCC 19.6	<p><i>The Supplier shall submit to the Purchaser:</i></p> <ol style="list-style-type: none"> 1. Supplier shall submit to the Purchaser a monthly report (on the 15th of each month) with the following structure and content: <ol style="list-style-type: none"> (i) Completed services: in this section of the report the Supplier should provide the status of services performed/implemented during the previous months in accordance with the Project Plan. (ii) Services in progress: in this section of the report, information is presented about the progress of the services that are planned for the given month in the Project plan. 2. The Supplier provides the Purchaser the Source Code of the programmed system and its description after finishing every phase. The description should be provided in a readable form so that the programmer or analyst can maintain and improve the software. The Source Code and related documentation must contain all Supplier comments, information models, process queue diagrams, etc. 3. The Supplier shall submit a final report to the Purchaser. <p>The reports must be provided electronically in the Armenian language.</p>
GCC 19.7	There are no Special Conditions of Contract applicable to GCC Clause 19.7

Design and Engineering (GCC Clause 21)

GCC 21.3.1	After completion of each Phase identified under Implementation Schedule the Supplier shall prepare and furnish to the Contract Manager Phase Report, which should include all services performed under the relevant Phase attaching all documents developed under it and existing Source Code(s).
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Product Upgrades (GCC Clause 23)

GCC 23.4	There are no Special Conditions of Contract applicable to GCC Clause 23.4.
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Inspections and Tests (GCC Clause 25)

GCC 25	There are no Special Conditions of Contract applicable to GCC Clause 25.
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Commissioning and Operational Acceptance (GCC Clause 27)

GCC 27.2.1	There are no Special Conditions of Contract applicable to GCC Clause 27.2.1.
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F. GUARANTEES AND LIABILITIES

Operational Acceptance Time Guarantee (GCC Clause 28)

GCC 28.2	There are no Special Conditions of Contract applicable to GCC Clause 28.2. Note: The Liquidated damages milestones are specified in the Implementation Schedule or the Agreed Project Plan.
GCC 28.3	Liquidated damages payable under GCC Clause 28.2 shall be applied to the failure in Delivery or Installation of the relevant Subsystem / Component/ Item as indicated in the Implementation Schedule.

Defect Liability (GCC Clause 29)

GCC 29.1	There are no Special Conditions of Contract applicable to GCC Clause 29.1.
GCC 29.4	The Warranty Period for the Information System (Software) shall commence from the date of Operational Acceptance of the System and shall extend for twelve (12) months, which is equal to 52 weeks.
GCC 29.10	There are no Special Conditions of Contract applicable to GCC Clause 29.10

Functional Guarantees (GCC Clause 30)

GCC 30	There are no Special Conditions of Contract applicable to GCC Clause 30.
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Intellectual Property Rights Indemnity (GCC Clause 32)

GCC 32	There are no Special Conditions of Contract applicable to GCC Clause 32.
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G. RISK DISTRIBUTION

Insurances (GCC Clause 37)

GCC 37.1 (c)	<p>The Supplier shall obtain Third-Party Liability Insurance</p> <ul style="list-style-type: none"> (i) covering bodily injury or death suffered by third parties (including the Purchaser's personnel), occurring in connection with the supply and installation of the Information System, in the amount of US\$1,000/person without deductible; (ii) covering loss of or damage to property (including the Purchaser's property and any Subsystems that have been accepted by the Purchaser), occurring in connection with the supply and installation of the Information System, in amount of US\$100,000/occurrence without limit of a number of occurrences. <p>The Insurance shall cover the period from relative to the Effective Date of the Contract until its completion.</p>
GCC 37.1 (e)	There are no Special Conditions of Contract applicable to GCC Clause 37.1 (e).

H. CHANGE IN CONTRACT ELEMENTS

Changes to the System (GCC Clause 39)

GCC 39.4	<p>Value Engineering</p> <p>The Purchaser will not consider a Value Engineering Proposal.</p>
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I. SETTLEMENT OF DISPUTES

Settlement of Disputes (GCC Clause 43)

GCC 43.1.4	The Appointing Authority for the Adjudicator is: <i>not applicable</i> .
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GCC 43.2.3	<p>If the Supplier is from outside the Purchaser's Country arbitration proceedings shall be conducted in accordance with the rules of arbitration of <i>UNCITRAL</i>. These rules, in the version in force at the time of the request for arbitration, will be deemed to form part of this Contract.</p> <p>If the Supplier is a national of the Purchaser's Country, any dispute between the Purchaser and a Supplier arising in connection with the present Contract shall be referred to arbitration in accordance with the laws of the Purchaser's country.</p>
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J. CYBER SECURITY

Cyber Security (GCC Clause 44)

GCC 44.1	Cyber Security applies.
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SECTION X - CONTRACT FORMS

Notes to Proposers on working with the Sample Contractual Forms

The following forms are to be completed and submitted by the successful Proposer following receipt of the Letter of Acceptance from the Purchaser: (i) Contract Agreement, with all Appendices; (ii) Performance Security; and (iii) Advance Payment Security.

- **Contract Agreement:** In addition to specifying the parties and the Contract Price, the Contract Agreement is where the: (i) Supplier Representative; (ii) if applicable, agreed Adjudicator and his/her compensation; and (iii) the List of Approved Subcontractors are specified. In addition, modifications to the successful Proposer's Proposal Price Schedules are attached to the Agreement. These contain corrections and adjustments to the Supplier's Proposal prices to correct errors, adjust the Contract Price to reflect – if applicable - any extensions to Proposal validity beyond the last day of original Proposal validity plus 56 days, etc.
- **Performance Security:** Pursuant to GCC Clause 13.3, the successful Proposer is required to provide the Performance Security in the form contained in this section of this request for proposals document and in the amount specified in accordance with the SCC.
- **Advance Payment Security:** Pursuant to GCC Clause 13.2, the successful Proposer is required to provide a bank guarantee for the full amount of the Advance Payment - if an Advance Payment is specified in the SCC for GCC Clause 12.1 - in the form contained in this section of this request for proposals document or another form acceptable to the Purchaser. If a Proposer wishes to propose a different Advance Payment Security form, it should submit a copy to the Purchaser promptly for review and confirmation of acceptability before the proposal submission deadline.

The Purchaser and Supplier will use the following additional forms during Contract implementation to formalize or certify important Contract events: (i) the Installation and Operational Acceptance Certificates; and (ii) the various Change Order forms. These and the procedures for their use during performance of the Contract are included in the request for proposals document for the information of Proposers.

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NOTIFICATION OF INTENTION TO AWARD

[This Notification of Intention to Award shall be sent to each Proposer that submitted a Proposal, unless the Proposer has previously received notice of exclusion from the process Proposer.]

[Send this Notification to the Proposer's Authorized Representative named in the Proposer Information Form]

For the attention of Proposer's Authorized Representative

Name: *[insert Authorized Representative's name]*

Address: *[insert Authorized Representative's Address]*

Telephone/Fax numbers: *[insert Authorized Representative's telephone/fax numbers]*

Email Address: *[insert Authorized Representative's email address]*

[IMPORTANT: insert the date that this Notification is transmitted to all participating Proposers. The Notification must be sent to all Proposers simultaneously. This means on the same date and as close to the same time as possible.]

DATE OF TRANSMISSION: This Notification is sent by: *[specify email / fax]* on *[specify date]* (local time)

Notification of Intention to Award

Purchaser: *[insert the name of the Purchaser]*

Project: *[insert name of project]*

Contract title: *[insert the name of the contract]*

Country: *[insert country where RFP is issued]*

Loan No. /Credit No. / Grant No.: *[insert reference number for loan/credit/grant]*

RFP No: *[insert RFP reference number from Procurement Plan]*

This Notification of Intention to Award (Notification) notifies you of our decision to award the above contract. The transmission of this Notification begins the Standstill Period. During the Standstill Period you may:

- a) request a debriefing in relation to the evaluation of your Proposal, and/or
- b) submit a Procurement-related Complaint in relation to the decision to award the contract.

1. The successful Proposer

Name:	[insert name of successful Proposer]
Address:	[insert address of the successful Proposer]
Contract price:	[insert contract price of the successful Proposer]
Total combined score:	[insert the total combined score of the successful Proposer]

2. Other Proposers *[INSTRUCTIONS: insert names of all Proposers that submitted a Proposal, Proposal prices as read out and evaluated, technical scores and combined scores.]*

Name of Proposer	Technical Score (If applicable)	Proposal price	Evaluated Proposal Cost	Combined Score
[insert name]	[insert Technical score]	[insert Proposal price]	[insert evaluated cost]	[insert combined score]
[insert name]	[insert Technical score]	[insert Proposal price]	[insert evaluated cost]	[insert combined score]
[insert name]	[insert Technical score]	[insert Proposal price]	[insert evaluated cost]	[insert combined score]

3. Reason/s why your Proposal was unsuccessful *[Delete if the combined score already reveals the reason]*

[INSTRUCTIONS; State the reason/s why this Proposer's Proposal was unsuccessful. Do NOT include: (a) a point by point comparison with another Proposer's Proposal or (b) information that is marked confidential by the Proposer in its Proposal.]

4. How to request a debriefing

DEADLINE: The deadline to request a debriefing expires at midnight on [insert date] (local time).

You may request a debriefing in relation to the results of the evaluation of your Proposal. If you decide to request a debriefing your written request must be made within three (3) Business Days of receipt of this Notification of Intention to Award.

Provide the contract name, reference number, name of the Proposer, contact details; and address the request for debriefing as follows:

Attention: *[insert full name of person, if applicable]*

Title/position: *[insert title/position]*

Agency: *[insert name of Purchaser]*

Email address: *[insert email address]*

Fax number: *[insert fax number or state “not applicable”]*

If your request for a debriefing is received within the 3 Business Days deadline, we will provide the debriefing within five (5) Business Days of receipt of your request. If we are unable to provide the debriefing within this period, the Standstill Period shall be extended by five (5) Business Days after the date that the debriefing is provided. If this happens, we will notify you and confirm the date that the extended Standstill Period will end.

The debriefing may be in writing, by phone, video conference call or in person. We shall promptly advise you in writing how the debriefing will take place and confirm the date and time.

If the deadline to request a debriefing has expired, you may still request a debriefing. In this case, we will provide the debriefing as soon as practicable, and normally no later than fifteen (15) Business Days from the date of publication of the Contract Award Notice.

5. How to make a complaint

DEADLINE: The deadline for submitting a Procurement-related Complaint challenging the decision to award the contract expires on midnight, *[insert date]* (local time).

Provide the contract name, reference number, name of the Proposer, contact details; and address the Procurement-related Complaint as follows:

Attention: *[insert full name of person, if applicable]*

Title/position: *[insert title/position]*

Agency: *[insert name of Purchaser]*

Email address: *[insert email address]*

Fax number: *[insert fax number or state “not applicable”]*

At this point in the procurement process, you may submit a Procurement-related Complaint challenging the decision to award the contract. You do not need to have requested, or received, a debriefing before making this complaint. Your complaint must be submitted within the Standstill Period and received by us before the Standstill Period ends.

For more information see the “[Procurement Regulations for IPF Borrowers \(Procurement Regulations\)](#) (Annex III).” You should read these provisions before preparing and submitting your complaint. In addition, the World Bank’s Guidance “[How to make a Procurement-related Complaint](#)” provides a useful explanation of the process, as well as a sample letter of complaint.

In summary, there are four essential requirements:

1. You must be an ‘interested party’. In this case, that means a Proposer who submitted a Proposal in this procurement, and is the recipient of a Notification of Intention to Award.
2. The complaint can only challenge the decision to award the contract.
3. You must submit the complaint within the deadline stated above.
4. You must include, in your complaint, all of the information required by the Procurement Regulations (as described in Annex III).

6. Standstill Period

DEADLINE: The Standstill Period is due to end at midnight on *[insert date]* (local time).

The Standstill Period lasts ten (10) Business Days after the date of transmission of this Notification of Intention to Award.

The Standstill Period may be extended. This may happen where we are unable to provide a debriefing within the five (5) Business Day deadline. If this happens we will notify you of the extension.

If you have any questions regarding this Notification please do not hesitate to contact us.

On behalf of the Purchaser:

Signature: _____

Title/position: *[insert title/position]*

Agency: *[insert name of Purchaser]*

Email address: *[insert email address]*

Telephone number: *[insert telephone number]*

BENEFICIAL OWNERSHIP DISCLOSURE FORM

INSTRUCTIONS TO PROPOSERS: DELETE THIS BOX ONCE YOU HAVE COMPLETED THE FORM

This Beneficial Ownership Disclosure Form (“Form”) is to be completed by the successful Proposer. In case of joint venture, the Proposer must submit a separate Form for each member. The beneficial ownership information to be submitted in this Form shall be current as of the date of its submission.

For the purposes of this Form, a Beneficial Owner of a Proposer is any natural person who ultimately owns or controls the Proposer by meeting one or more of the following conditions:

- *directly or indirectly holding 25% or more of the shares*
- *directly or indirectly holding 25% or more of the voting rights*
- *directly or indirectly having the right to appoint a majority of the board of directors or equivalent governing body of the Proposer*

RFP No.: *[insert number of RFP process]*

Request for Proposals No.: *[insert **identification**]*

To: *[insert **complete name of Purchaser**]*

In response to your request in the Letter of Acceptance dated *[insert date of letter of Acceptance]* to furnish additional information on beneficial ownership: *[select one option as applicable and delete the options that are not applicable]*

(i) we hereby provide the following beneficial ownership information.

Details of beneficial ownership

Identity of Beneficial Owner	Directly or indirectly holding 25% or more of the shares (Yes / No)	Directly or indirectly holding 25 % or more of the Voting Rights (Yes / No)	Directly or indirectly having the right to appoint a majority of the board of the directors or an equivalent governing body of the Proposer (Yes / No)

<i>[include full name (last, middle, first), nationality, country of residence]</i>			
---	--	--	--

OR

(ii) *We declare that there is no Beneficial Owner meeting one or more of the following conditions:*

- directly or indirectly holding 25% or more of the shares
- directly or indirectly holding 25% or more of the voting rights
- directly or indirectly having the right to appoint a majority of the board of directors or equivalent governing body of the Proposer

OR

(iii) *We declare that we are unable to identify any Beneficial Owner meeting one or more of the following conditions. [If this option is selected, the Proposer shall provide explanation on why it is unable to identify any Beneficial Owner]*

- directly or indirectly holding 25% or more of the shares
- directly or indirectly holding 25% or more of the voting rights
- directly or indirectly having the right to appoint a majority of the board of directors or equivalent governing body of the Proposer]

Name of the Proposer: **[insert complete name of the Proposer]*

Name of the person duly authorized to sign the Proposal on behalf of the Proposer: ***[insert complete name of person duly authorized to sign the Proposal]*

Title of the person signing the Proposal: *[insert complete title of the person signing the Proposal]*

Signature of the person named above: _____

Date signed *[insert ordinal number]* day of *[insert month]*, *[insert year]*

* In the case of the Proposal submitted by a Joint Venture specify the name of the Joint Venture as Proposer. In the event that the Proposer is a joint venture, each reference to “Proposer” in the Beneficial Ownership Disclosure Form (including this Introduction thereto) shall be read to refer to the joint venture member.

** Person signing the Proposal shall have the power of attorney given by the Proposer. The power of attorney shall be attached with the Proposal Schedules.

LETTER OF ACCEPTANCE

Purchaser: *[insert the name of the Purchaser]*

Project: *[insert name of project]*

Contract title: *[insert the name of the contract]*

Country: *[insert country where RFP is issued]*

Loan No. /Credit No. / Grant No.: *[insert reference number for loan/credit/grant]*

RFP No: *[insert RFP reference number from Procurement Plan]*

Date: *[insert Date]*

To: *[insert Name of Proposer]*

This is to notify you that your Proposal dated *[insert Date]* for execution of the *[insert brief description of the Information System]* for the Contract Price in the aggregate of *[insert amount in figures]* (*[insert amount in words]*), as corrected and modified in accordance with the Instructions to Proposers is hereby accepted by our Agency.

You are requested to furnish (i) the Performance Security within 28 days in accordance with the Conditions of Contract, using for that purpose one of the Performance Security Forms and (ii) the additional information on beneficial ownership in accordance with ITP 47.1 within eight (8) Business days using the Beneficial Ownership Disclosure Form, included in Section X, - Contract Forms, of the request for proposals document.

Authorized Signature: _____

Name and Title of Signatory: *[insert Name and Title]*

Name of Agency: *[insert Purchaser Name]*

Attachment: Contract Agreement

1. CONTRACT AGREEMENT

THIS CONTRACT AGREEMENT is made

the *[insert: ordinal number]* day of *[insert: month]*, *[insert: year]*.

BETWEEN

- (1) *[insert: Name of Purchaser]*, a *[insert: description of type of legal entity, for example, an agency of the Ministry of . . .]* of the Government of *[insert: country of Purchaser]*, or corporation incorporated under the laws of *[insert: country of Purchaser]* and having its principal place of business at *[insert: address of Purchaser]* (hereinafter called “the Purchaser”), and
- (2) *[insert: name of Supplier]*, a corporation incorporated under the laws of *[insert: country of Supplier]* and having its principal place of business at *[insert: address of Supplier]* (hereinafter called “the Supplier”).

WHEREAS the Purchaser desires to engage the Supplier to supply, install, achieve Operational Acceptance of, and support the following Information System *[insert: brief description of the Information System]* (“the System”), and the Supplier has agreed to such engagement upon and subject to the terms and conditions appearing below in this Contract Agreement.

NOW IT IS HEREBY AGREED as follows:

- | | |
|--------------------|--|
| Article 1. | 1.1 Contract Documents (Reference GCC Clause 1.1 (a) (ii)) |
| Contract Documents | <p>The following documents shall constitute the Contract between the Purchaser and the Supplier, and each shall be read and construed as an integral part of the Contract:</p> <ol style="list-style-type: none"> (a) This Contract Agreement and the Appendices attached to the Contract Agreement (b) Special Conditions of Contract (c) General Conditions of Contract (d) Technical Requirements (including Implementation Schedule) (e) The Supplier’s proposal and original Price Schedules (f) Code of Conduct for Supplier’s Personnel |

(g) [*Add here: any other documents*]

1.2 Order of Precedence (Reference GCC Clause 2)

In the event of any ambiguity or conflict between the Contract Documents listed above, the order of precedence shall be the order in which the Contract Documents are listed in Article 1.1 (Contract Documents) above, provided that Appendix 7 shall prevail over all provisions of the Contract Agreement and the other Appendices attached to the Contract Agreement and all the other Contract Documents listed in Article 1.1 above.

1.3 Definitions (Reference GCC Clause 1)

Capitalized words and phrases used in this Contract Agreement shall have the same meanings as are ascribed to them in the General Conditions of Contract.

Article 2.

Contract Price and
Terms of Payment

2.1 Contract Price (Reference GCC Clause 1.1(a)(viii) and GCC Clause 11)

The Purchaser hereby agrees to pay to the Supplier the Contract Price in consideration of the performance by the Supplier of its obligations under the Contract. The Contract Price shall be the aggregate of: [*insert: amount of foreign currency A in words*], [*insert: amount in figures*], plus [*insert: amount of foreign currency B in words*], [*insert: amount in figures*], plus [*insert: amount of foreign currency C in words*], [*insert: amount in figures*], [*insert: amount of local currency in words*], [*insert: amount in figures*], as specified in the Grand Summary Price Schedule.

The Contract Price shall be understood to reflect the terms and conditions used in the specification of prices in the detailed price schedules, including the terms and conditions of the associated Incoterms, and the taxes, duties and related levies if and as identified.

Article 3.

Effective Date for
Determining Time
for Operational
Acceptance

3.1 Effective Date (Reference GCC Clause 1.1 (e) (ix))

The time allowed for supply, installation, and achieving Operational Acceptance of the System shall be determined from the date when all of the following conditions have been fulfilled:

- (a) This Contract Agreement has been duly executed for and on behalf of the Purchaser and the Supplier;
- (b) The Supplier has submitted to the Purchaser the performance security and the advance payment security, in accordance with GCC Clause 13.2 and GCC Clause 13.3;
- (c) The Purchaser has paid the Supplier the advance payment, in accordance with GCC Clause 12;

Each party shall use its best efforts to fulfill the above conditions for which it is responsible as soon as practicable.

- 3.2 If the conditions listed under 3.1 are not fulfilled within two (2) months from the date of this Contract Agreement because of reasons not attributable to the Supplier, the parties shall discuss and agree on an equitable adjustment to the Contract Price and the Time for Achieving Operational Acceptance and/or other relevant conditions of the Contract.

Article 4.

- 4.1 The Appendixes listed below shall be deemed to form an integral part of this Contract Agreement.

Appendixes

- 4.2 Reference in the Contract to any Appendix shall mean the Appendixes listed below and attached to this Contract Agreement, and the Contract shall be read and construed accordingly.

APPENDIXES

- Appendix 1. Supplier's Representative
- Appendix 2. Adjudicator [*if there is no Adjudicator, state "not applicable"*]
- Appendix 3. List of Approved Subcontractors
- Appendix 4. Categories of Software
- Appendix 5. Custom Materials
- Appendix 6. Revised Price Schedules (if any)
- Appendix 7. Minutes of Contract Finalization Discussions and Agreed-to Contract Amendments

IN WITNESS WHEREOF the Purchaser and the Supplier have caused this Agreement to be duly executed by their duly authorized representatives the day and year first above written.

For and on behalf of the Purchaser

Signed:

in the capacity of [*insert: title or other appropriate designation*]

in the presence of

For and on behalf of the Supplier

Signed:

in the capacity of [*insert: title or other appropriate designation*]

in the presence of

CONTRACT AGREEMENT

dated the [*insert: number*] day of [*insert: month*], [*insert: year*]

BETWEEN

[*insert: name of Purchaser*], “the Purchaser”

and

[*insert: name of Supplier*], “the Supplier”

Appendix 1. Supplier's Representative

In accordance with GCC Clause 1.1 (b) (iv), the Supplier's Representative is:

Name: *[insert: **name and provide title and address further below, or state “to be nominated within fourteen (14) days of the Effective Date”**]*

Title: *[if appropriate, insert: **title**]*

In accordance with GCC Clause 4.3, the Supplier's addresses for notices under the Contract are:

Address of the Supplier's Representative: *[as appropriate, insert: **personal delivery, postal, cable, facsimile, electronic mail, and/or EDI addresses.**]*

Fallback address of the Supplier: *[as appropriate, insert: **personal delivery, postal, cable, facsimile, electronic mail, and/or EDI addresses.**]*

Appendix 2. Adjudicator

In accordance with GCC Clause 1.1 (b) (vi), the agreed-upon Adjudicator is:

Name: [*insert: name*]

Title: [*insert: title*]

Address: [*insert: postal address*]

Telephone: [*insert: telephone*]

In accordance with GCC Clause 43.1.3, the agreed-upon fees and reimbursable expenses are:

Hourly Fees: [*insert: hourly fees*]

Reimbursable Expenses: [*list: reimbursables*]

Pursuant to GCC Clause 43.1.4, if at the time of Contract signing, agreement has not been reached between the Purchaser and the Supplier, an Adjudicator will be appointed by the Appointing Authority named in the SCC.

Appendix 4. Categories of Software

The following table assigns each item of Software supplied and installed under the Contract to one of the three categories: (i) System Software, (ii) General-Purpose Software, or (iii) Application Software; and to one of the two categories: (i) Standard Software or (ii) Custom Software and to one of the two categories: (i) Proprietary or (ii) Open Source.

	(select one per title)			(select one per title)		(select one per title)	
Title	System	General-Purpose	Application	Standard	Custom	Proprietary	Open Source
[insert Title]							
[insert Title]							
[insert Title]							
[insert Title]							
[insert Title]							
[insert Title]							

Appendix 5. Custom Materials

The follow table specifies the Custom Materials the Supplier will provide under the Contract.

Custom Materials
<i>[insert Title and description]</i>
<i>[insert Title and description]</i>
<i>[insert Title and description]</i>
<i>[insert Title and description]</i>
<i>[insert Title and description]</i>

Appendix 6. Revised Price Schedules

The attached Revised Price Schedules (if any) shall form part of this Contract Agreement and, where differences exist, shall supersede the Price Schedules contained in the Supplier's Proposal. These Revised Price Schedules reflect any corrections or adjustments to the Supplier's proposal price, pursuant to the ITP Clauses 30.3 and 38.2.

Appendix 7. Minutes of Contract Finalization Discussions and Agreed-to Contract Amendments

The attached Contract amendments (if any) shall form part of this Contract Agreement and, where differences exist, shall supersede the relevant clauses in the GCC, SCC, Technical Requirements, or other parts of this Contract as defined in GCC Clause 1.1 (a) (ii).

2. PERFORMANCE AND ADVANCE PAYMENT SECURITY FORMS

2.1 Performance Security Form (Bank Guarantee) (Bank Guarantee)

[The bank, as requested by the successful Proposer, shall fill in this form in accordance with the instructions indicated]

[Guarantor letterhead or SWIFT identifier code]

*[insert: **Bank's Name, and Address of Issuing Branch or Office**]*

Beneficiary: Office of the Prime-Minister of the Republic of Armenia (Republic Square, Government House 1, 0010 Yerevan, Armenia) and State Revenue Committee of the Republic of Armenia (3 Movses Khorenatsi St., Yerevan 0015, Armenia)

Date: *[insert: **date**]*

PERFORMANCE GUARANTEE No.: *[insert: **Performance Guarantee Number**]*

Guarantor: *[Insert name and address of place of issue, unless indicated in the letterhead]*

We have been informed that on *[insert: **date of award**]* you awarded Contract No. *[insert: **Contract number**]* for *[insert: **title and/or brief description of the Contract**]* (hereinafter called "the Contract") to *[insert: **complete name of Supplier which in the case of a joint venture shall be in the name of the joint venture**]* (hereinafter called "the Applicant"). Furthermore, we understand that, according to the conditions of the Contract, a performance guarantee is required.

At the request of the Applicant, we as Guarantor hereby irrevocably undertake to pay you any sum(s) not exceeding *[insert: **amount(s)¹ in figures and words**]* such sum being payable in the types and proportions of currencies which the Contract Price is payable upon receipt by us of the Beneficiary's statement, whether in the demand itself or in a separate signed document accompanying or identifying the demand, stating that the Applicant is in breach of its obligation(s) under the contract without the Beneficiary needing to prove or to show grounds or reasons for their demand or the sum specified therein.

On the date of your issuing, to the Supplier, the Operational Acceptance Certificate for the System, the value of this guarantee will be reduced to any sum(s) not exceeding *[insert: **amount(s)¹ in figures and words**]*. This remaining guarantee shall expire no later than *[insert: **number and select: of months/of years** (of the Warranty Period that needs to be covered by the remaining*

¹ The bank shall insert the amount(s) specified and denominated in the SCC for GCC Clauses 13.3.1 and 13.3.4 respectively, either in the currency(ies) of the Contract or a freely convertible currency acceptable to the Purchaser.

guarantee)] from the date of the Operational Acceptance Certificate for the System,¹ and any demand for payment under it must be received by us at this office on or before that date.

This guarantee is subject to the Uniform Rules for Demand Guarantees, (URDG) 2010 Revision, ICC Publication No. 758, except that the supporting statement under 15 (a) is hereby excluded.

[Signature(s)]

[Note: All italicized text (including footnotes) is for use in preparing this form and shall be deleted from the final product.]

¹ *In this sample form, the formulation of this paragraph reflects the usual SCC provisions for GCC Clause 13.3. However, if the SCC for GCC Clauses 13.3.1 and 13.3.4 varies from the usual provisions, the paragraph, and possibly the previous paragraph, need to be adjusted to precisely reflect the provisions specified in the SCC.*

2.2 Advance Payment Security Bank Guarantee

[Guarantor letterhead or SWIFT identifier code]

Beneficiary: Office of the Prime-Minister of the Republic of Armenia (Republic Square, Government House 1, 0010 Yerevan, Armenia) and State Revenue Committee of the Republic of Armenia (3 Movses Khorenatsi St., Yerevan 0015, Armenia)

Date: *[insert date of issue]*

ADVANCE PAYMENT GUARANTEE No.: *[insert: **Advance Payment Guarantee Number**]*

Guarantor: *[Insert name and address of place of issue, unless indicated in the letterhead]*

We have been informed that on *[insert: **date of award**]* you awarded Contract No. *[insert: **Contract number**]* for *[insert: **title and/or brief description of the Contract**]* (hereinafter called "the Contract") to *[insert: **complete name of Supplier, which in the case of a joint venture shall be the name of the joint venture**]* (hereinafter called "the Applicant").

Furthermore, we understand that, according to the conditions of the Contract, an advance payment in the sum of *[insert: **amount in numbers and words, for each currency of the advance payment**]* is to be made to the Supplier against an advance payment guarantee.

At the request of the Applicant, we as Guarantor, hereby irrevocably undertake to pay the Beneficiary any sum or sums not exceeding in total an amount of *[insert **amount in figures**]* (*[insert **amount in words**]*)¹ upon receipt by us of the Beneficiary's complying demand supported by the Beneficiary's statement, whether in the demand itself or in a separate signed document accompanying or identifying the demand, stating either that the Applicant:

- (a) has used the advance payment for purposes other than toward delivery of Goods; or
- (b) has failed to repay the advance payment in accordance with the Contract conditions, specifying the amount which the Applicant has failed to repay.

¹ The Guarantor shall insert an amount representing the amount of the advance payment and denominated either in the currency(ies) of the advance payment as specified in the Contract, or in a freely convertible currency acceptable to the Purchaser.

A demand under this guarantee may be presented as from the presentation to the Guarantor of a certificate from the Beneficiary's bank stating that the advance payment referred to above has been credited to the Applicant on its account number *[insert **number**]* at *[insert **name and address of Applicant's bank**]*.

The maximum amount of this guarantee shall be progressively reduced by the amount of the advance payment repaid by the Applicant as specified in copies of interim statements or payment certificates which shall be presented to us. This guarantee shall expire, at the latest, upon our receipt of a copy of the interim payment certificate indicating that one hundred (100) percent of the Accepted Contract Amount, has been certified for payment, or on the *[insert **day**]* day of *[insert **month**]*, *[insert **year**]*, whichever is earlier. Consequently, any demand for payment under this guarantee must be received by us at this office on or before that date.

This guarantee is subject to the Uniform Rules for Demand Guarantees (URDG) 2010 Revision, ICC Publication No.758, except that the supporting statement under Article 15(a) is hereby excluded.

[signature(s)]

[Note: All italicized text (including footnotes) is for use in preparing this form and shall be deleted from the final product.]

3. INSTALLATION AND ACCEPTANCE CERTIFICATES

3. Installation and Acceptance Certificates

3.1 Installation Certificate

Date: [*insert: date*]

Loan/Credit Number: [*insert: loan or credit number from RFP*]

RFP: [*insert: title and number of RFP*]

Contract: [*insert: name and number of Contract*]

To: [*insert: name and address of Supplier*]

Dear Sir or Madam:

Pursuant to GCC Clause 26 (Installation of the System) of the Contract entered into between yourselves and the [*insert: name of Purchaser*] (hereinafter the “Purchaser”) dated [*insert: date of Contract*], relating to the [*insert: brief description of the Information System*], we hereby notify you that the System (or a Subsystem or major component thereof) was deemed to have been correctly installed on the date specified below.

1. Description of the System (or relevant Subsystem or major component: [*insert: description*]
2. Date of Installation: [*insert: date*]

Notwithstanding the above, you are required to complete the outstanding items listed in the attachment to this certificate as soon as practicable. This letter shall not relieve you of your obligation to achieve Operational Acceptance of the System in accordance with the Contract nor of your obligations during the Warranty Period.

For and on behalf of the Purchaser

Signed:

Date:

in the capacity of: [*state: “ Contract Manager” or specify a higher level authority in the Purchaser’s organization*]

3.2 Operational Acceptance Certificate

Date: [*insert: date*]

Loan/Credit Number: [*insert: loan or credit number from RFP*]

RFP: [*insert: title and number of RFP*]

Contract: [*insert: name of System or Subsystem and number of Contract*]

To: [*insert: name and address of Supplier*]

Dear Sir or Madam:

Pursuant to GCC Clause 27 (Commissioning and Operational Acceptance) of the Contract entered into between yourselves and the [*insert: name of Purchaser*] (hereinafter the “Purchaser”) dated [*insert: date of Contract*], relating to the [*insert: brief description of the Information System*], we hereby notify you the System (or the Subsystem or major component identified below) successfully completed the Operational Acceptance Tests specified in the Contract. In accordance with the terms of the Contract, the Purchaser hereby takes over the System (or the Subsystem or major component identified below), together with the responsibility for care and custody and the risk of loss thereof on the date mentioned below.

1. Description of the System (or Subsystem or major component): [*insert: description*]
2. Date of Operational Acceptance: [*insert: date*]

This letter shall not relieve you of your remaining performance obligations under the Contract nor of your obligations during the Warranty Period.

For and on behalf of the Purchaser

Signed: _____

Date: [*insert: date*]

in the capacity of: [*state: “ Contract Manager” or specify a higher level authority in the Purchaser’s organization*]

4. CHANGE ORDER PROCEDURES AND FORMS

Date: *[insert: **date**]*

Loan/Credit Number: *[insert: **loan or credit number from RFP**]*

RFP: *[insert: **title and number of RFP**]*

Contract: *[insert: **name or System or Subsystem and number of Contract**]*

General

This section provides samples of procedures and forms for carrying out changes to the System during the performance of the Contract in accordance with GCC Clause 39 (Changes to the System) of the Contract.

Change Order Log

The Supplier shall keep an up-to-date Change Order Log to show the current status of Requests for Change and Change Orders authorized or pending. Changes shall be entered regularly in the Change Order Log to ensure that the log is kept up-to-date. The Supplier shall attach a copy of the current Change Order Log in the monthly progress report to be submitted to the Purchaser.

References to Changes

- (1) Request for Change Proposals (including Application for Change Proposals) shall be serially numbered CR-nnn.
- (2) Change Estimate Proposals shall be numbered CN-nnn.
- (3) Estimate Acceptances shall be numbered CA-nnn.
- (4) Change Proposals shall be numbered CP-nnn.
- (5) Change Orders shall be numbered CO-nnn.

On all forms, the numbering shall be determined by the original CR-nnn.

Annexes

- 4.1 Request for Change Proposal Form
- 4.2 Change Estimate Proposal Form
- 4.3 Estimate Acceptance Form
- 4.4 Change Proposal Form
- 4.5 Change Order Form
- 4.6 Application for Change Proposal Form

4.1 Request for Change Proposal Form

(Purchaser's Letterhead)

Date: [*insert: date*]

Loan/Credit Number: [*insert: loan or credit number from RFP*]

RFP: [*insert: title and number of RFP*]

Contract: [*insert: name of System or Subsystem or number of Contract*]

To: [*insert: name of Supplier and address*]

Attention: [*insert: name and title*]

Dear Sir or Madam:

With reference to the above-referenced Contract, you are requested to prepare and submit a Change Proposal for the Change noted below in accordance with the following instructions within [*insert: number*] days of the date of this letter.

1. Title of Change: [*insert: title*]
2. Request for Change No./Rev.: [*insert: number*]
3. Originator of Change: [*select Purchaser / Supplier (by Application for Change Proposal), and add: name of originator*]
4. Brief Description of Change: [*insert: description*]
5. System (or Subsystem or major component affected by requested Change): [*insert: description*]
6. Technical documents and/or drawings for the request of Change:

Document or Drawing No.	Description
-------------------------	-------------
7. Detailed conditions or special requirements of the requested Change: [*insert: description*]
8. Procedures to be followed:
 - (a) Your Change Proposal will have to show what effect the requested Change will have on the Contract Price.
 - (b) Your Change Proposal shall explain the time it will take to complete the requested Change and the impact, if any, it will have on the date when Operational Acceptance of the entire System agreed in the Contract.

- (c) If you believe implementation of the requested Change will have a negative impact on the quality, operability, or integrity of the System, please provide a detailed explanation, including other approaches that might achieve the same impact as the requested Change.
 - (d) You should also indicate what impact the Change will have on the number and mix of staff needed by the Supplier to perform the Contract.
 - (e) You shall not proceed with the execution of work related to the requested Change until we have accepted and confirmed the impact it will have on the Contract Price and the Implementation Schedule in writing.
9. As next step, please respond using the Change Estimate Proposal form, indicating how much it will cost you to prepare a concrete Change Proposal that will describe the proposed approach for implementing the Change, all its elements, and will also address the points in paragraph 8 above pursuant to GCC Clause 39.2.1. Your Change Estimate Proposal should contain a first approximation of the proposed approach, and implications for schedule and cost, of the Change.

For and on behalf of the Purchaser

Signed:

Date:

in the capacity of: [state: “ **Contract Manager**” or specify a higher level authority in the Purchaser’s organization]

4.2 Change Estimate Proposal Form

(Supplier's Letterhead)

Date: [*insert: date*]

Loan/Credit Number: [*insert: loan or credit number from RFP*]

RFP: [*insert: title and number of RFP*]

Contract: [*insert: name of System or Subsystem and number of Contract*]

To: [*insert: name of Purchaser and address*]

Attention: [*insert: name and title*]

Dear Sir or Madam:

With reference to your Request for Change Proposal, we are pleased to notify you of the approximate cost of preparing the below-referenced Change in accordance with GCC Clause 39.2.1 of the Contract. We acknowledge that your agreement to the cost of preparing the Change Proposal, in accordance with GCC Clause 39.2.2, is required before we proceed to prepare the actual Change Proposal including a detailed estimate of the cost of implementing the Change itself.

1. Title of Change: [*insert: title*]
2. Request for Change No./Rev.: [*insert: number*]
3. Brief Description of Change (including proposed implementation approach): [*insert: description*]
4. Schedule Impact of Change (initial estimate): [*insert: description*]
5. Initial Cost Estimate for Implementing the Change: [*insert: initial cost estimate*]
6. Cost for Preparation of Change Proposal: [*insert: cost in the currencies of the Contract*], as detailed below in the breakdown of prices, rates, and quantities.

For and on behalf of the Supplier

Signed:

Date:

in the capacity of: [*state: "Supplier's Representative" or specify a other higher level authority in the Supplier's organization*]

4.3 Estimate Acceptance Form

(Purchaser's Letterhead)

Date: [*insert: date*]

Loan/Credit Number: [*insert: loan or credit number from RFP*]

RFP: [*insert: title and number of RFP*]

Contract: [*insert: name of System or Subsystem and number of Contract*]

To: [*insert: name of Supplier and address*]

Attention: [*insert: name and title*]

Dear Sir or Madam:

We hereby accept your Change Estimate and agree that you should proceed with the preparation of a formal Change Proposal.

1. Title of Change: [*insert: title*]
2. Request for Change No./Rev.: [*insert: request number / revision*]
3. Change Estimate Proposal No./Rev.: [*insert: proposal number / revision*]
4. Estimate Acceptance No./Rev.: [*insert: estimate number / revision*]
5. Brief Description of Change: [*insert: description*]
6. Other Terms and Conditions: [*insert: other terms and conditions*]

In the event that we decide not to order the Change referenced above, you shall be entitled to compensation for the cost of preparing the Change Proposal up to the amount estimated for this purpose in the Change Estimate Proposal, in accordance with GCC Clause 39 of the General Conditions of Contract.

For and on behalf of the Purchaser

Signed:

Date:

in the capacity of: [*state: "Contract Manager" or specify a higher level authority in the Purchaser's organization*]

4.4 Change Proposal Form

(Supplier's Letterhead)

Date: *[insert: **date**]*

Loan/Credit Number: *[insert: **loan or credit number from RFP**]*

RFP: *[insert: **title and number of RFP**]*

Contract: *[insert: **name of System or Subsystem and number of Contract**]*

To: *[insert: **name of Purchaser and address**]*

Attention: *[insert: **name and title**]*

Dear Sir or Madam:

In response to your Request for Change Proposal No. *[insert: **number**]*, we hereby submit our proposal as follows:

1. Title of Change: *[insert: **name**]*
2. Change Proposal No./Rev.: *[insert: **proposal number/revision**]*
3. Originator of Change: *[select: **Purchaser / Supplier**; and add: **name**]*
4. Brief Description of Change: *[insert: **description**]*
5. Reasons for Change: *[insert: **reason**]*
6. The System Subsystem, major component, or equipment that will be affected by the requested Change: *[insert: **description**]*
7. Technical documents and/or drawings for the requested Change:

Document or Drawing No.	Description
8. Estimate of the increase/decrease to the Contract Price resulting from the proposed Change: *[insert: **amount in currencies of Contract**]*, as detailed below in the breakdown of prices, rates, and quantities.
 Total lump sum cost of the Change:
 Cost to prepare this Change Proposal (i.e., the amount payable if the Change is not accepted, limited as provided by GCC Clause 39.2.6):
9. Additional Time for Achieving Operational Acceptance required due to the Change: *[insert: **amount in days / weeks**]*

10. Effect on the Functional Guarantees: *[insert: **description**]*
11. Effect on the other terms and conditions of the Contract: *[insert: **description**]*
12. Validity of this Proposal: for a period of *[insert: **number**]* days after receipt of this Proposal by the Purchaser
13. Procedures to be followed:
 - (a) You are requested to notify us of your acceptance, comments, or rejection of this detailed Change Proposal within *[insert: **number**]* days from your receipt of this Proposal.
 - (b) The amount of any increase and/or decrease shall be taken into account in the adjustment of the Contract Price.

For and on behalf of the Supplier

Signed:

Date:

in the capacity of: *[state: “**Supplier’s Representative**” or specify a other higher level authority in the Supplier’s organization]*

For and on behalf of the Supplier

Signed: _____

Date: [insert **date**]

in the capacity of: [state **“Supplier’s Representative”** or specify a higher level authority in the Supplier’s organization]

4.6 Application for Change Proposal Form

(Supplier's Letterhead)

Date: [*insert: date*]

Loan/Credit Number: [*insert: loan or credit number from RFP*]

RFP: [*insert: title and number of RFP*]

Contract: [*insert: name of System or Subsystem and number of Contract*]

To: [*insert: name of Purchaser and address*]

Attention: [*insert: name and title*]

Dear Sir or Madam:

We hereby propose that the below-mentioned work be treated as a Change to the System.

1. Title of Change: [*insert: name*]
2. Application for Change Proposal No./Rev.: [*insert: number / revision*] dated: [*insert: date*]
3. Brief Description of Change: [*insert: description*]
4. Reasons for Change: [*insert: description*]
5. Order of Magnitude Estimation: [*insert: amount in currencies of the Contract*]
6. Schedule Impact of Change: [*insert: description*]
7. Effect on Functional Guarantees, if any: [*insert: description*]
8. Appendix: [*insert: titles (if any); otherwise state "none"*]

For and on behalf of the Supplier

Signed:

Date:

in the capacity of: [*state: "Supplier's Representative" or specify a higher level authority in the Supplier's organization*]